

June 14-15, 2012 École Polytechnique, Montreal

Structured codes in wireless relay networks

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Joint work with Yiwei Song, *Ph.D. candidate, UIC* Bobak Nazer, *Assistant Professor, Boston University* Matt Nokleby + Benhaam Aazhang, *Rice University*

Y. Song, N. Devroye, ``List decoding for nested lattices and applications to relay channels," Allerton 2010.

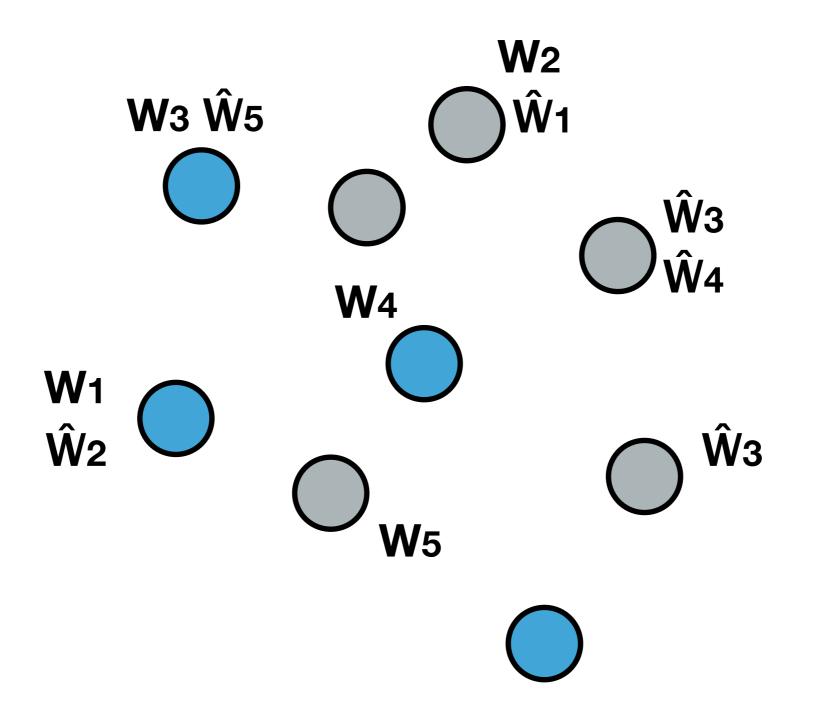
Y. Song, N. Devroye, ``Structured interference-mitigation in two-hop networks," ITA 2011.

Y. Song , N. Devroye, ``A lattice Compress-and-Forward strategy for canceling known interference in Gaussian multi-hop channels," CISS 2011.

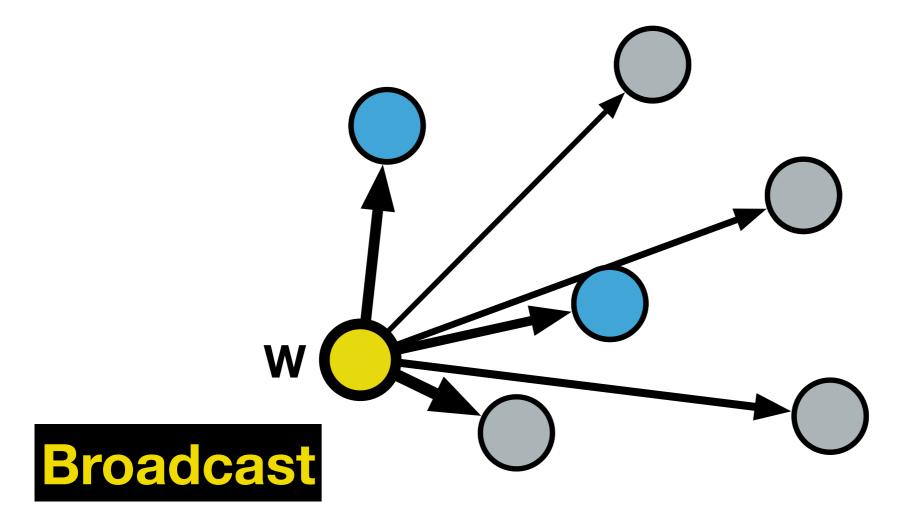
Y. Song, N. Devroye, ``A Lattice Compress-and-Forward Scheme," ITW Paraty, 2011.

Y. Song, N. Devroye, and B. Nazer ``Inverse Compute-and-Forward: Extracting Messages from Simultaneously Transmitted Equations," *ISIT* 2011. M. Nokleby, B. Nazer, B. Aazhang, and N. Devroye, ``Relays that Cooperate to Compute," to appear in *ISWCS*, 2012.

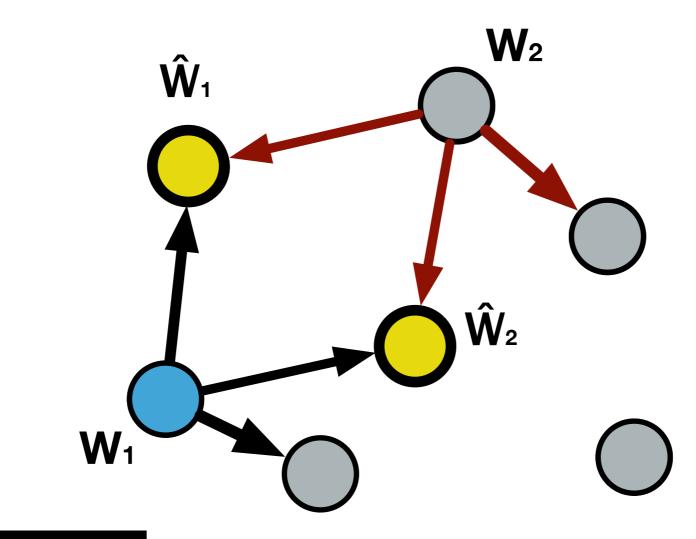
Y. Song , N. Devroye, ``Lattice codes for relay channels: DF and CF," submitted to IEEE Trans. on IT, 2011.



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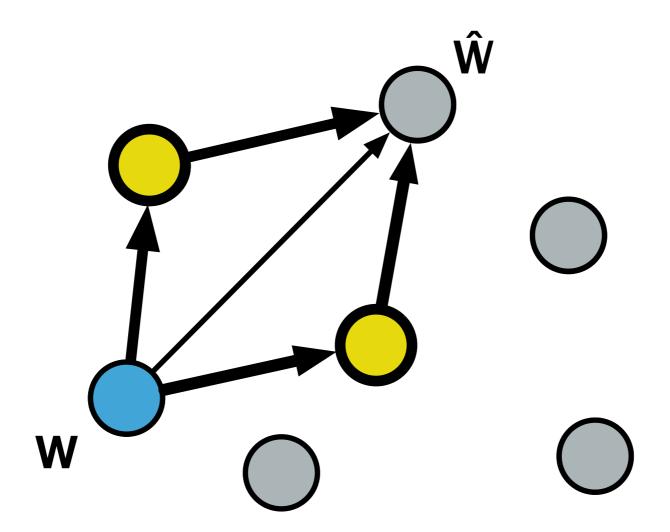






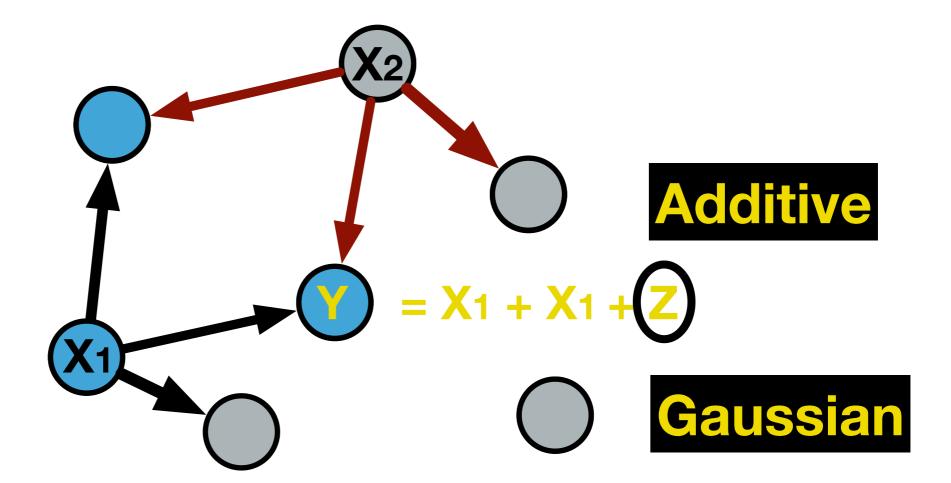


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Relaying / cooperation

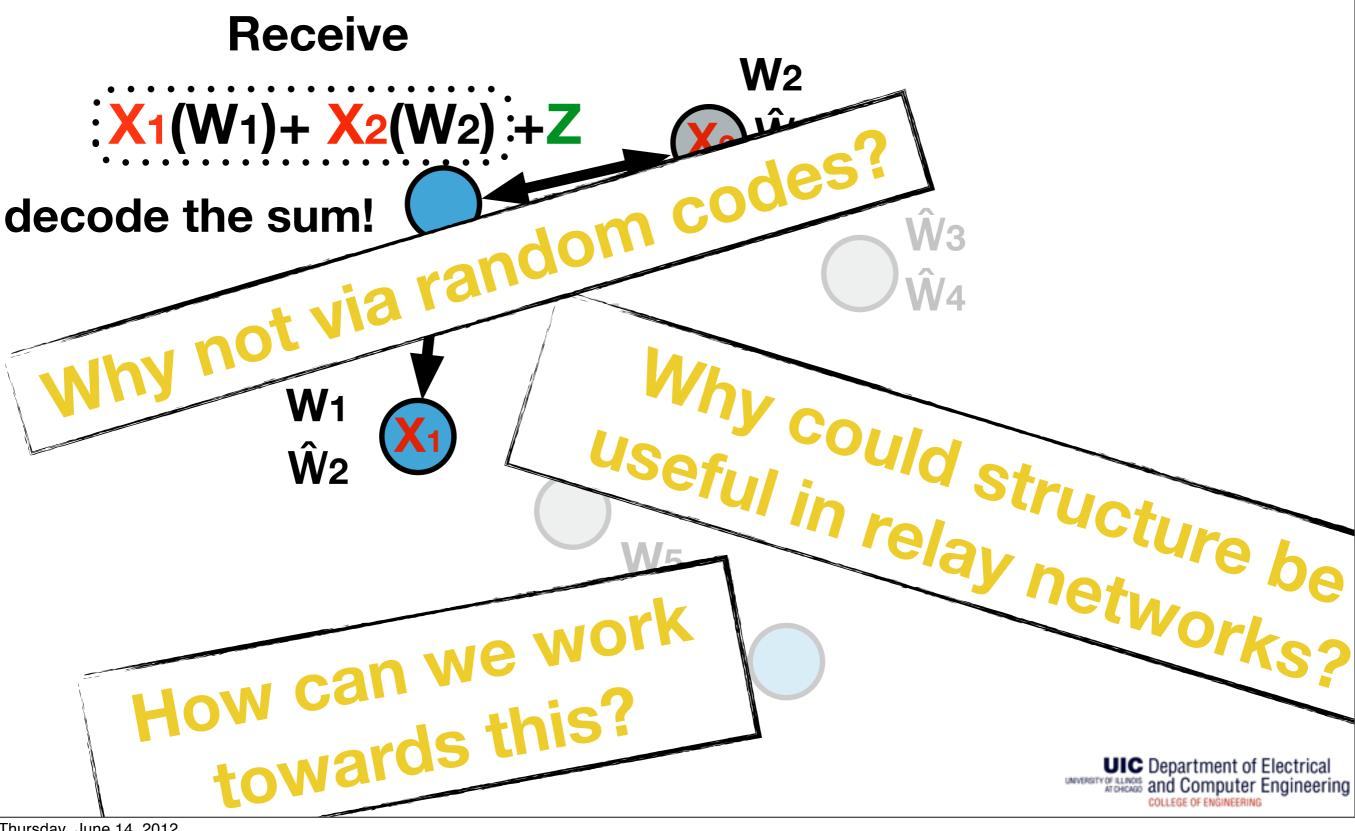




Can exploit channel's natural linearity?



Structured codes for Gaussian networks



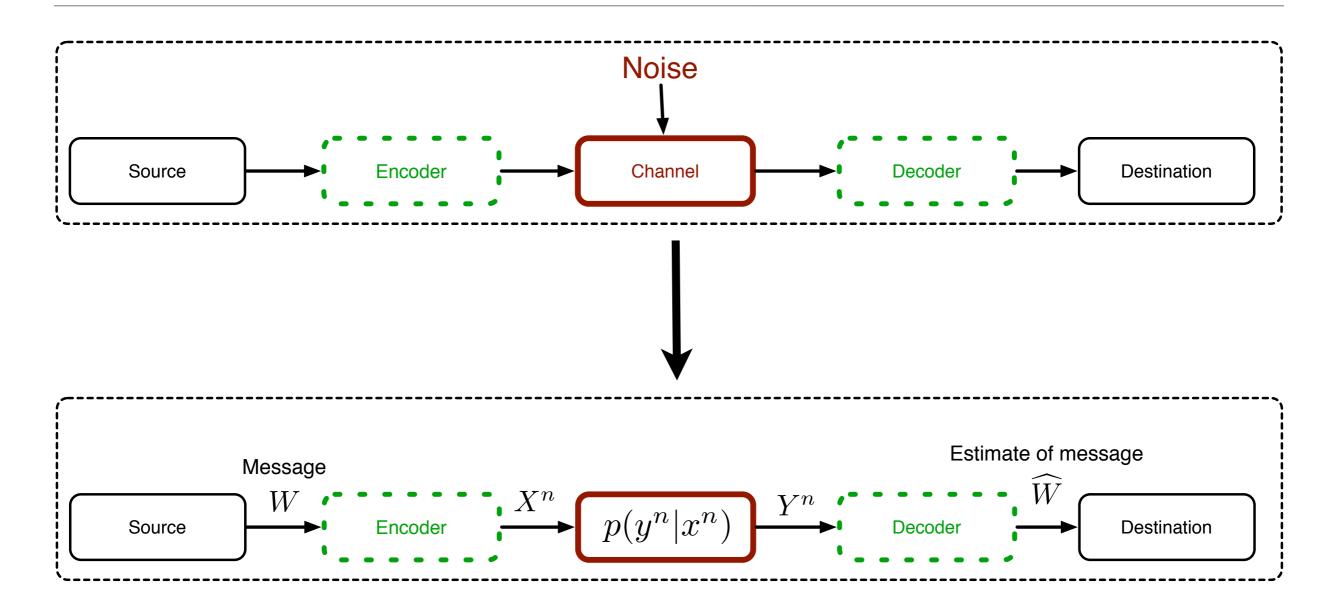
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• Point to point channels: random codes, lattice (structured) codes

- Two-way relay channel: the canonical example of structure being useful
- Compute and Forward (+ Inverse Compute and Forward) for relay networks
- Relaying using lattice codes
- Additional lattice examples
- Conclusion



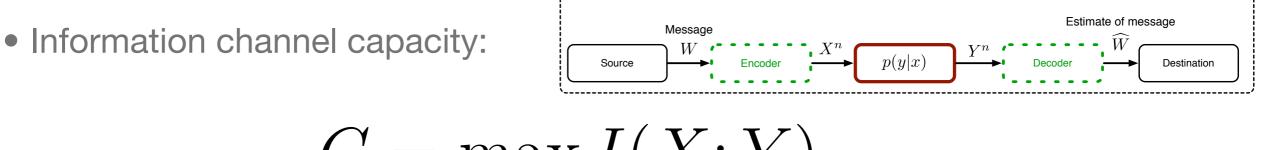
Point-to-point communication system



What is the **capacity** of this channel?

Intuitively Formally

Mathematical description of capacity



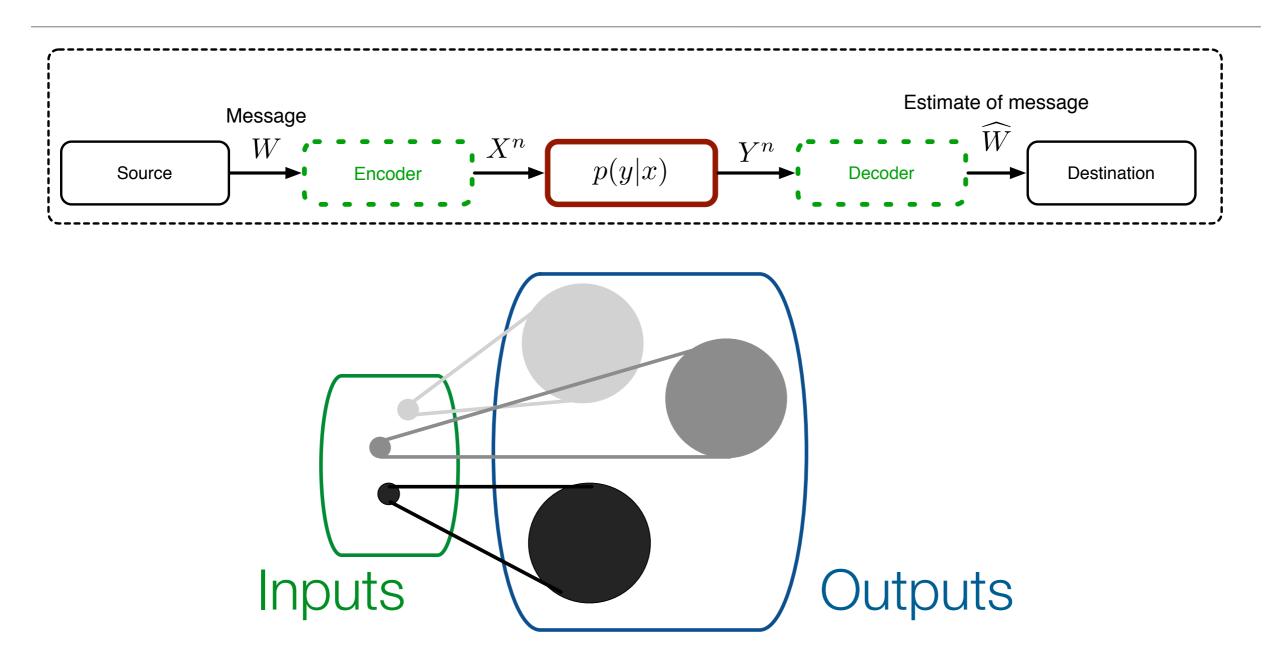
$$C = \max_{p(x)} I(X;Y)$$

• Operational channel capacity:

Highest rate (bits/channel use) that can communicate at reliably

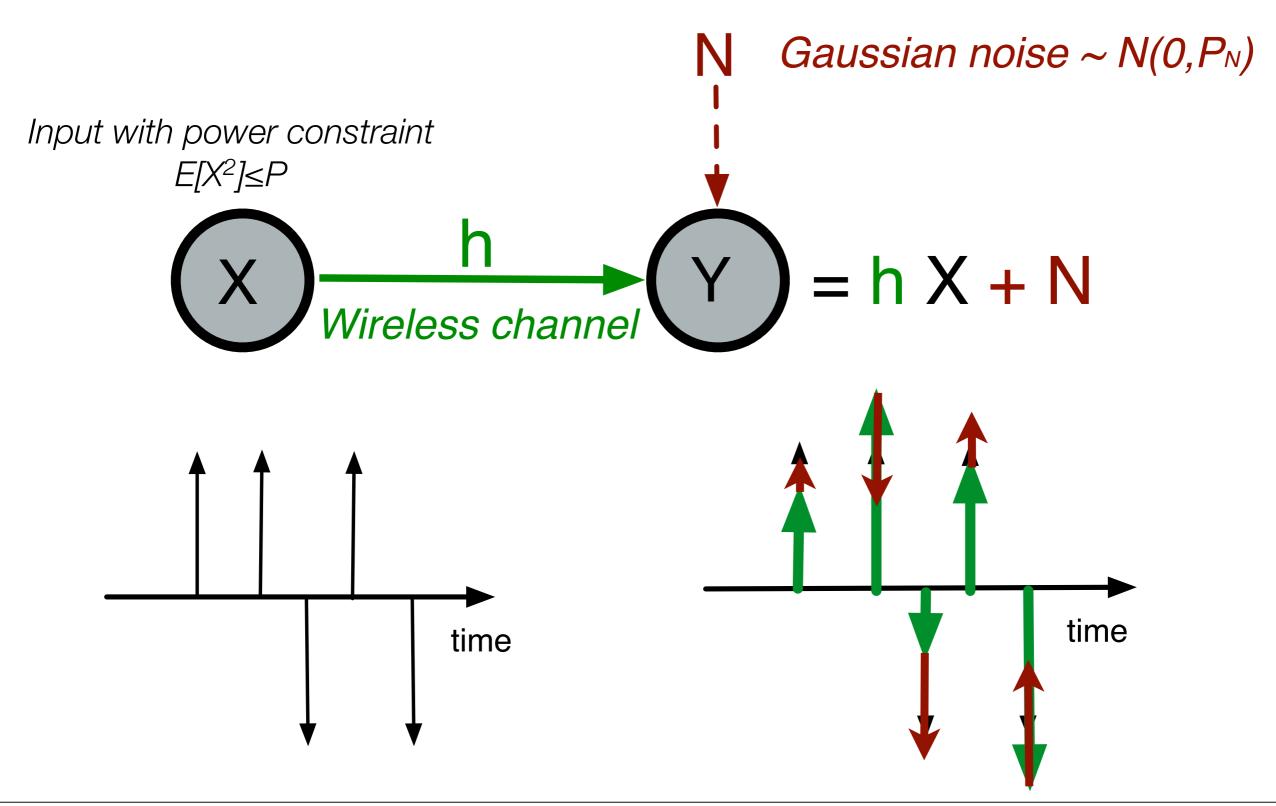
• Channel coding theorem says: information capacity = operational capacity

Capacity: key ideas



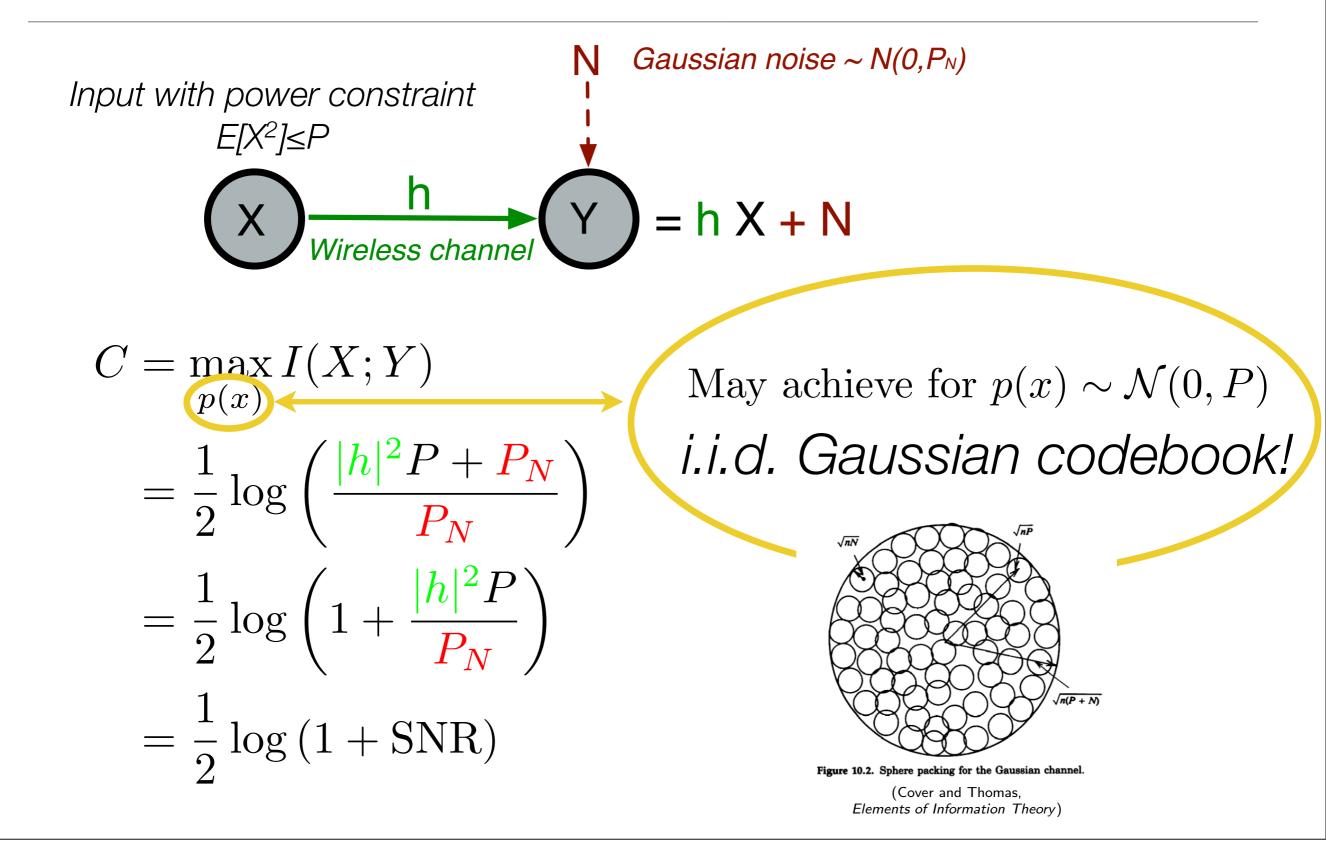
: "non-confusable" inputs = channel's capacity, depends on p(y|x)

Additive white Gaussian noise (AWGN) channel



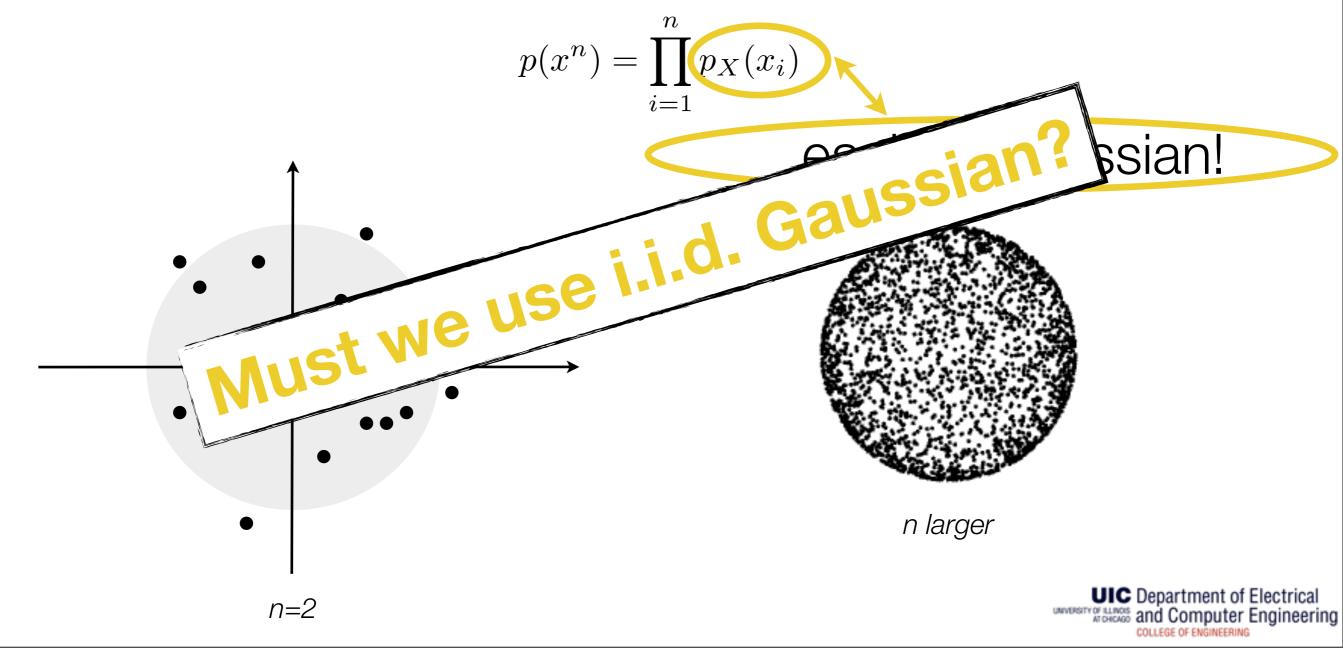
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Additive white Gaussian noise (AWGN) channel

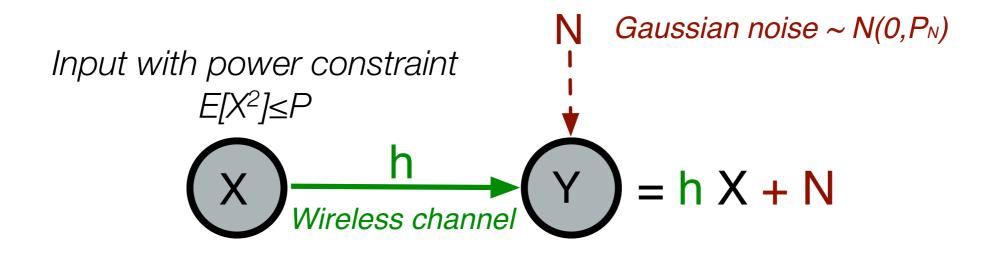


Achieving capacity: random codes

• Generate 2^{nR} (rate R) codewords $X^n = [X_1, X_2, \dots, X_n]$ independently and element-wise i.i.d. according to



Achieving capacity: lattice codes



Theorem: Lattice codes achieve the capacity of the AWGN channel

U. Erez and R. Zamir, Achieving $\frac{1}{2}\log(1 + \text{SNR})$ on the AWGN channel with lattice encoding and decoding, IEEE Transactions on Information Theory, vol. 50, pp. 2293-2314, October 2004.

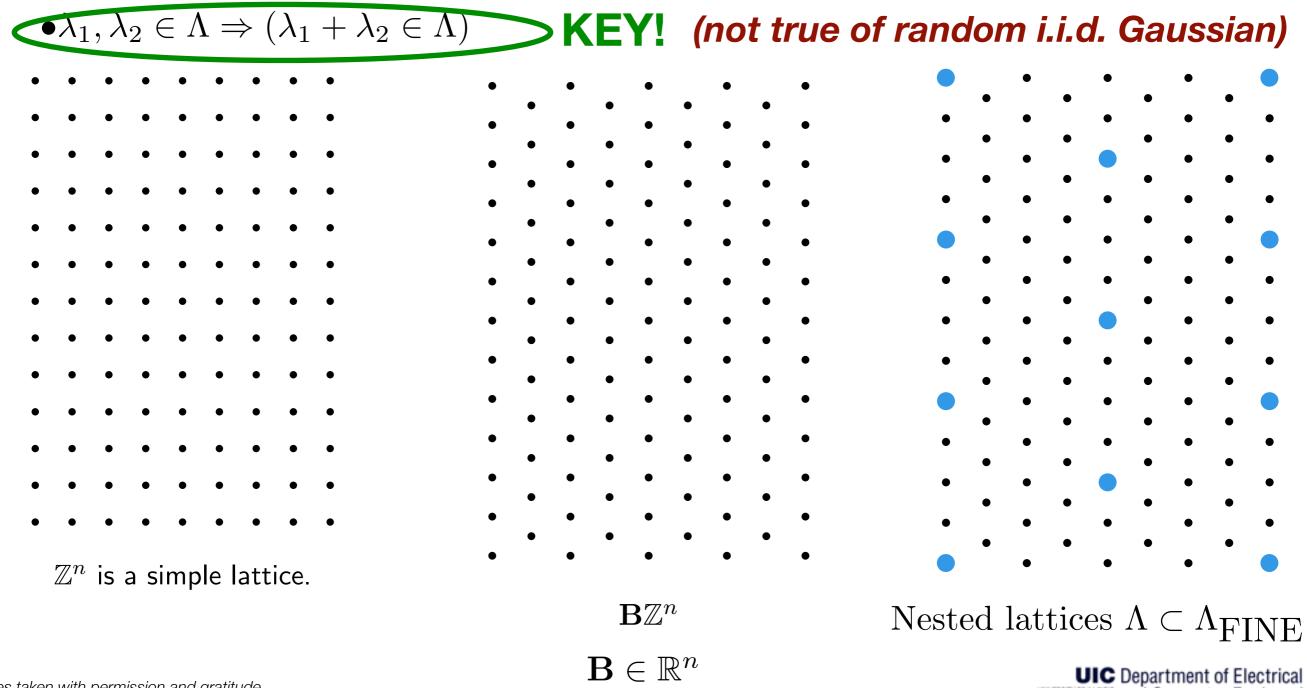
U. Erez, S. Litsyn, and R. Zamir, *Lattices which are good for (al-most) everything*, IEEE Transactions on Information Theory, vol. 51, pp. 3401-3416, October 2005.

R. Zamir, *Lattices are everywhere*, in Proceedings of the 4th Annual Workshop on Information Theory and its Applications, La Jolla, CA, February 2009.

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Lattice code basics

• A lattice Λ is a discrete subgroup of \mathbb{R}^n



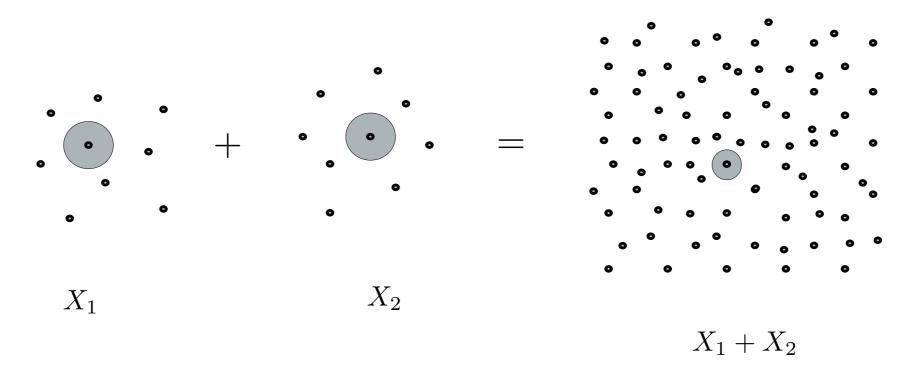
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figures taken with permission and gratitude from [B. Nazer, ISIT 2011 tutorial]

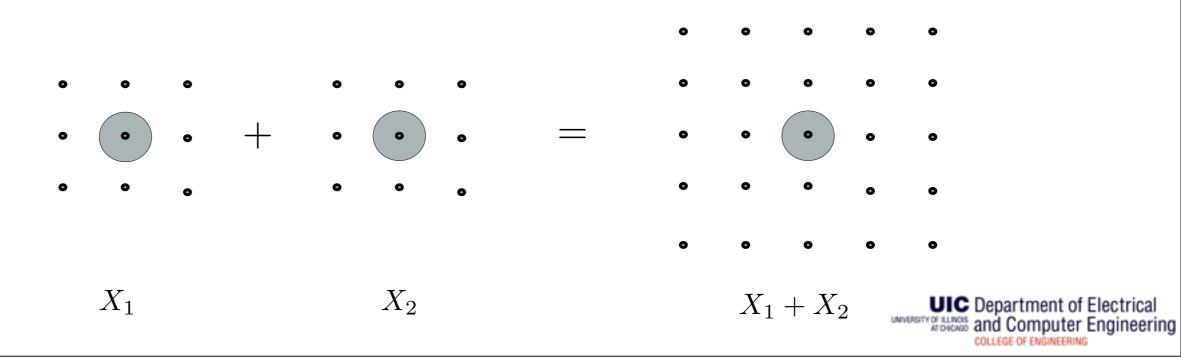
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Sums of random codewords



 $\bullet \lambda_1, \lambda_2 \in \Lambda \Rightarrow (\lambda_1 + \lambda_2 \in \Lambda)$ KEY! (not true of random i.i.d. Gaussian)

Sums of structured codewords



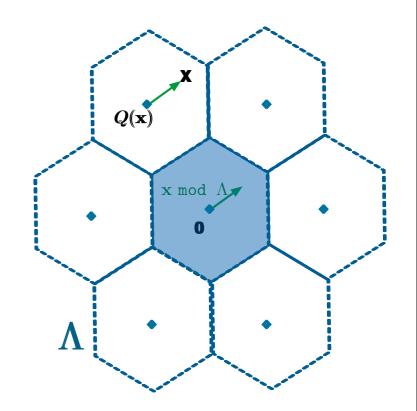
Lattice notation

- $\Lambda = \{\lambda = G \mathbf{i} : \mathbf{i} \in \mathbb{Z}^n\}, G$ the generator matrix
- lattice quantizer of Λ :

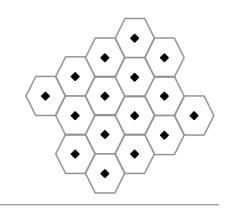
- $\mathbf{x} \mod \Lambda := \mathbf{x} Q(\mathbf{x})$
- fundamental region $\mathcal{V} := \{\mathbf{x} : Q(\mathbf{x}) = \mathbf{0}\}$ of volume V
- second moment per dimension of a uniform distribution over \mathcal{V} :

$$\sigma^2(\Lambda) := \frac{1}{V} \cdot \frac{1}{n} \int_{\mathcal{V}} ||\mathbf{x}||^2 d\mathbf{x}$$

 $Q(\mathbf{X}) = \arg\min_{\lambda \in \Lambda} ||\mathbf{X} - \lambda||$



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Nested lattice codes

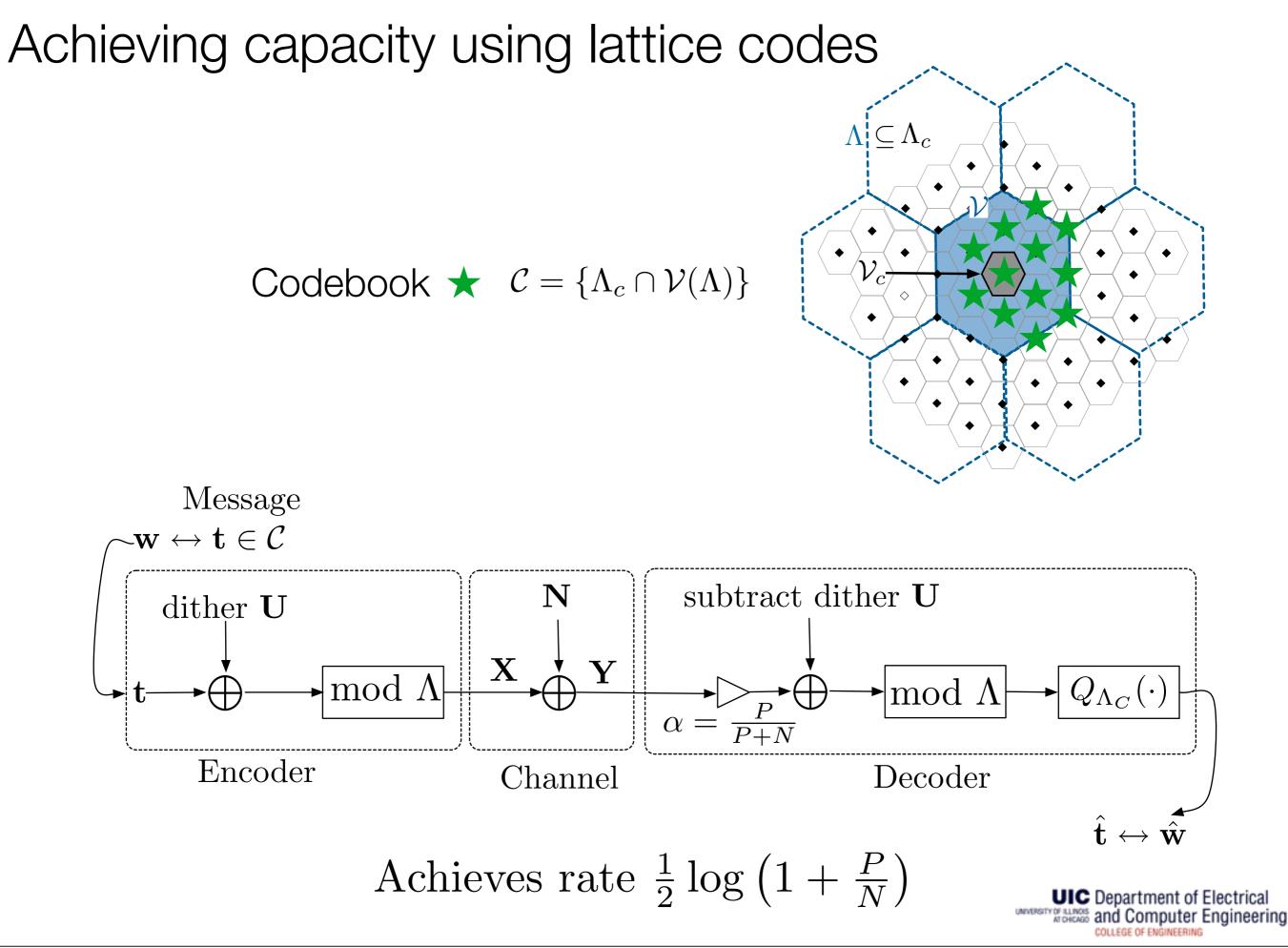
- Nested lattice pair : $\Lambda \subseteq \Lambda_c$
- Lattice in n (blocklength) dimensions
- The code book $\bigstar C = \{\Lambda_c \cap \mathcal{V}(\Lambda)\}$ used to achieve the capacity of AWGN channel [*Erez+Zamir, Trans. IT, 2004*]

$$\Lambda \subseteq \Lambda_{c}$$

is

• Coding rate:
$$R = \frac{1}{n} \log |\mathcal{C}| = \frac{1}{n} \log \frac{V(\Lambda)}{V(\Lambda_c)}$$
 arbitrary (# of \bigstar)



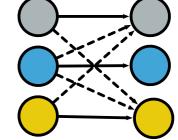


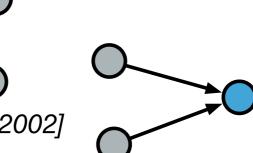
Lattice codes for Gaussian single-hop channels?

- AWGN channel [Erez, Zamir, Trans. IT, 2004]
- AWGN broadcast channel [Zamir, Shamai, Erez, Trans. IT, 2002]
- AWGN multiple-access [Nazer, Gastpar, TransIT 2011] and ``dirty" multiple-access channels [Philosof, Khisti, Erez, Zamir, ISIT 2007]
- Distributed source coding [Krithivasan, Pradhan, TransIT 2009]
- AWGN interference channel: interference decoding / interference alignment in K>2 interference channels [Bresler, Parekh, Tse, TransIT, 2010] [Sridharan, Jafarian, Jafar, Shamai, arXiv 2008]

What about multi-hop relay networks?





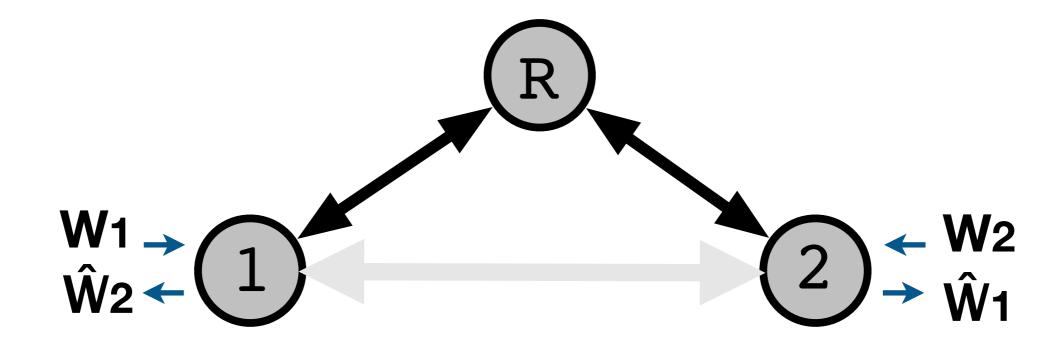


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Two-way relay channel

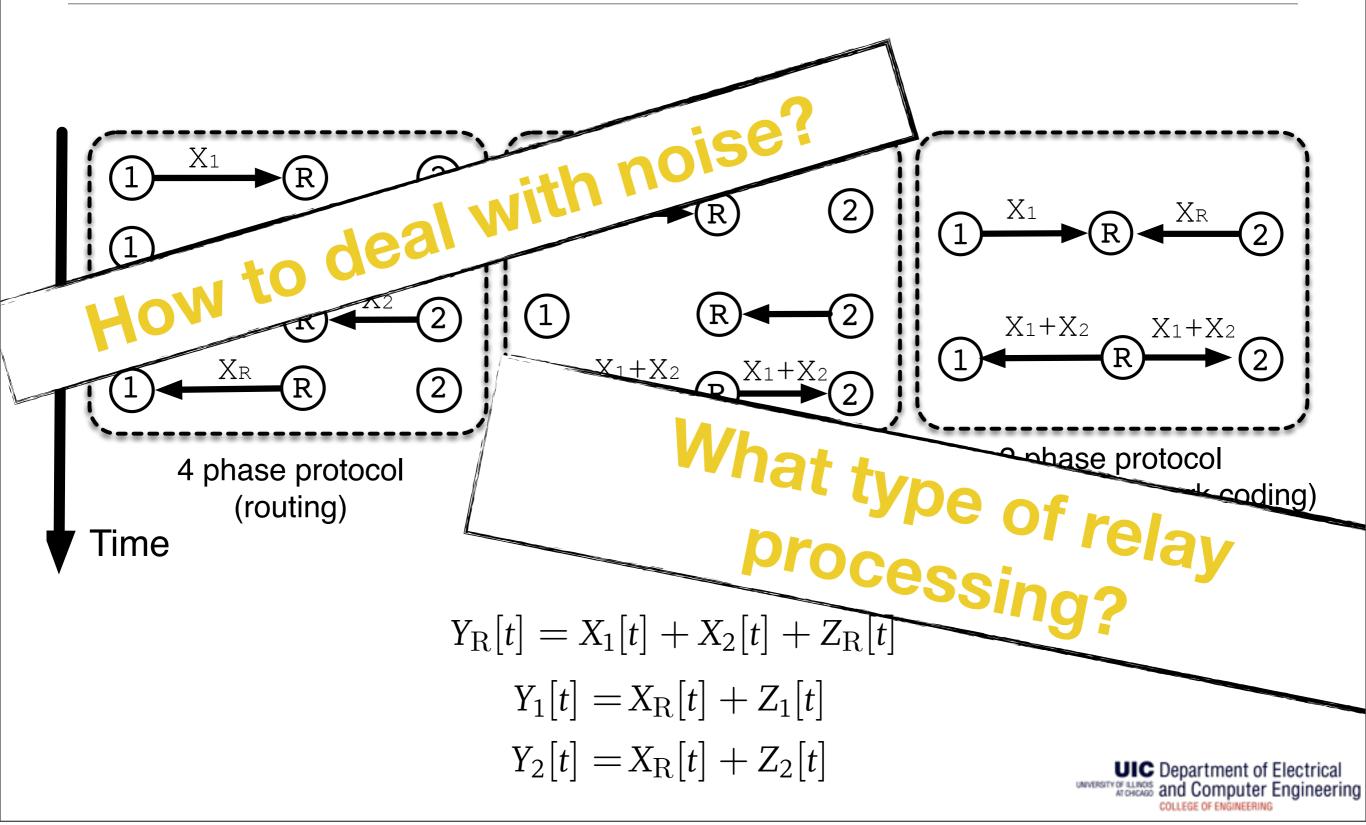
[Wu, Chou, Kung 2004]



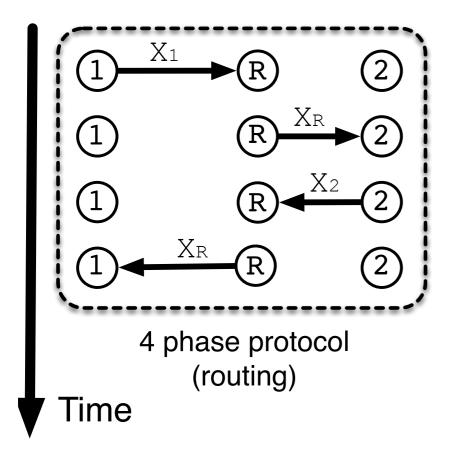


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4 possible protocols for half-duplex nodes

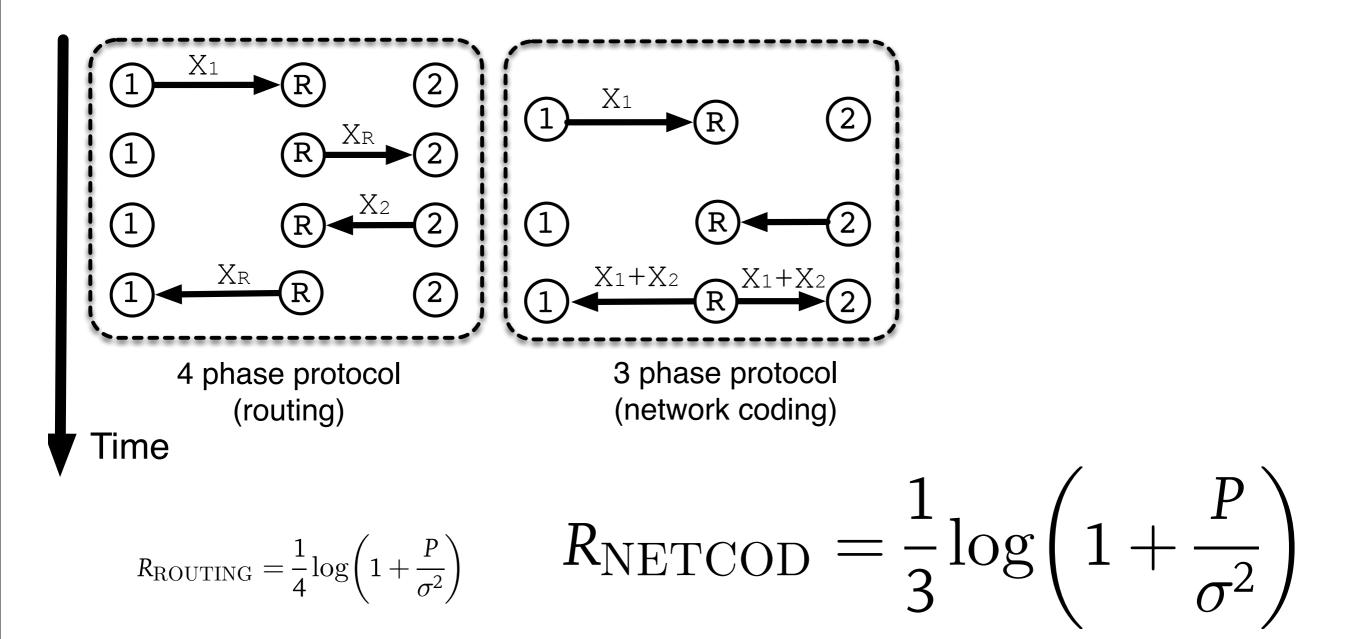


4 phase routing



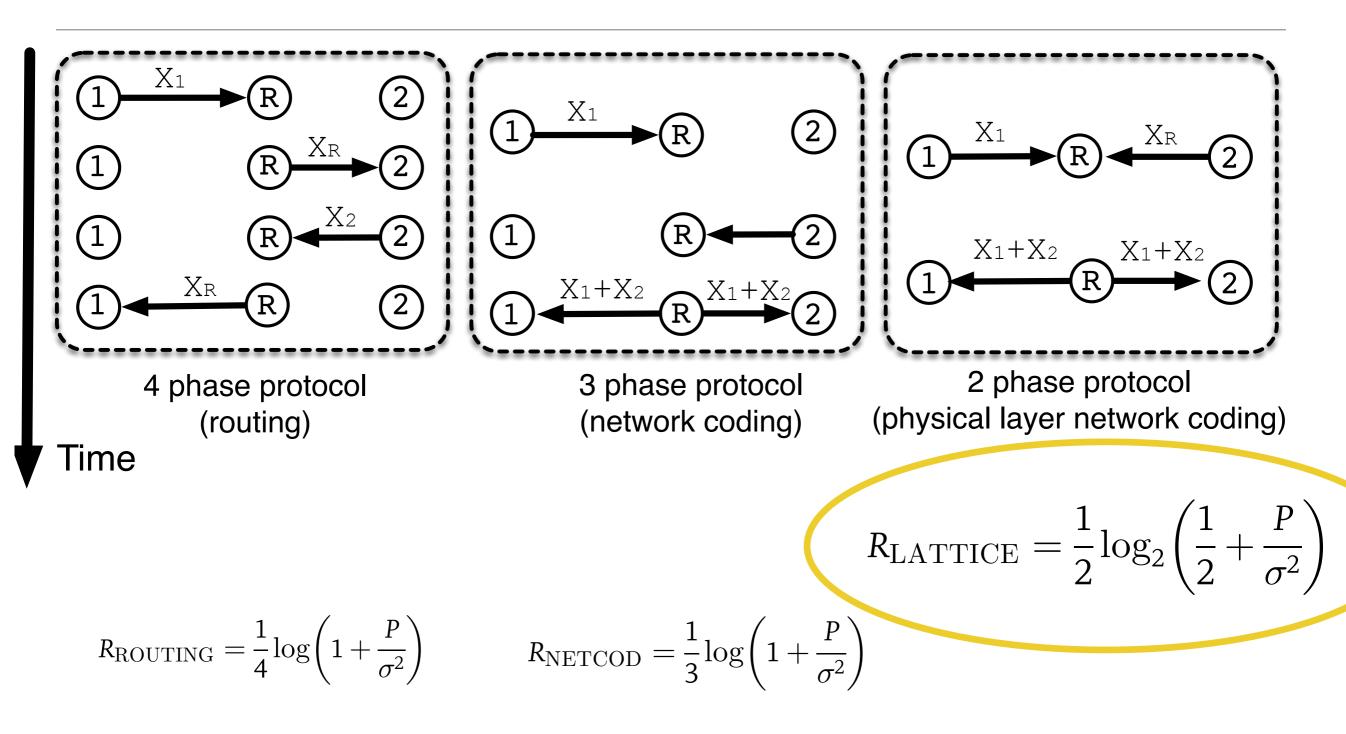
$$R_{\rm ROUTING} = \frac{1}{4} \log \left(1 + \frac{P}{\sigma^2} \right)$$

3 phase network coding





2 phase physical layer network coding

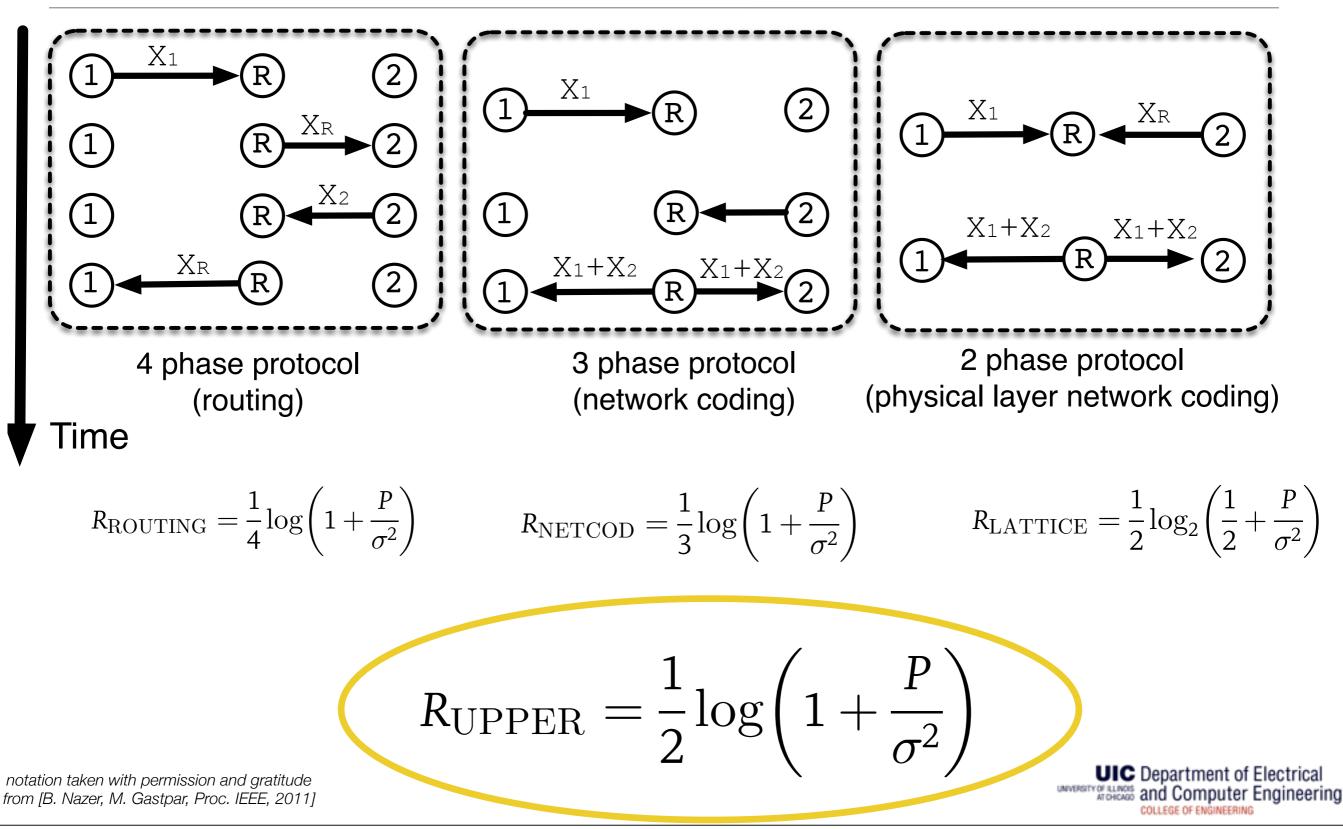


notation taken with permission and gratitude from [B. Nazer, M. Gastpar, Proc. IEEE, 2011]

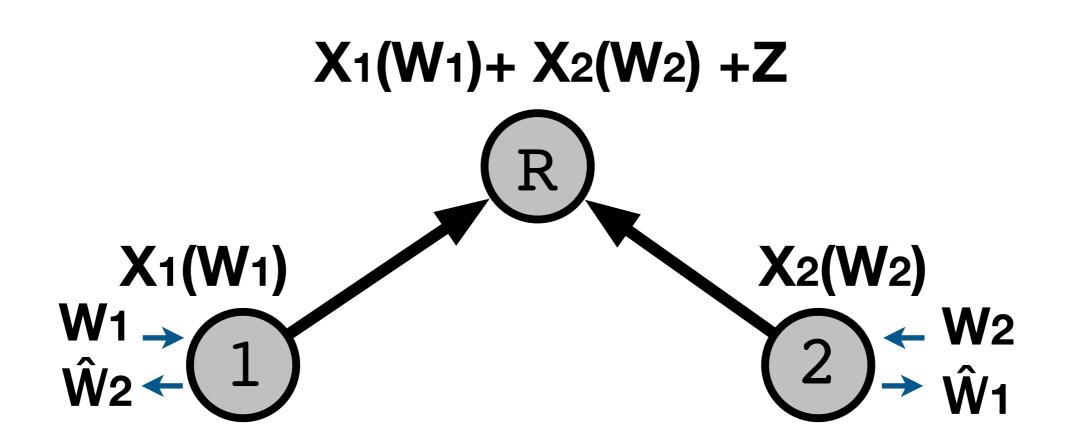


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2 phase physical layer network coding



[Nazer, Gastpar, 2011] [Nam, Chung, Lee, 2010] [Wilson, Narayanan, Pfister, Sprintson,2010]

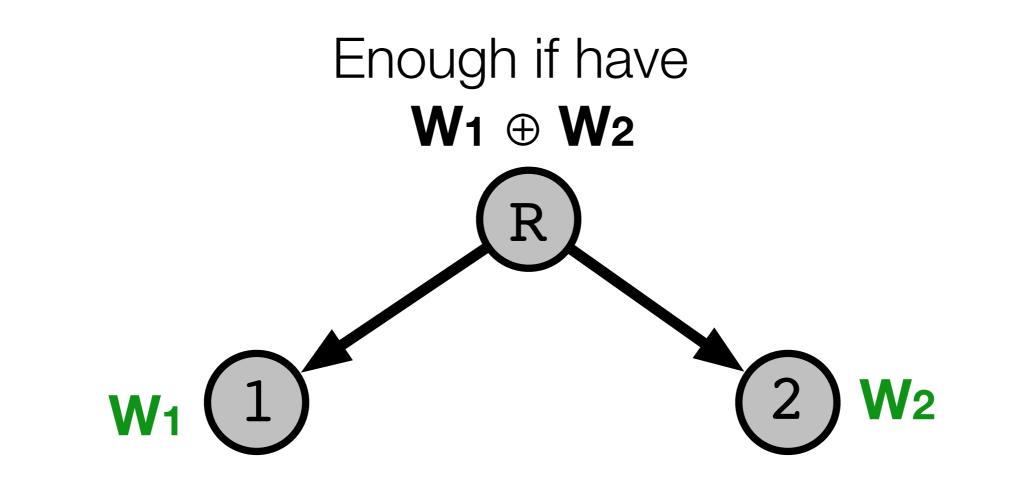


Multiple access channel? Ŵ1, Ŵ2

depends on what the relay needs



Exploit own-message side-information

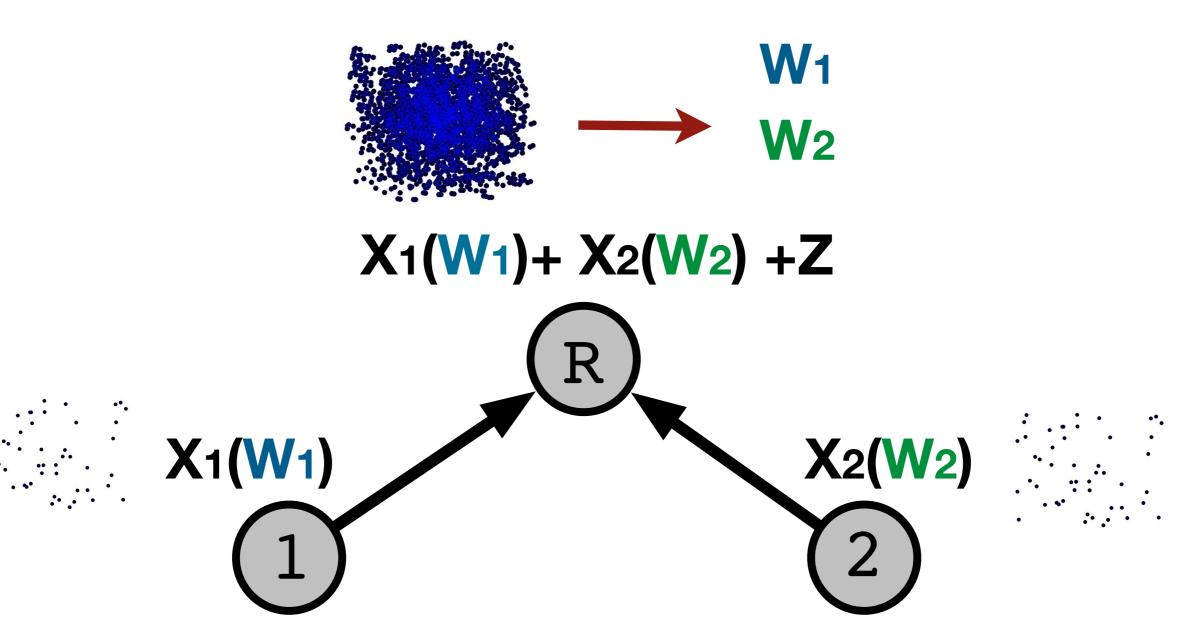


$\hat{W}_2 = W_1 \oplus W_2 \oplus W_1 \qquad \qquad \hat{W}_1 = W_1 \oplus W_2 \oplus W_2$

Don't necessarily need individual messages at relay! Don't decode what you don't need!

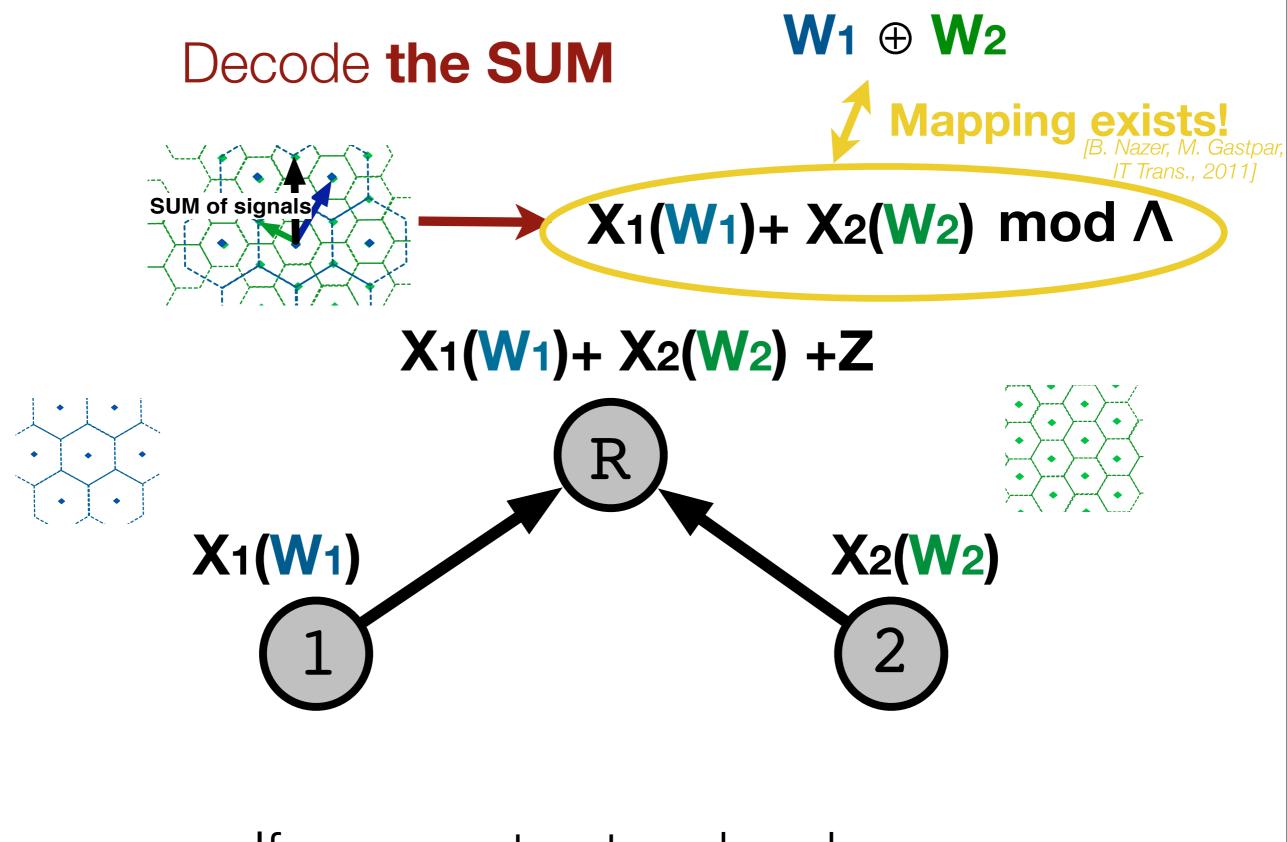
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Decode **both** messages



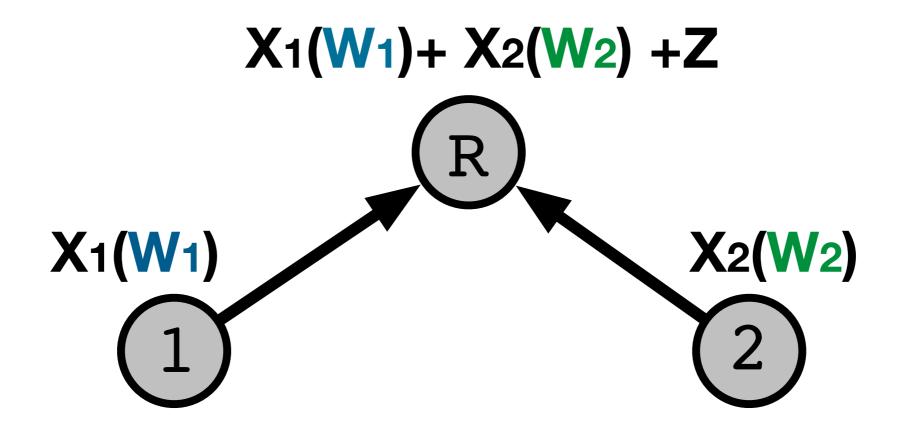
If we use random codes....





If we use structured codes....

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Random codes

$$R_1 \leq \frac{1}{2} \log \left(1 + \frac{P_1}{N_R} \right)$$
$$R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_2}{N_R} \right)$$
$$R_1 + R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_1 + P_2}{N_R} \right)$$

Structured codes

$$R_{1} \leq \frac{1}{2} \log \left(\frac{P_{1}}{P_{1} + P_{2}} + \frac{P_{1}}{N_{R}} \right)$$
$$R_{2} \leq \frac{1}{2} \log \left(\frac{P_{2}}{P_{1} + P_{2}} + \frac{P_{2}}{N_{R}} \right)$$

No sum-rate!

Can handle unequal channels and powers!

[Nam, Chung, Lee, 2010]

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Gains (equal power and channel gains)

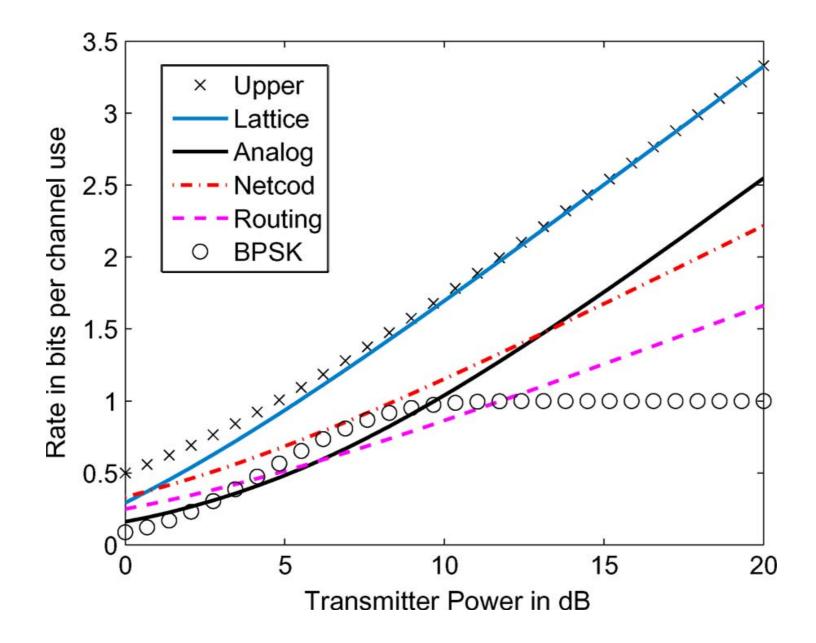
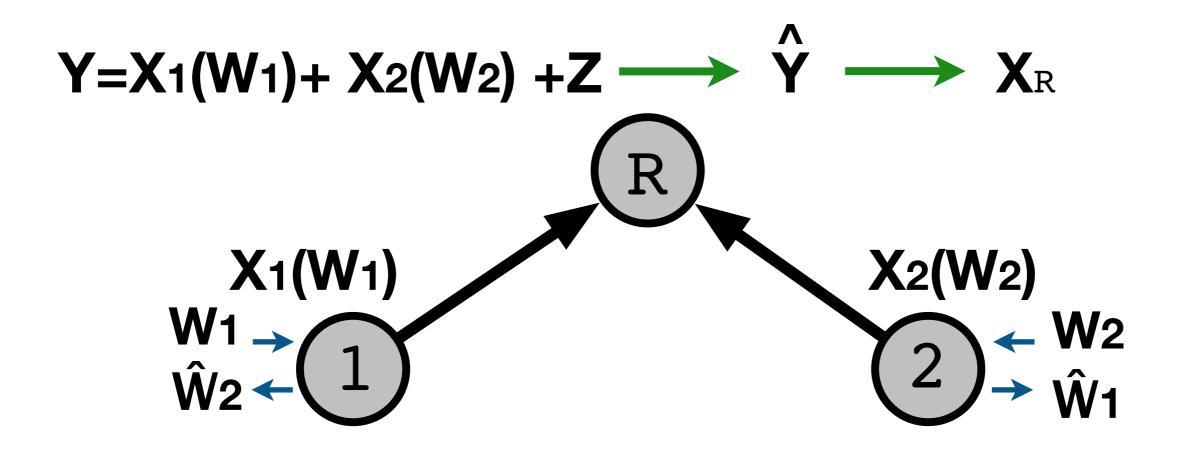


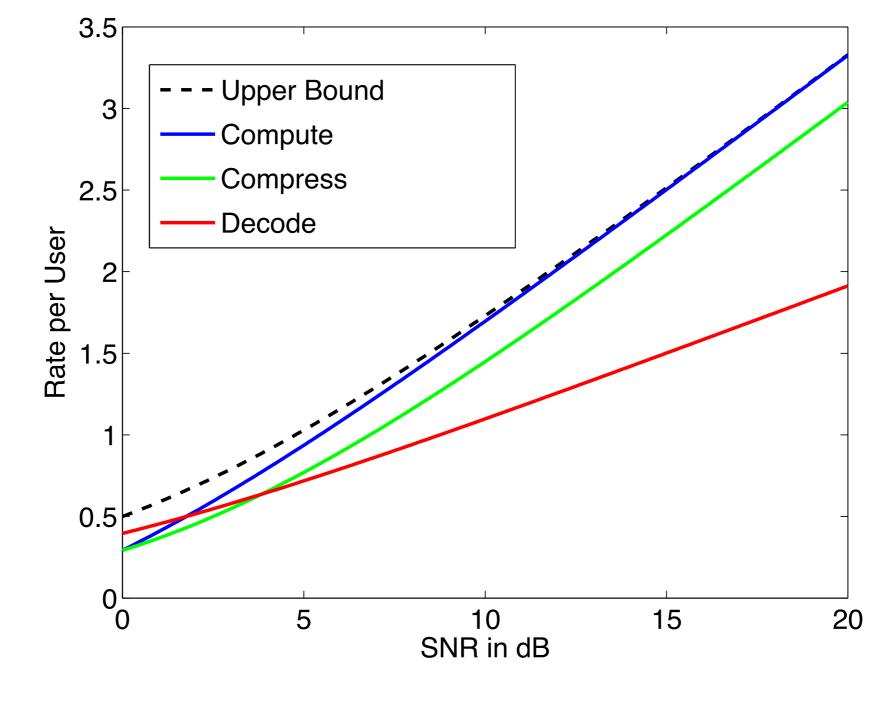
Fig. 11. A performance comparison of the schemes for the two-way relay channel discussed in this paper.

taken with permission and gratitude from [B. Nazer, M. Gastpar, Proc. of IEEE, Mar. 2011] Why force the decode?



Why not **compress-and-forward**?

Gains (equal power and channel gains)

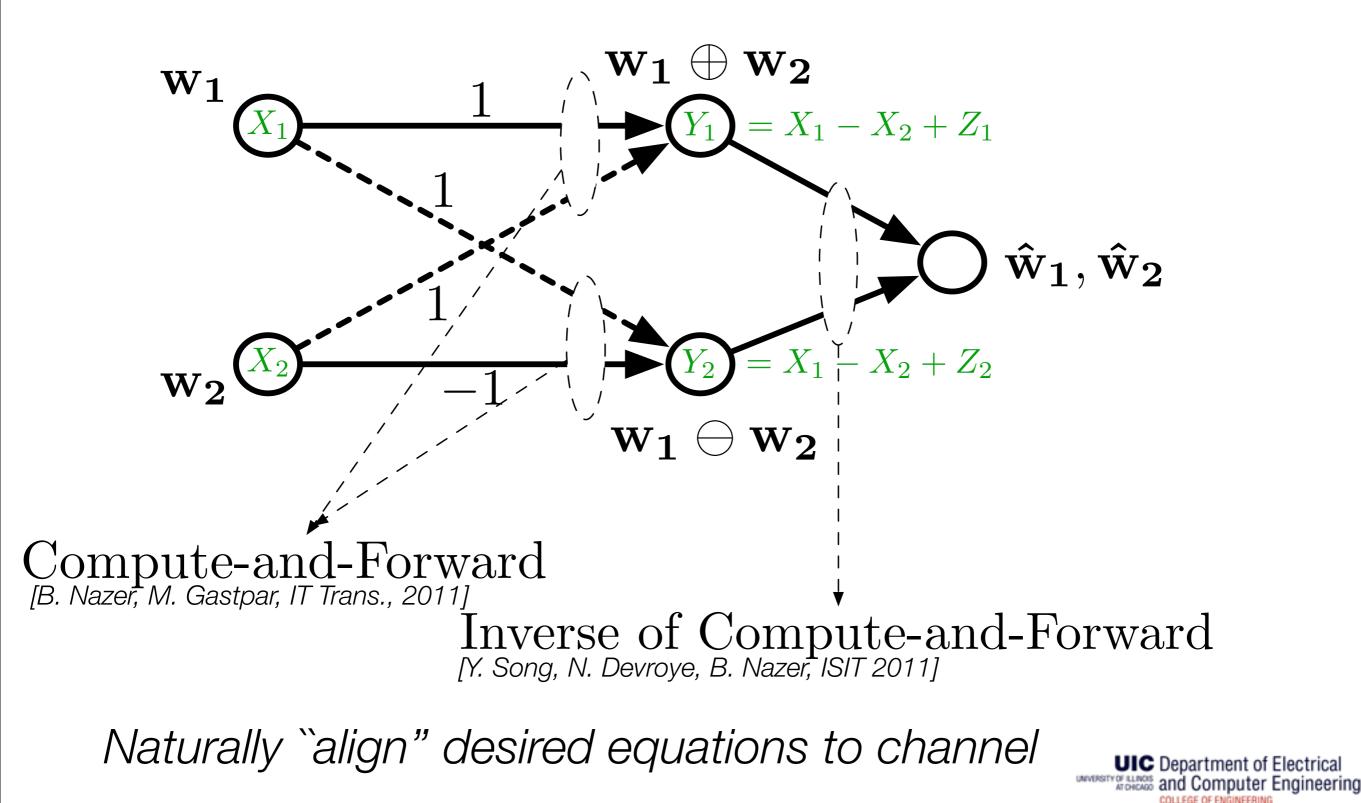


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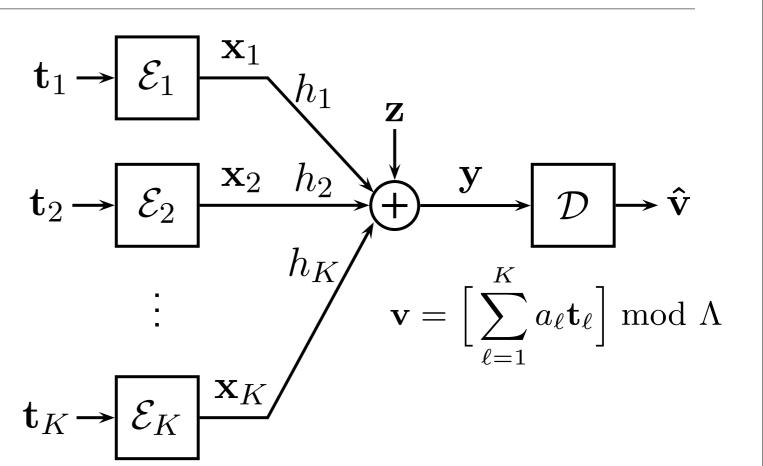


Compute-and-forward



Compute-and-forward

- Txs do not know channels
- Lattice points $\mathbf{t}_i \leftrightarrow \text{message } \mathbf{w}_i$ all from same lattice Λ
- transmit dithered codewords $\mathbf{x}_i = [\mathbf{t}_i + \mathbf{U}_i] \mod \Lambda$

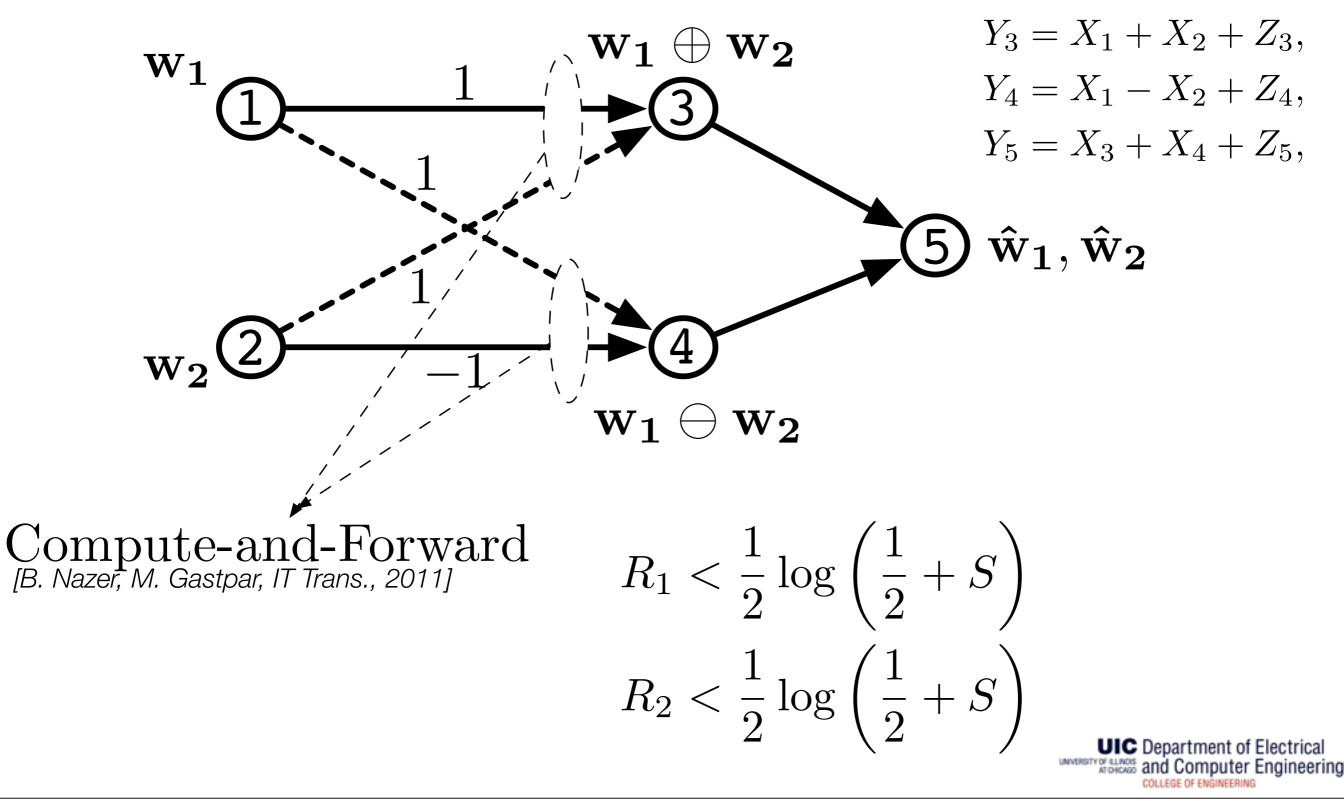


Penalty for not aligning!

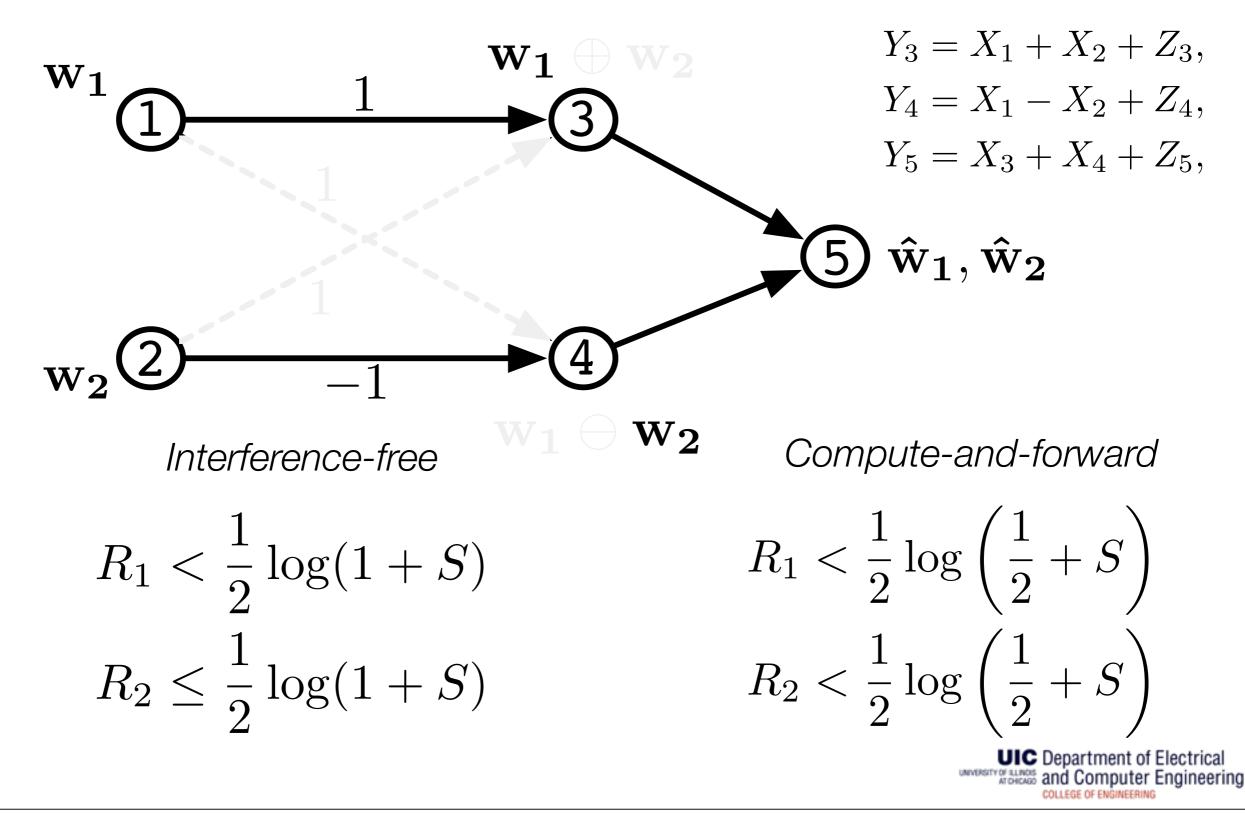
- Rx removes dithers \mathbf{U}_i and recovers the equation $\mathbf{v} = \left[\sum_{l=1}^{K} a_l \mathbf{t}_l\right] \mod \Lambda$, map it back to message space to obtain $\mathbf{u} = \bigoplus_{l=1}^{N} a_l \mathbf{w}_l$
- \bullet equal rate R achievable if

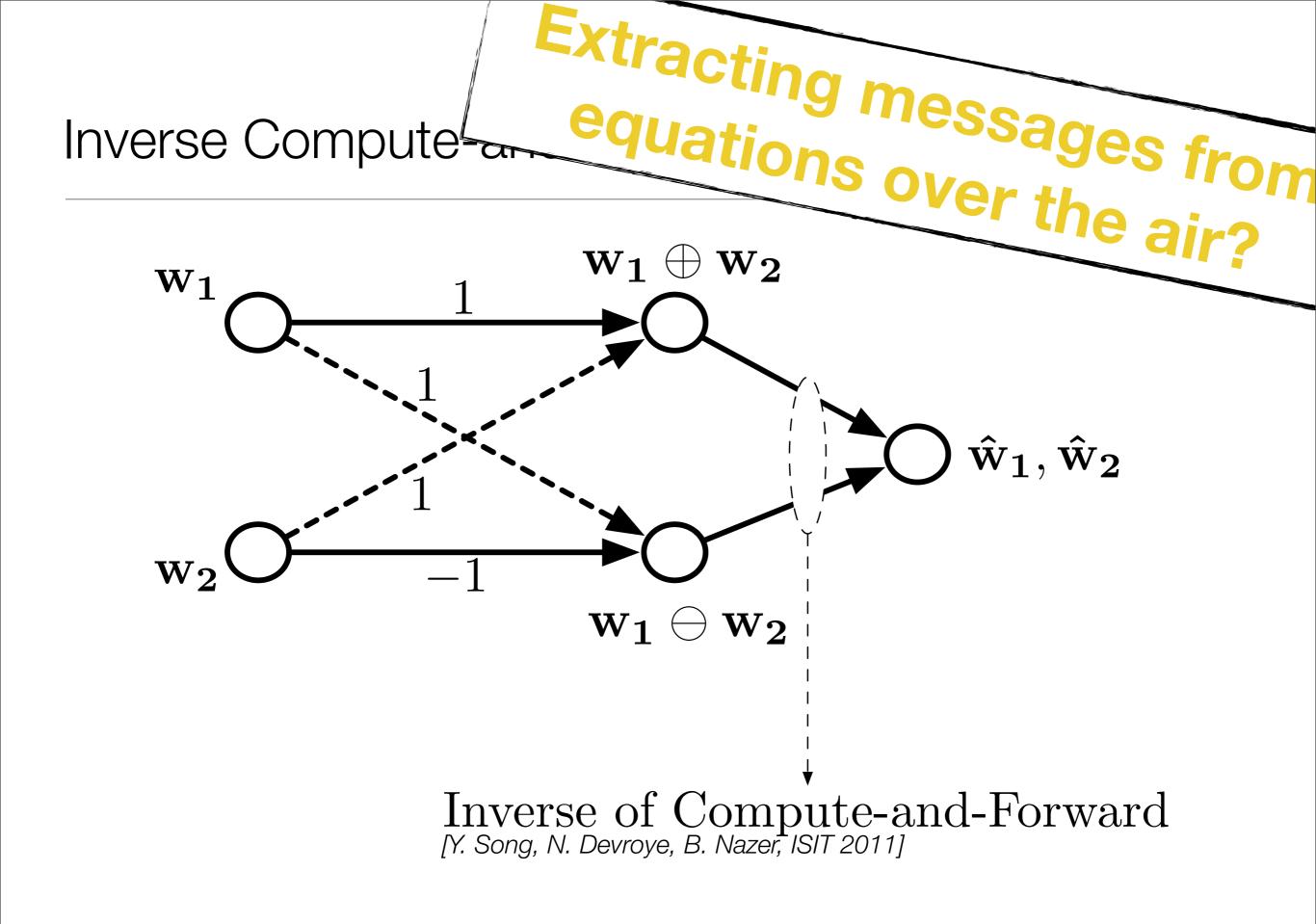
$$R < \frac{1}{2} \log \left(\frac{N + P||\mathbf{h}||^2}{N||\mathbf{a}||^2 + P(||\mathbf{h}_k||^2||\mathbf{a}_k||^2 - (\mathbf{h}_k^T \mathbf{a}_k)^2)} \right)$$

UIC Department of Electrical and Computer Engineering COLLEGE OF ENGINEERING Applying this...

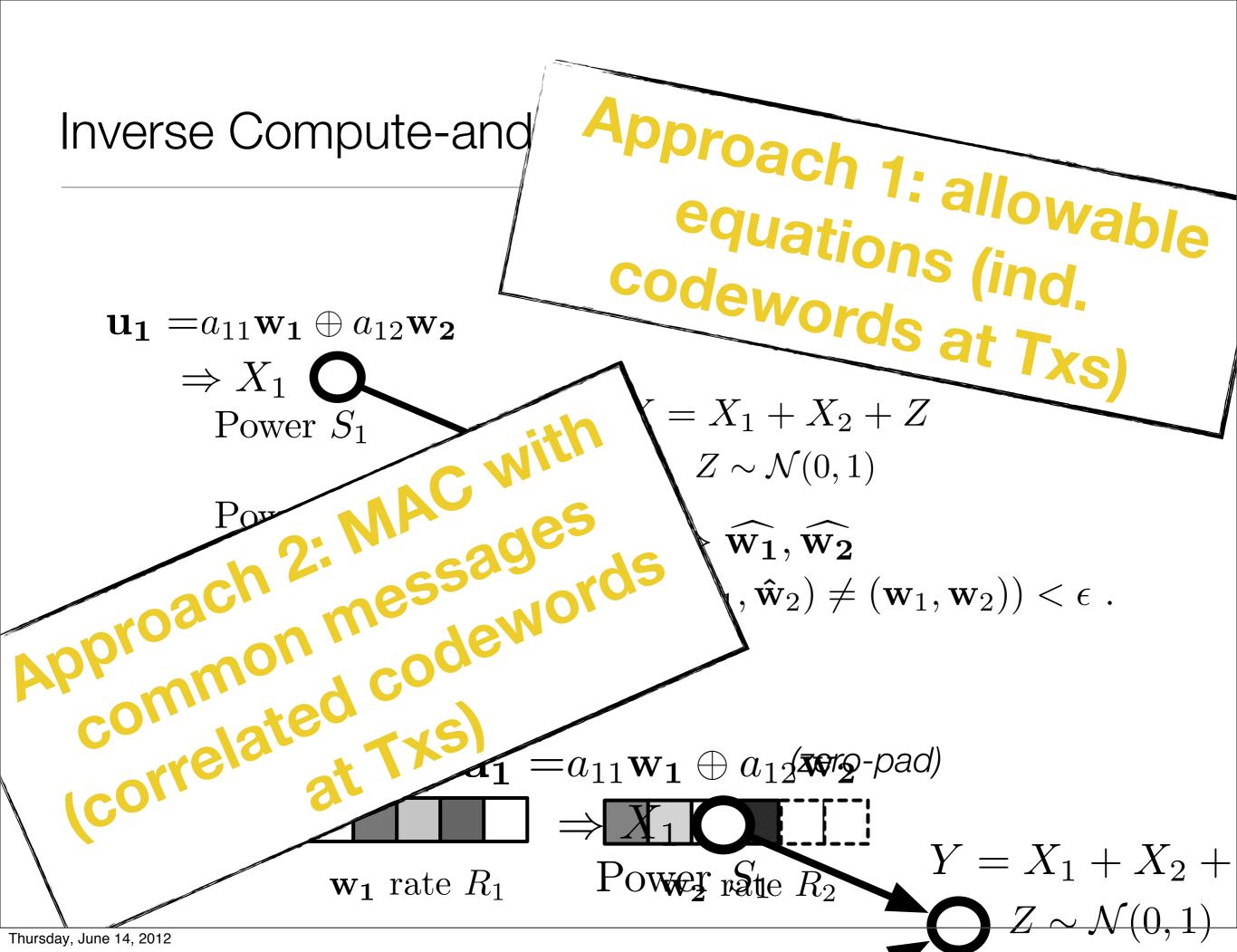


If no interference links....

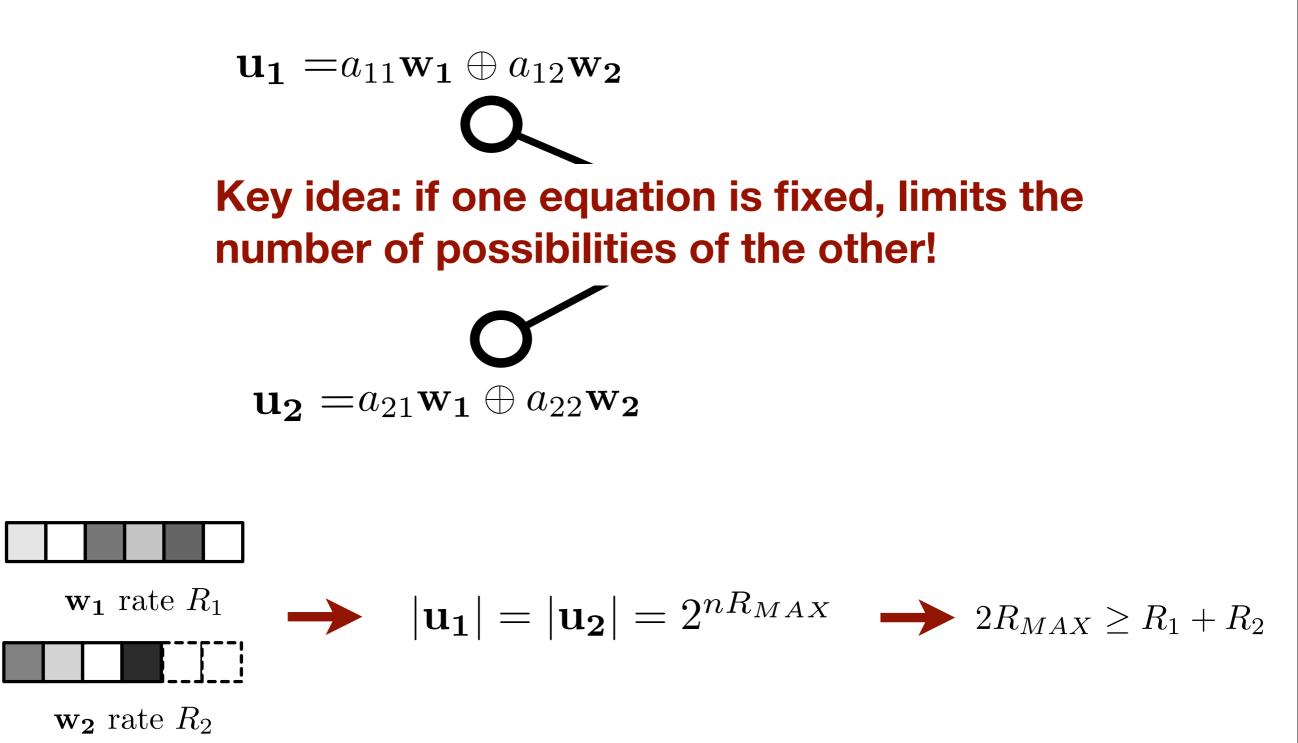




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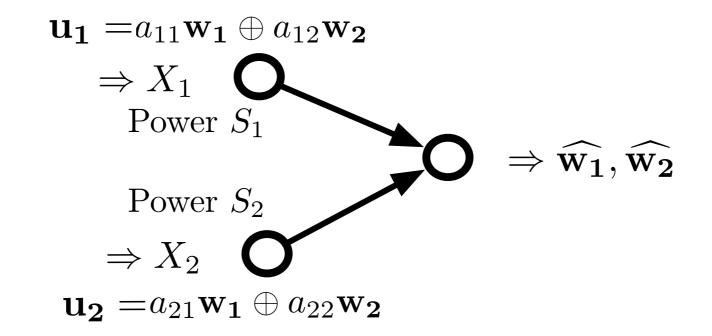


Approach 1: allowable equations



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Approach 1: allowable equations

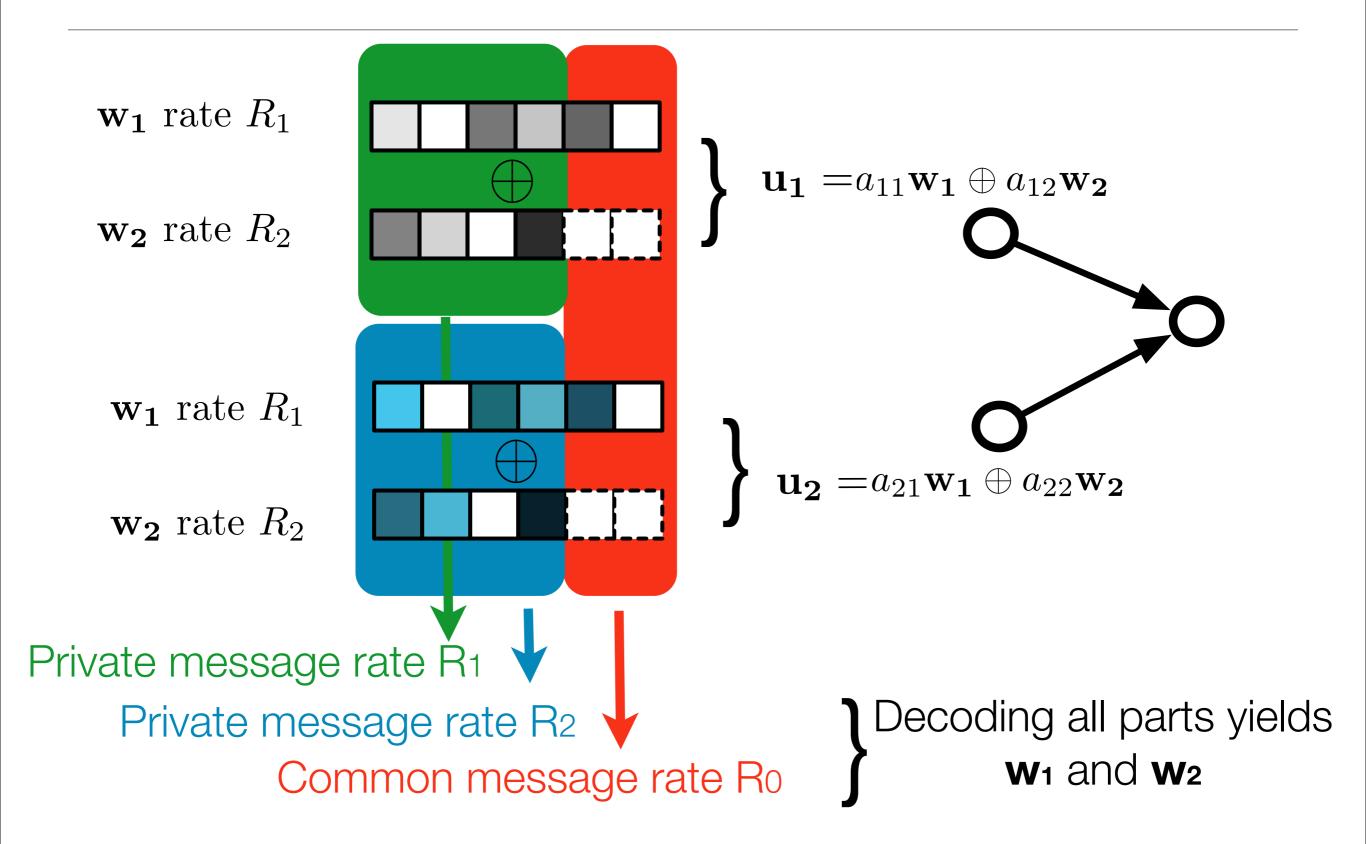


$$\min(R_1, R_2) < \min(C(S_1), C(S_2))$$
$$R_1 + R_2 < C(S_1 + S_2).$$

Can handle special cases like coefficients =0

[Slepian, Wolf, 1973], [Han, 1979]

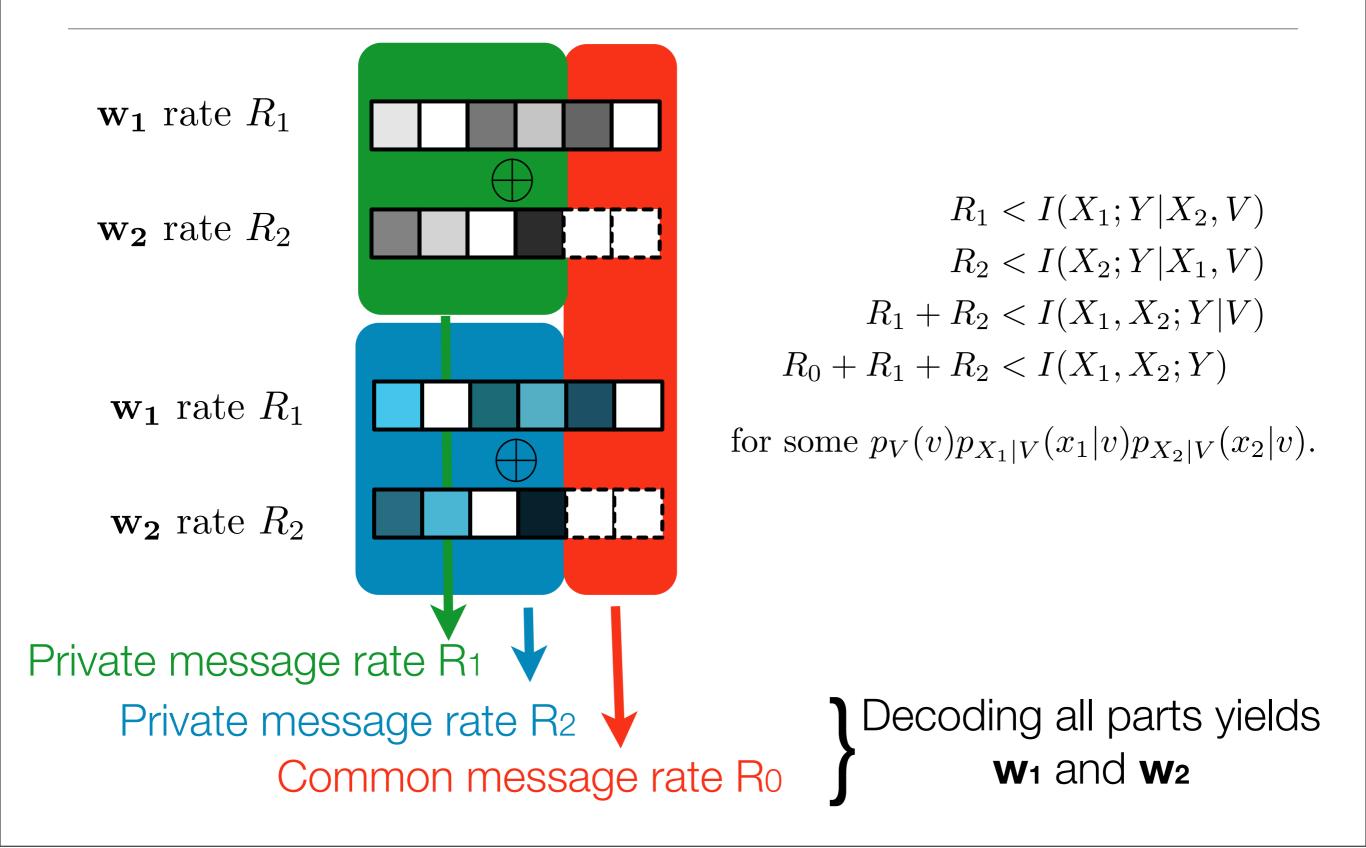
Approach 2: MAC with common messages



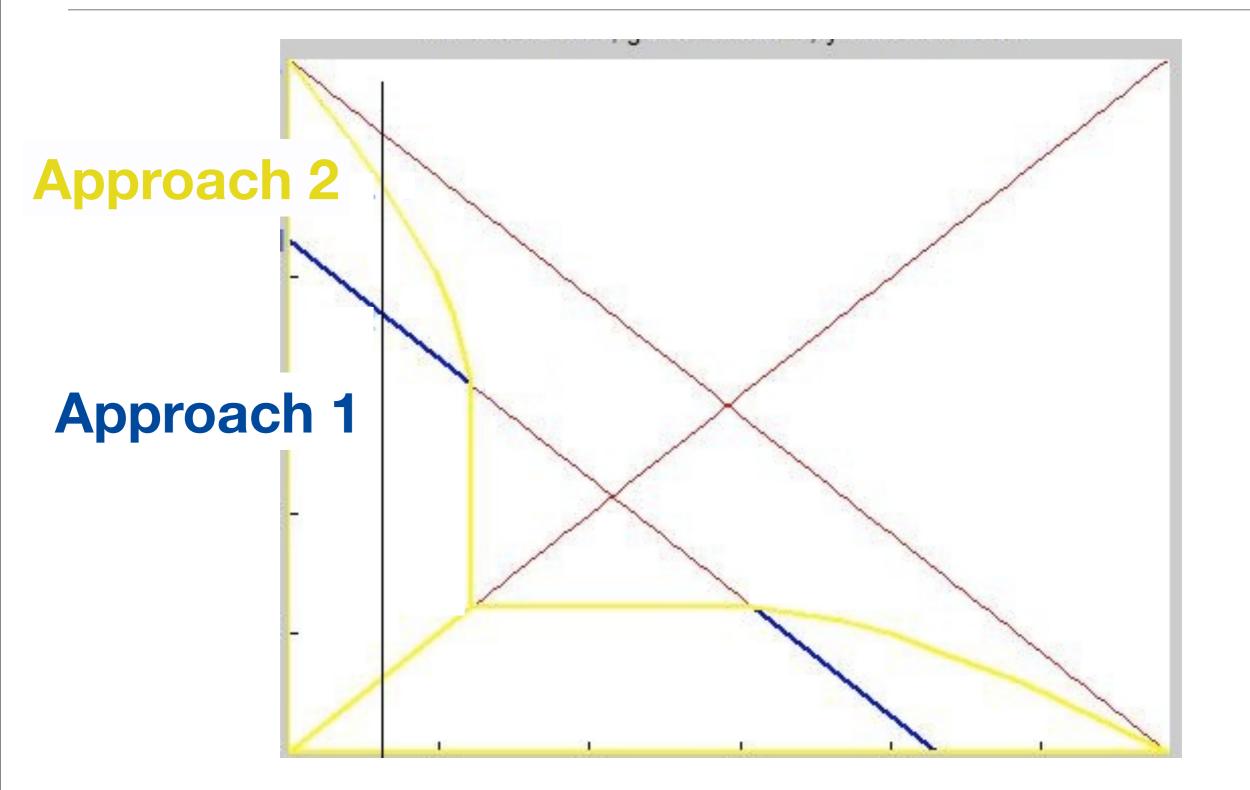
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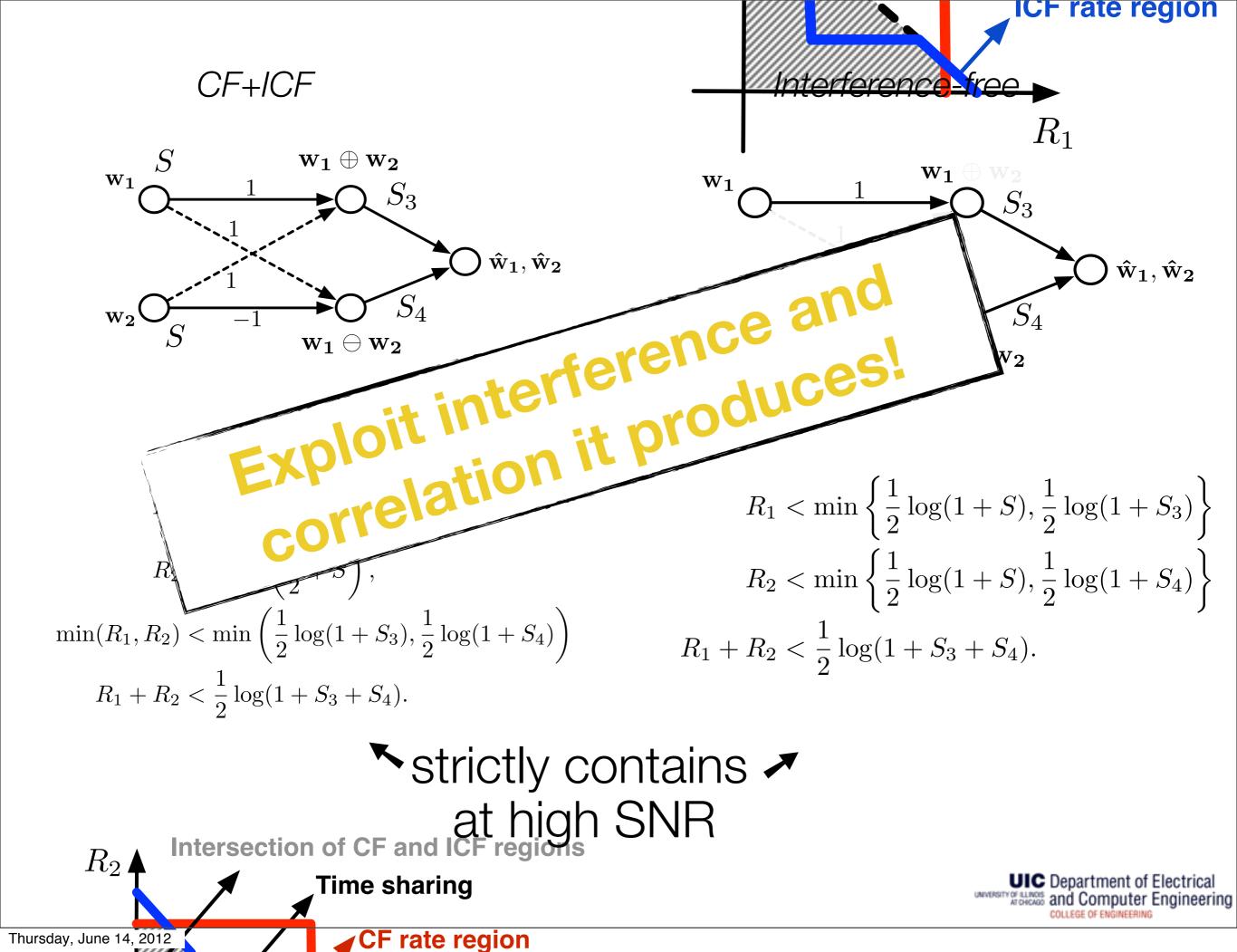
[Slepian, Wolf, 1973], [Han, 1979]

Approach 2: MAC with common messages

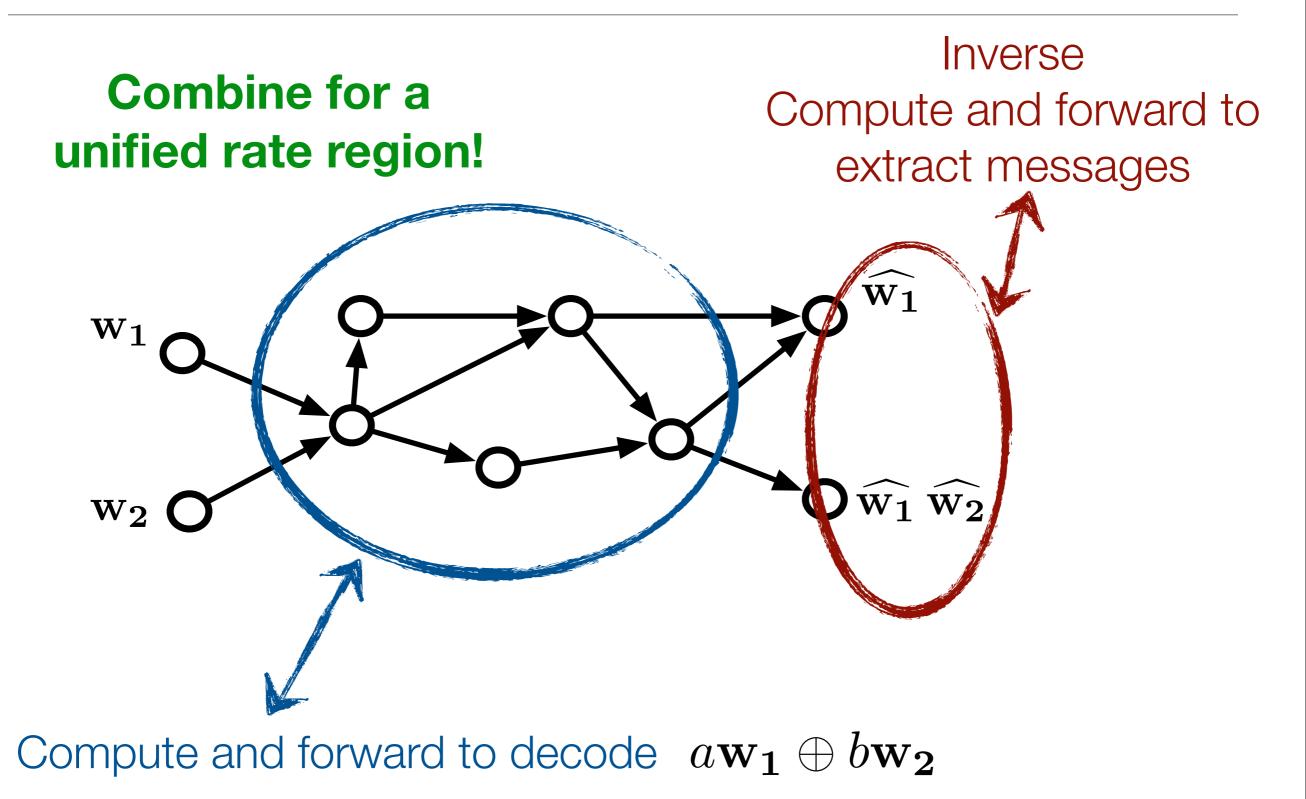


Inverse Compute-and-Forward regions





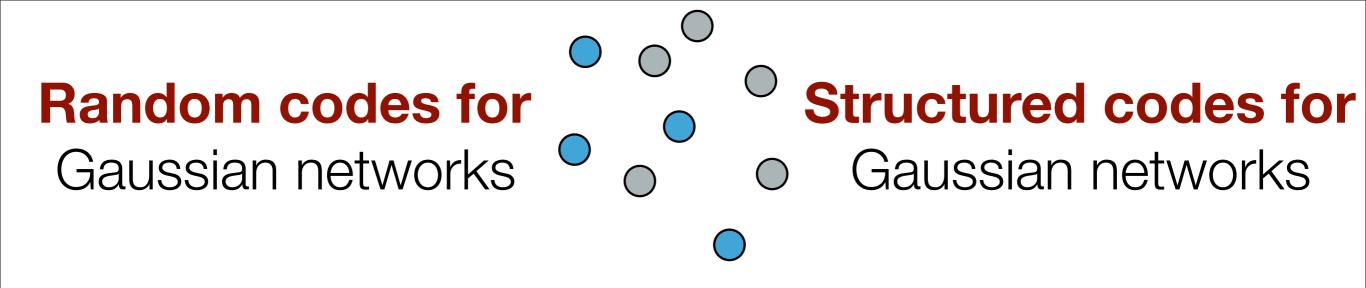




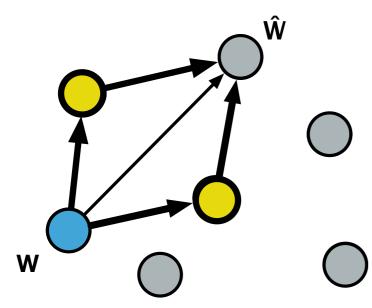
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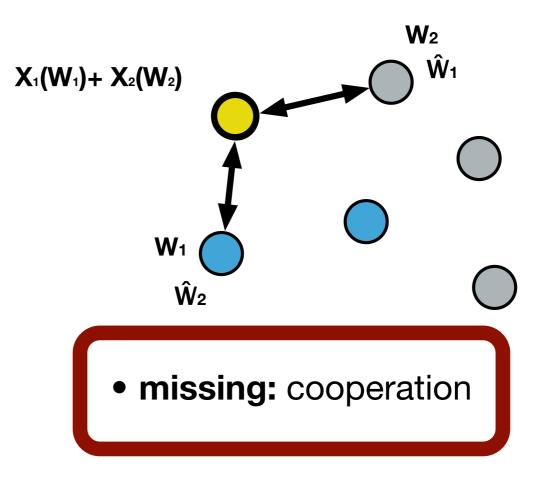


• have: cooperation



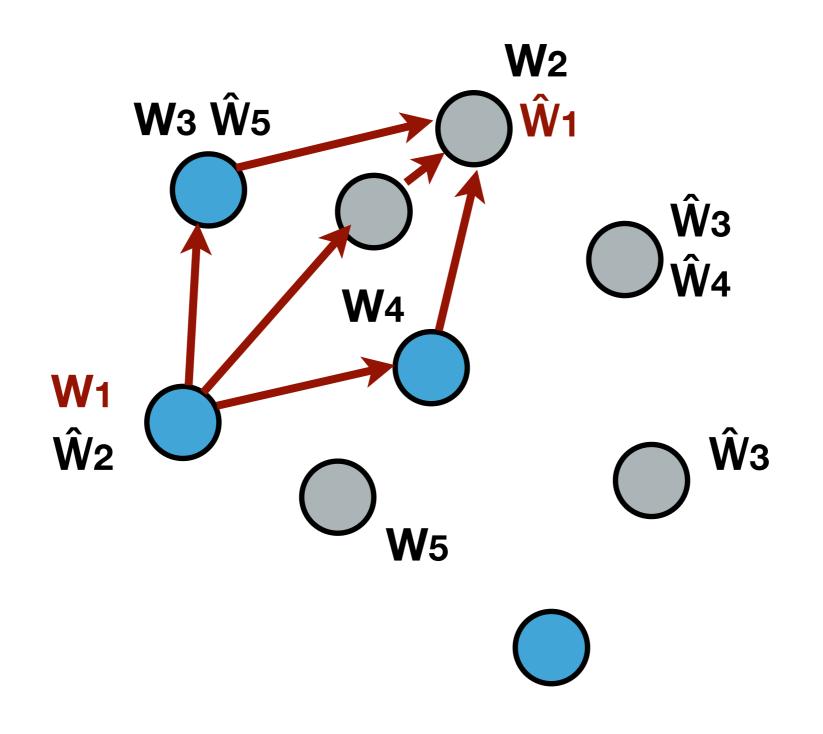
missing: "decode the sum"

- have: "decode the sum"
- have: "extract from the sum"





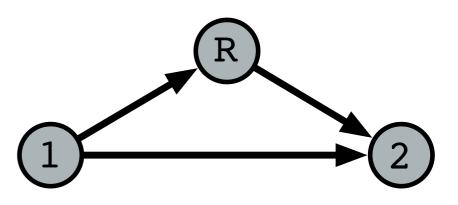
Cooperation in wireless relay networks



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General relay network theorems

• AWGN relay channel DF and CF schemes first considered in [Cover, El Gamal, 1979]



- DF extension to arbitrary # of relays and sources in [Xie, Kumar, 2004]
- CF extension / generalization to arbitrary # of relays and sources in
 - All based on RANDOM coding

Ind sources in

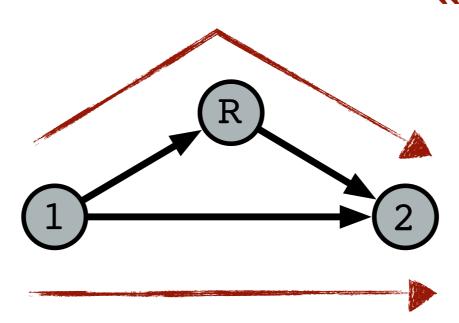
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[Avestimehr, Diggavi, Tse, 2011] (finite gap)

- Noisy network coding [Lim, Kim, El Gamal, Chung, 2010] (finite gap)
- Lattice-based schemes?
 - Quantize-map-forward extended to lattice codes in [Ozgur, Diggavi, 2011]
 - Compute-and-forward framework [Nazer, Gastpar, TransIT, 2011], [Niesen, Whiting, 2011]

Lattice codes missing in?

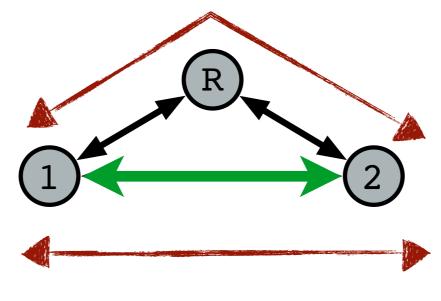
• AWGN relay channel ?



"Cooperation"

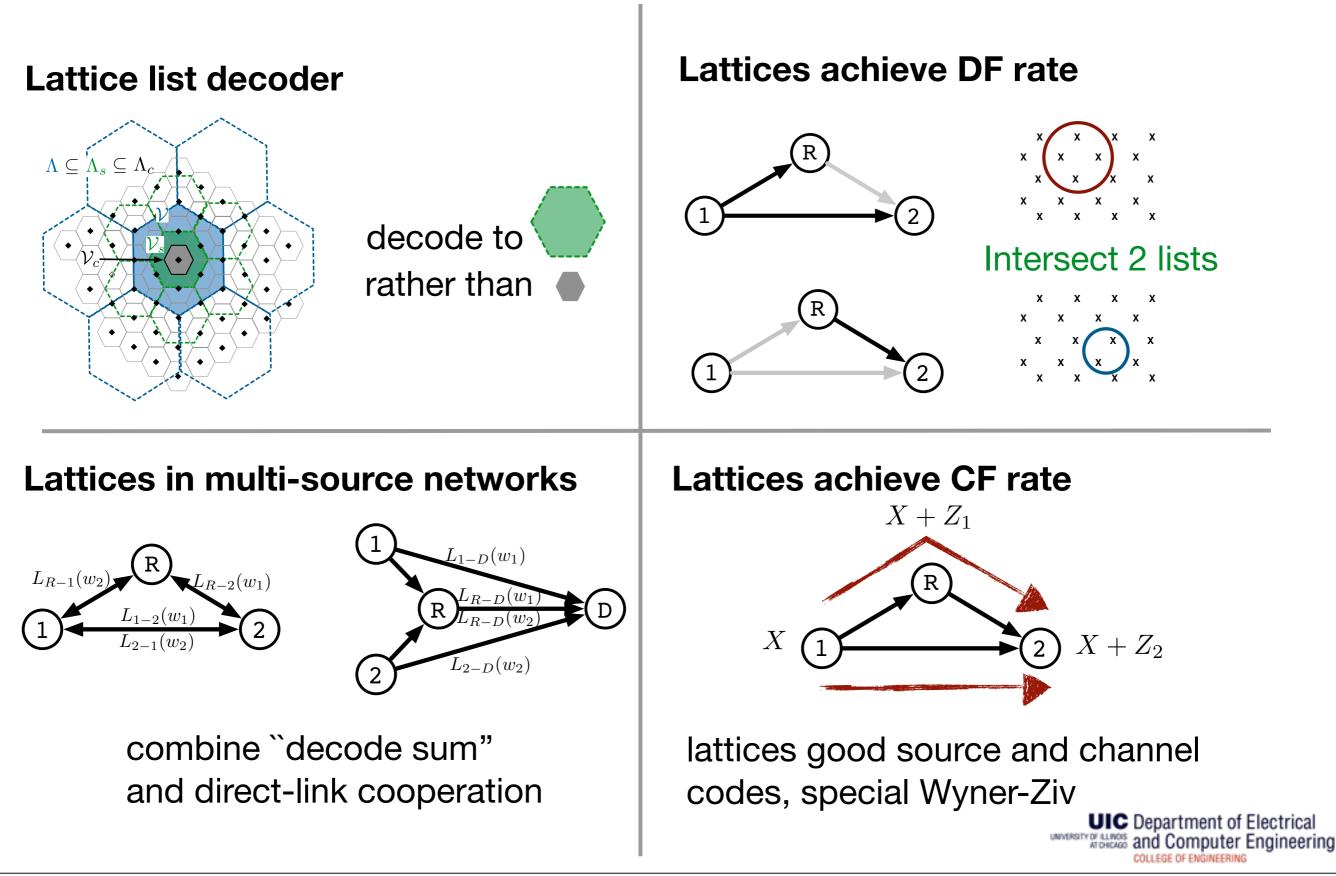
Various links carry same message!

• Two-way relay channel in presence of direct links?





Enabling lattice ``Cooperation"



Lattice list decoder

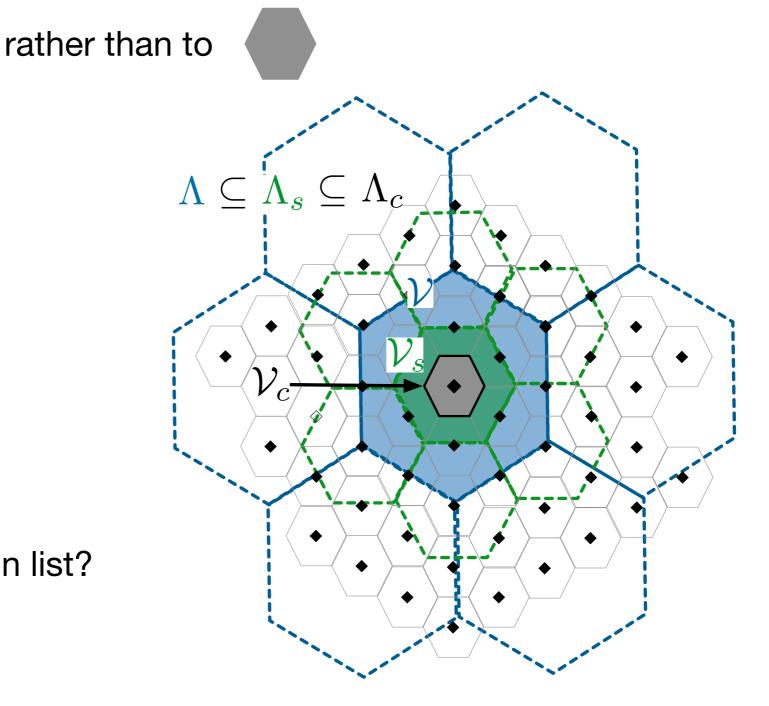
• IDEA: decode to

rath

- results in a **list** of codewords
- require correct codeword to be in list

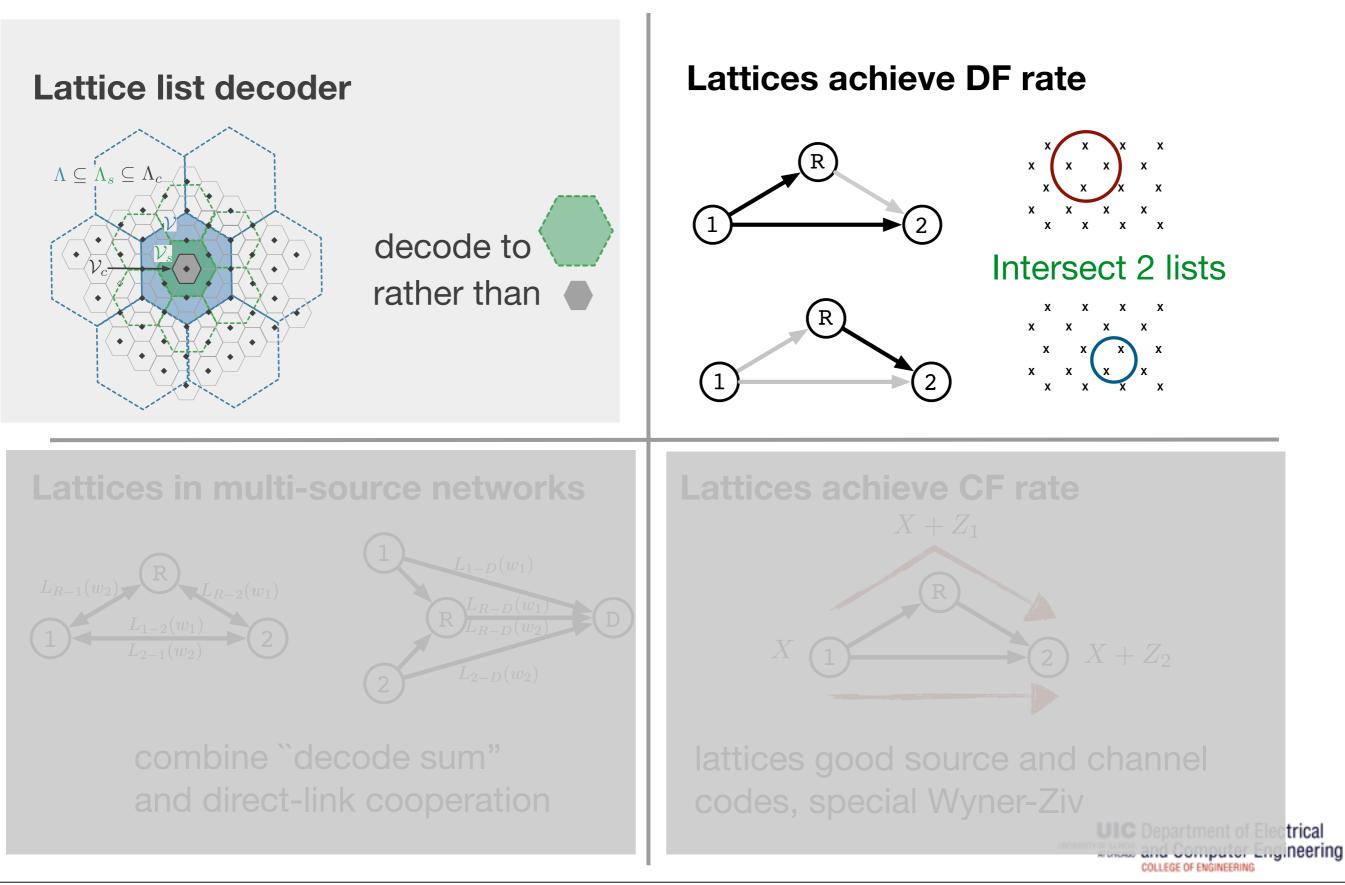
- how many (lower bound) are in list?
- Theorem: $2^{n(R-C(P/N))}$

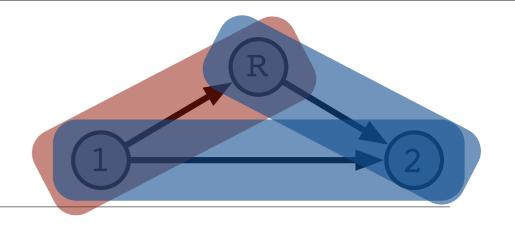
[Y. Song, N. Devroye, submitted to IT Trans., 2011]



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Enabling lattice ``Cooperation"





$$R_{DF} = \max_{p(x_1, x_R)} \{ \min\{I(X_1; Y_R | X_R), I(X_1, X_R; Y_2)\} \}$$

- Irregular Markov Encoding with Successive Decoding [Cover, El Gamal 1979]
- Regular Encoding with Backward Decoding
 [Willems 1992]
- Regular Encoding with Sliding Window Decoding [Xie, Kumar 2002]
- Nice survey [Kramer, Gastpar, Gupta 2005]



Single source: lattice DF

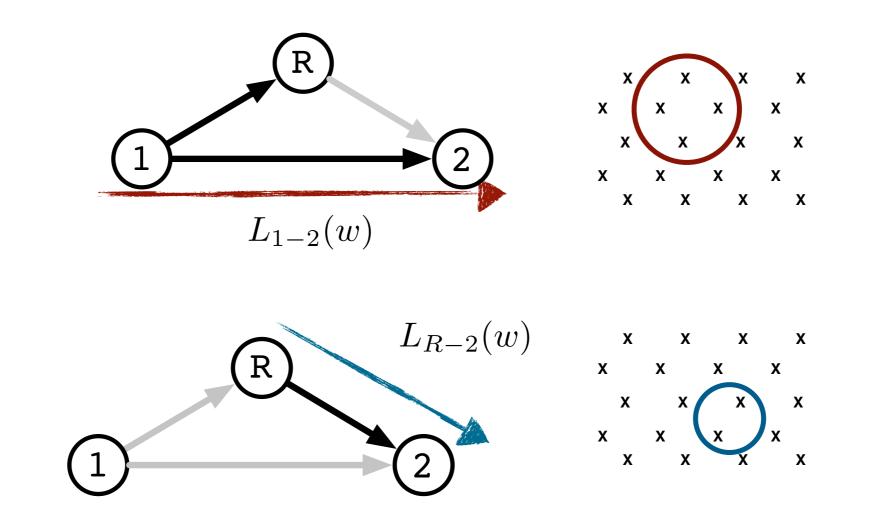
Lattices achieve the DF rate for the relay channel. The following Decodeand-Forward rates can be achieved using nested lattice codes for the Gaussian relay channel:

$$R < \max_{\alpha \in [0,1]} \min\left\{\frac{1}{2}\log\left(1 + \frac{\alpha P}{N_R}\right), \frac{1}{2}\log\left(1 + \frac{P + P_R + 2\sqrt{\bar{\alpha}PP_R}}{N_2}\right)\right\}.$$

Achieved using NESTED LATTICE CODES!

Alternative lattice-based DF scheme in [Nokleby, Aazhang, 2011, 2012]

Central idea behind using lists



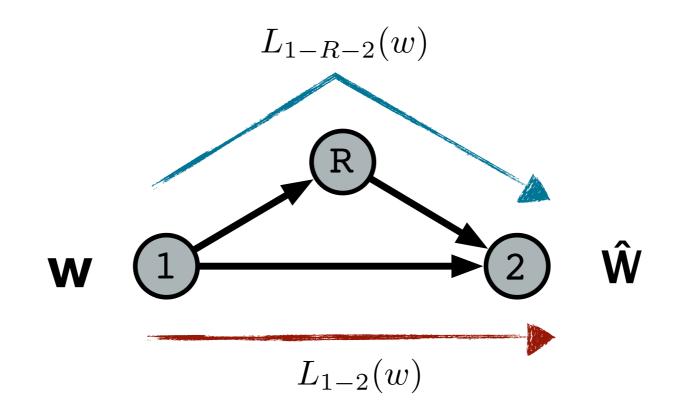
• view cooperation between links as intersection of independent lists

```
L_{1-2}(w) \cap L_{R-2}(w) \Rightarrow \text{UNIQUE } w
```

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• mimic all Block Markov steps for achievability

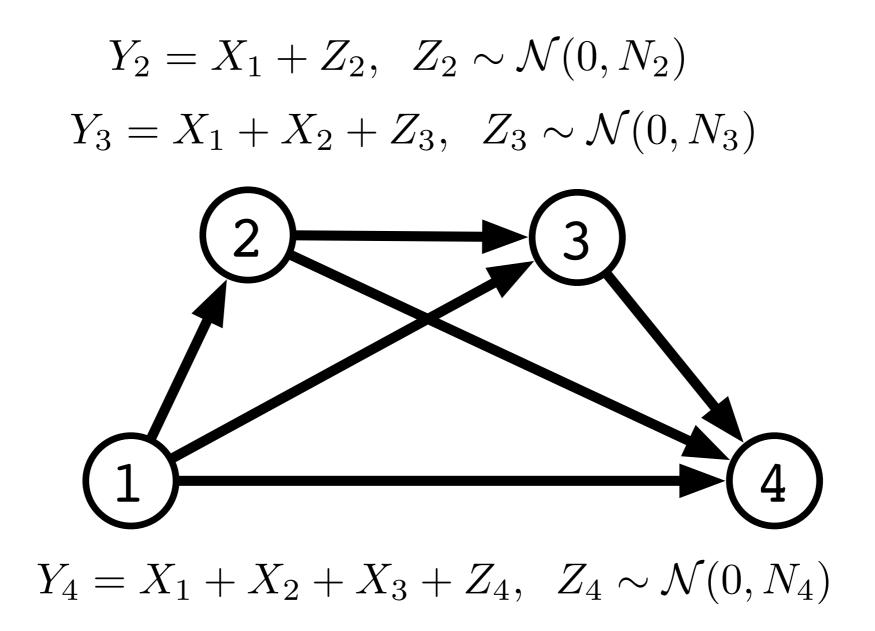
An aside.....



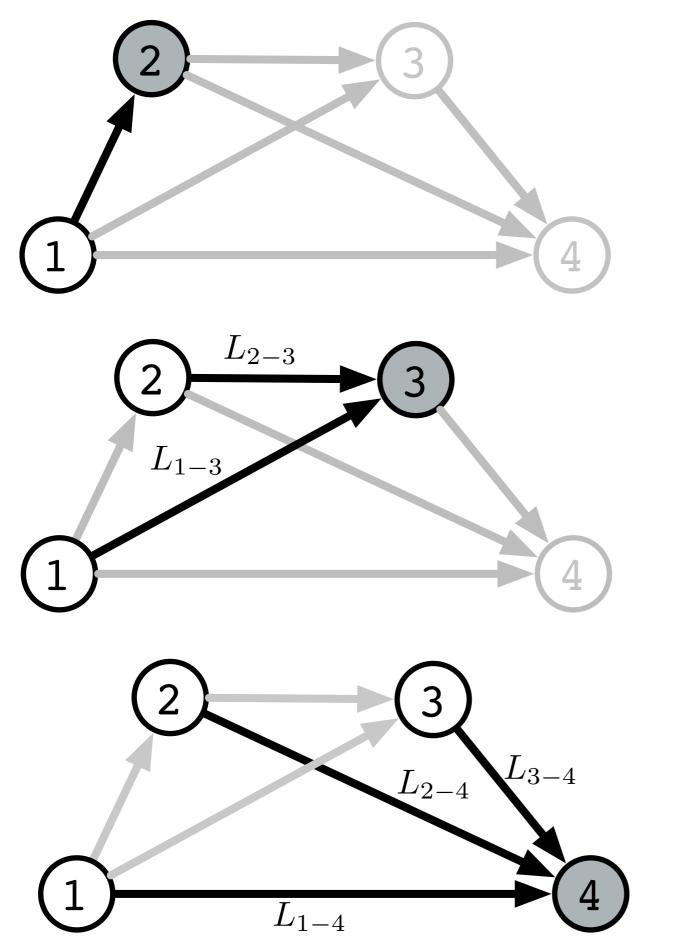
• ideally would want this list, rather than forcing a decode.....



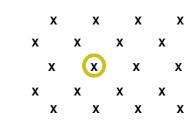
[Xie, Kumar 2004] [Kramer, Gastpar, Gupta 2005]



NESTED LATTICE CODES can mimic the regular encoding / sliding window decoding DF rate

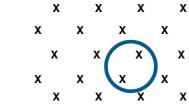


• Unique decoding

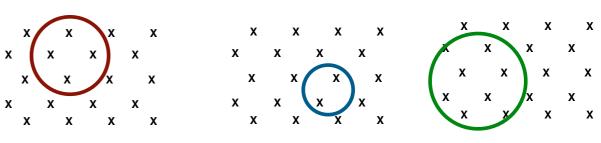


• Intersection 2 lists

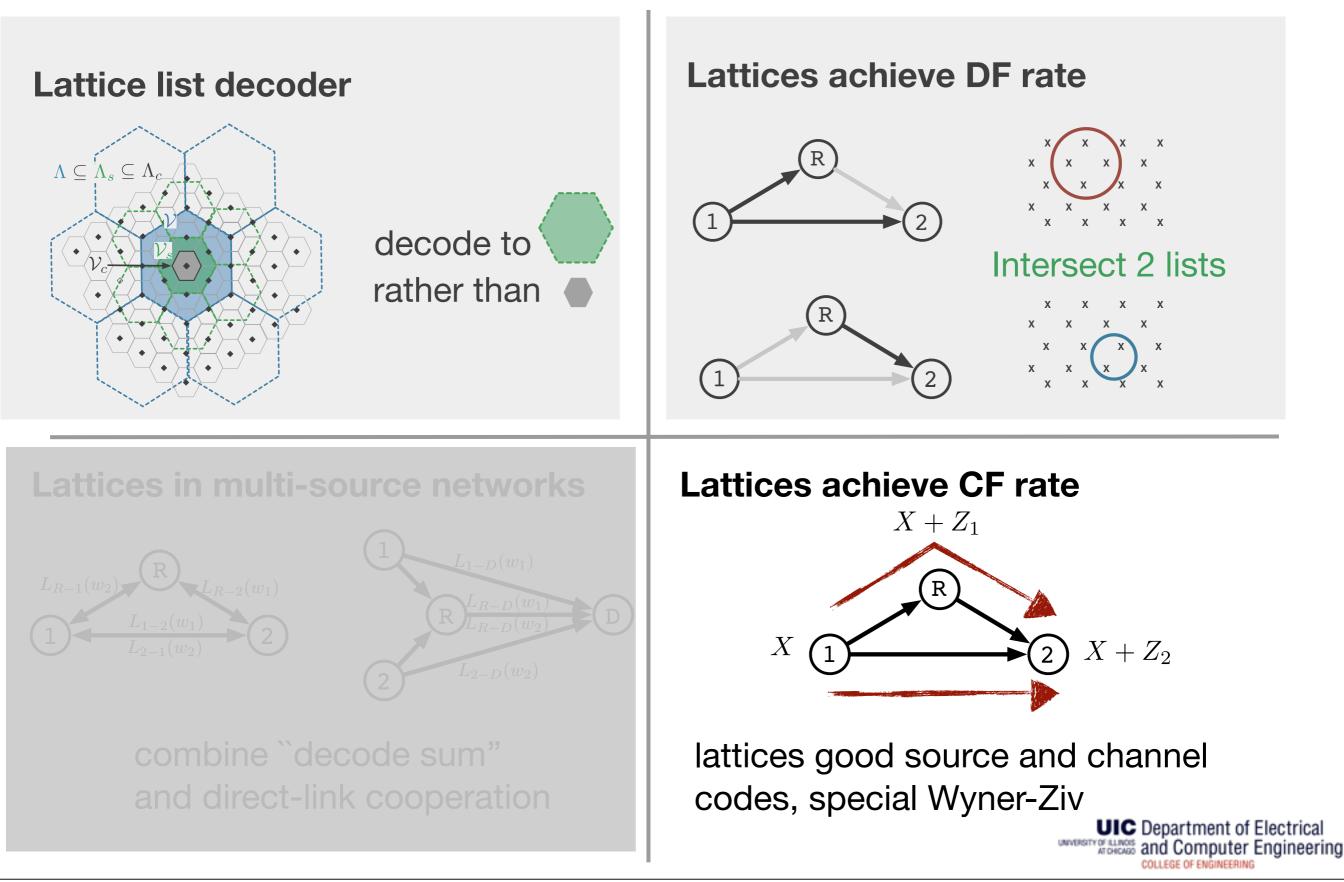




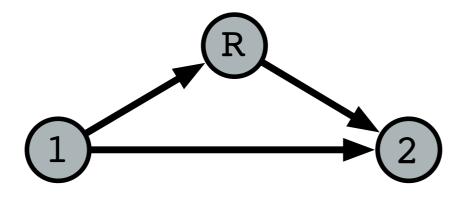
• Intersection 3 lists



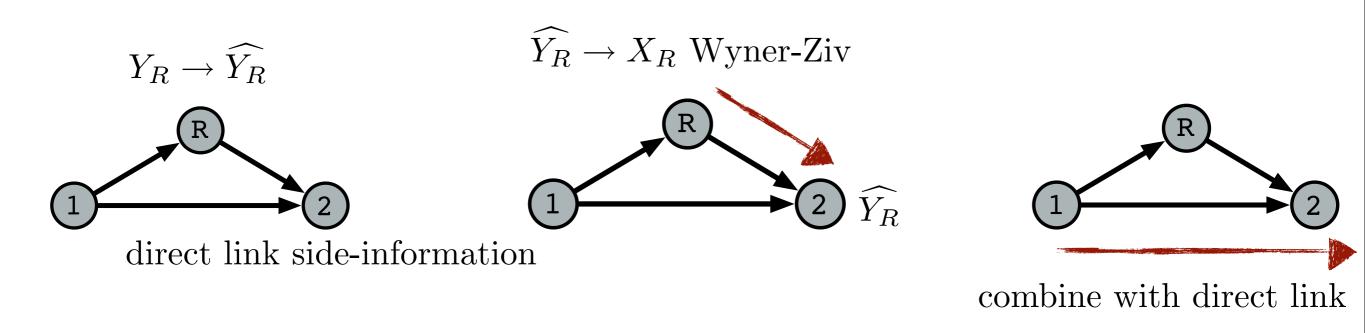
Enabling lattice ``Cooperation"



Compress and forward (CF)



- DF limited by need to decode at relay
- CF is NOT limited in this fashion



R 1 2

A Lattice CF scheme

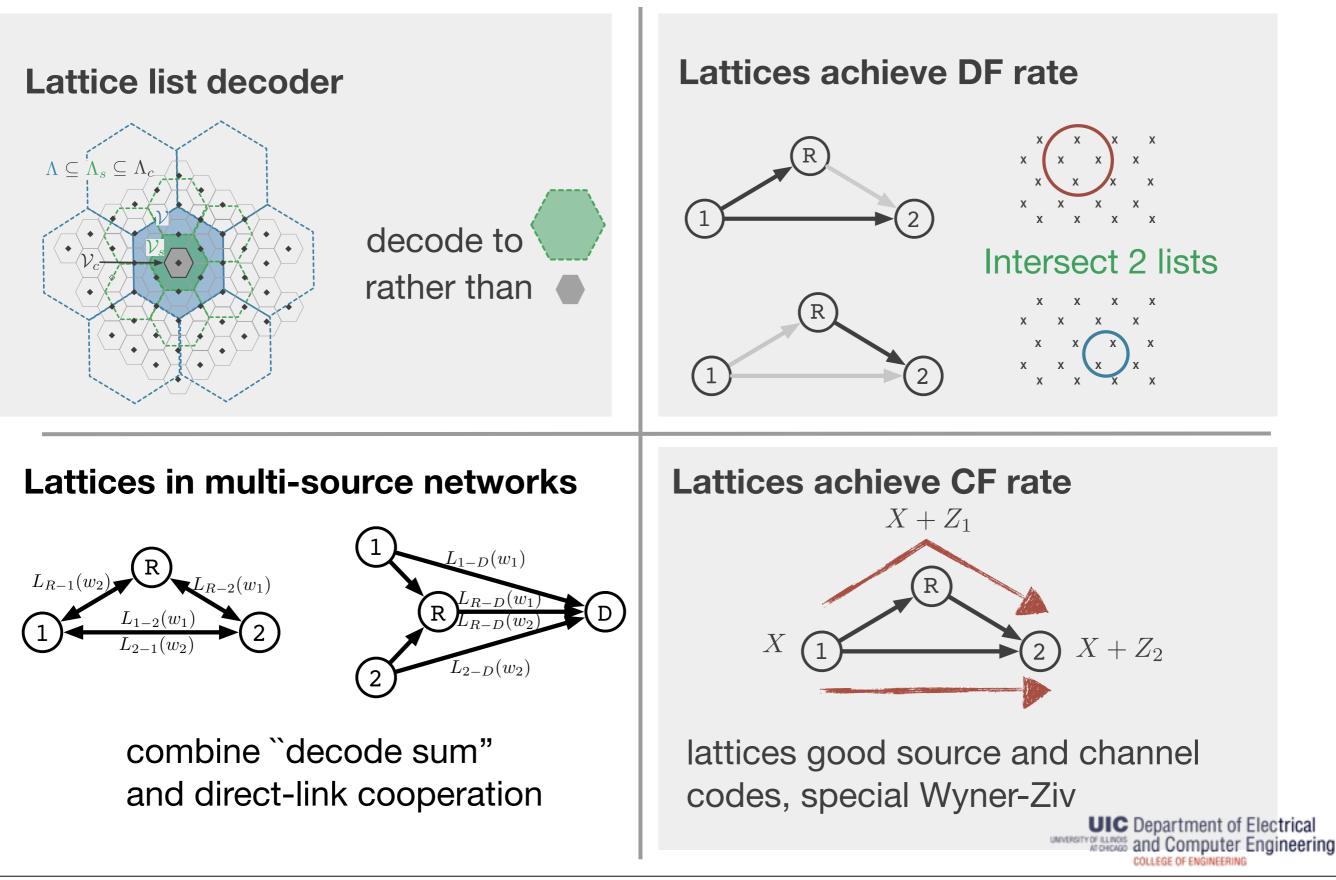
Theorem. For the three user Gaussian relay channel described by the input/output equations $Y_R = X_1 + N_R$ at the relay's receiver and $Y_2 = X_1 + X_R + N_2$ at the destination, with corresponding input and noise powers P_1, P_R, N_R, N_2 , the following rate may be achieved using lattice codes in a lattice Compress-and-Forward fashion:

$$R < \frac{1}{2} \log \left(1 + \frac{P_1}{N_2} + \frac{P_1 P_R}{P_1 N_R + P_1 N_2 + P_R N_R + N_R N_2} \right).$$

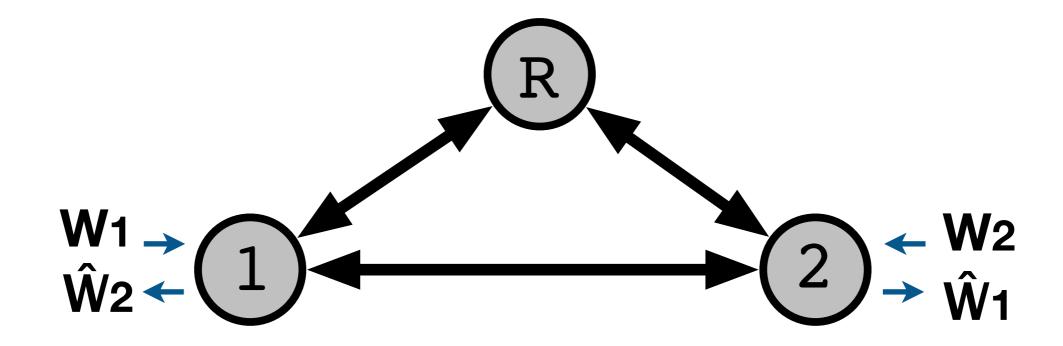
same as that achieved by Gaussian codes in the CF scheme of [Cover, El Gamal, 1979]

uses lattice version of Gaussian Wyner-Ziv [Zamir, Shamai, Erez 2002]

Enabling lattice ``Cooperation"

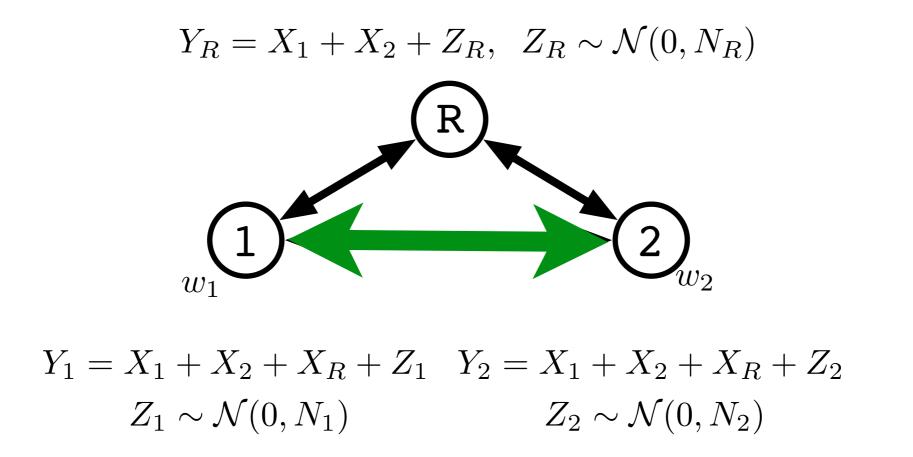


Two-way relay channel (with direct links)





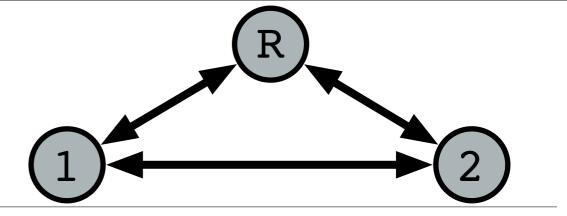
Two-way relay channel (with direct links)



• we derive a new achievable rate region using **nested lattices**, with direct link

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• this region attains constant gaps for certain degraded channels



Rate region

• Theorem: For the two-way relay channel with direct links, we may achieve:

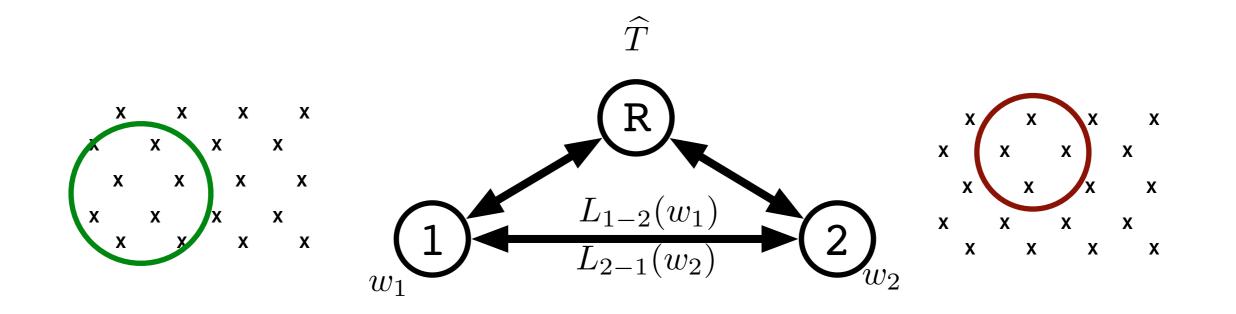
$$R_{1} \leq \min\left(\left[\frac{1}{2}\log\left(\frac{P_{1}}{P_{1}+P_{2}}+\frac{P_{1}}{N_{R}}\right)\right]^{+}, \frac{1}{2}\log\left(1+\frac{P_{1}+P_{R}}{N_{2}}\right)\right)$$
$$R_{2} \leq \min\left(\left[\frac{1}{2}\log\left(\frac{P_{2}}{P_{1}+P_{2}}+\frac{P_{2}}{N_{R}}\right)\right]^{+}, \frac{1}{2}\log\left(1+\frac{P_{2}+P_{R}}{N_{1}}\right)\right)$$

• eliminates "MAC"-like constraints at relay [Xie, CWIT, 2007]

[Y. Song, N. Devroye, submitted to IT Trans., 2011]

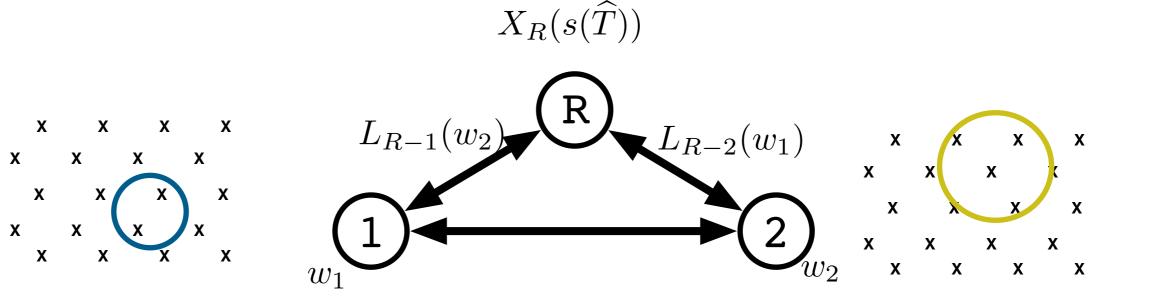
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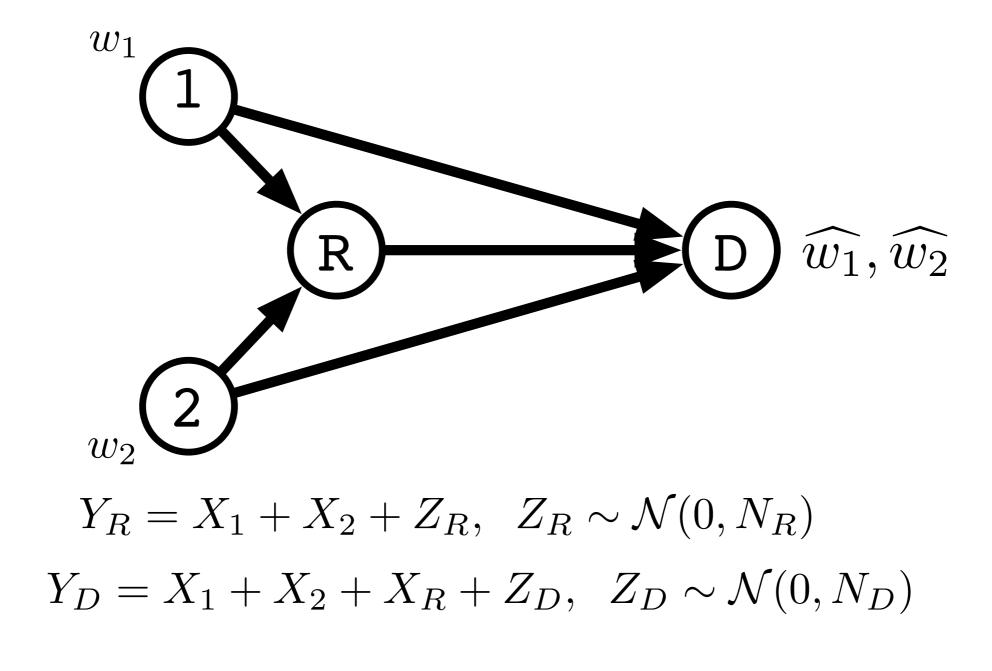


intersect 2 lists





Lattices for the multiple-access relay channel



Key idea: decode+forward sum at the relay

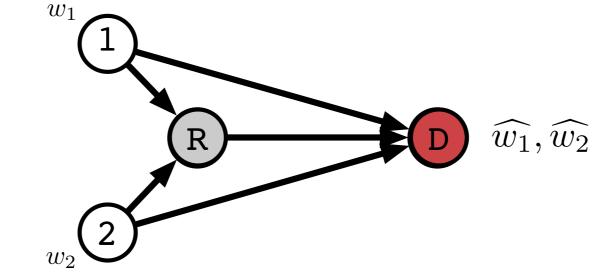
Lattices for the multiple-access relay channel

Theorem: The following rates are achievable for the AWGN multiple access relay channel:

$$R_{1} < \min\left(\left[\frac{1}{2}\log\left(\frac{P_{1}}{P_{1}+P_{2}} + \frac{P_{1}}{N_{R}}\right)\right]^{+}, \frac{1}{2}\log\left(1 + \frac{P_{1}+P_{R}}{N_{D}}\right)\right)$$

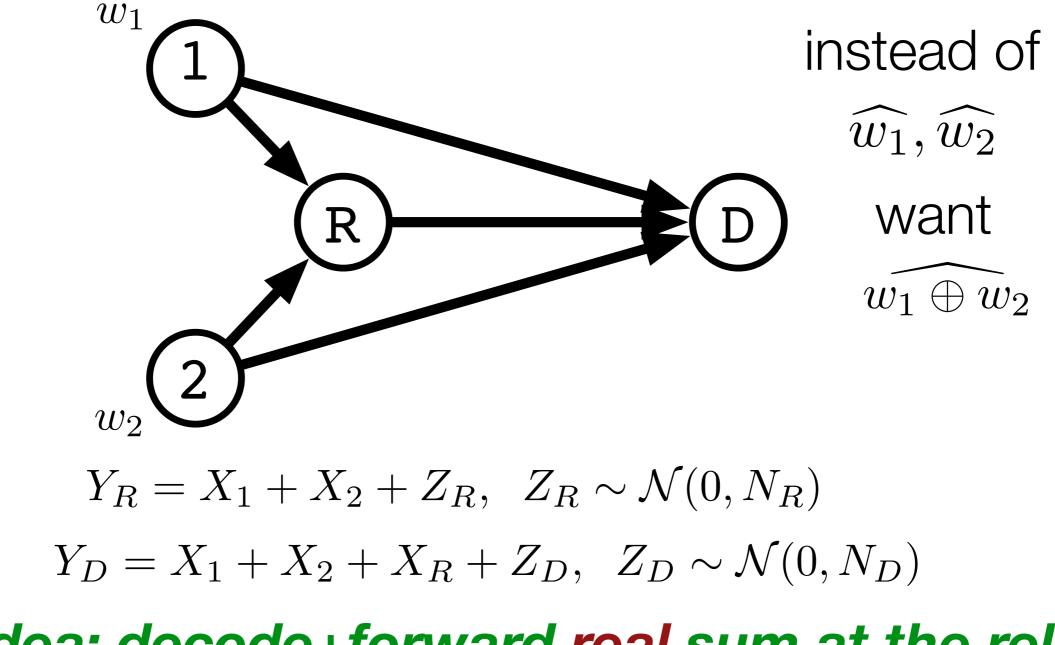
$$R_{2} < \min\left(\left[\frac{1}{2}\log\left(\frac{P_{2}}{P_{1}+P_{2}} + \frac{P_{2}}{N_{R}}\right)\right]^{+}, \frac{1}{2}\log\left(1 + \frac{P_{2}+P_{R}}{N_{D}}\right)\right)$$

$$R_{1} + R_{2} < \frac{1}{2}\log\left(1 + \frac{P_{1}+P_{2}+P_{R}}{N_{D}}\right).$$
missing sum-rate constraint at relay!



Key idea: decode+forward sum at the relay

Lattices for the Compute-and-Forward MARC

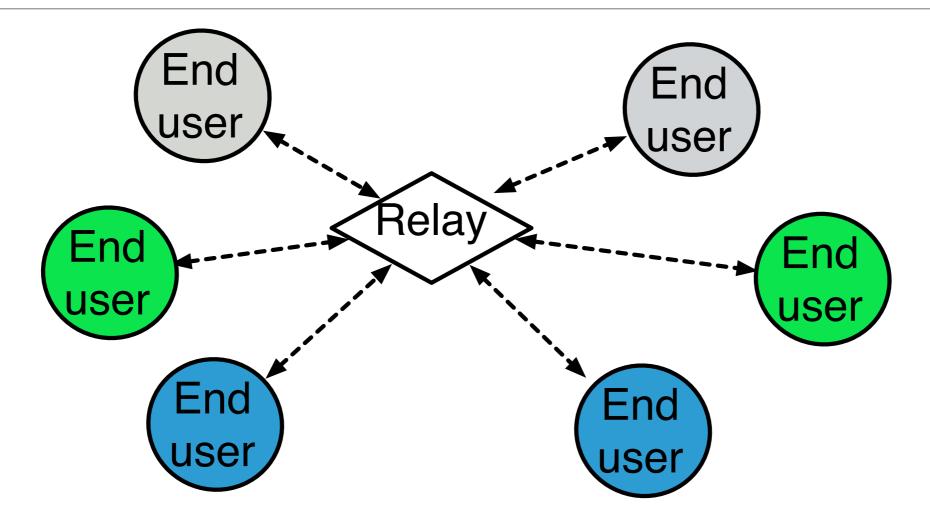


Key idea: decode+forward real sum at the relay

[M. Nokleby, B. Nazer, B. Aazhang, N. Devroye, to appear in ISWCS 2012]

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Lattices for multi-pair two-way relay network



Key idea: successive decoding of pairwise lattice sums

A. Avestimehr, A. Sezgin, and D. Tse, "Capacity region of the deterministic multi-pair bidirectional relay network," in *Proc. IEEE Inf. Theory Workshop*, Volos, June 2009.

H. Ghozlan, Y. Mohasseb, H. El Gamal, and G. Kramer, "The MIMO wireless switch: Relaying can increase the multiplexing gain," 2009. [Online]. Available: http://arxiv.org/abs/0901.2588

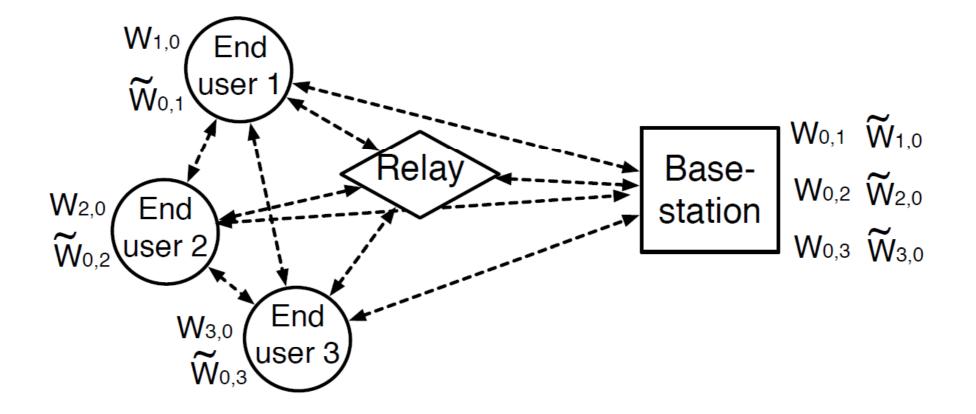
A. Sezgin, A. Khajehnejad, A. Avestimehr, and B. Hassibi, "Approximate capacity region of the two-pair bidirectional gaussian relay network," in *Proc. IEEE Int. Symp. Inf. Theory*, Seoul, July 2009, pp. 2018–2022.

M. Chen and A. Yener, "Power allocation for F/TDMA multiuser twoway relay networks," *IEEE Trans. Wireless Comm.*, vol. 9, no. 2, pp. 546–551, 2010.

D. Gunduz, A. Yener, A. Goldsmith, and H. Poor, "The multi-way relay channel," in *Proc. IEEE Int. Symp. Inf. Theory*, Seoul, July 2009, pp. 339–343.

D. Gunduz, A. Yener, A. Goldsmith, and H. Poor, "The multi-way relay channel," http://arxiv.org/abs/1004.2434/.





Key idea: successive decoding of pairwise lattice sums

[S.-J. Kim, B. Smida, and N. Devroye, ISIT 2011]

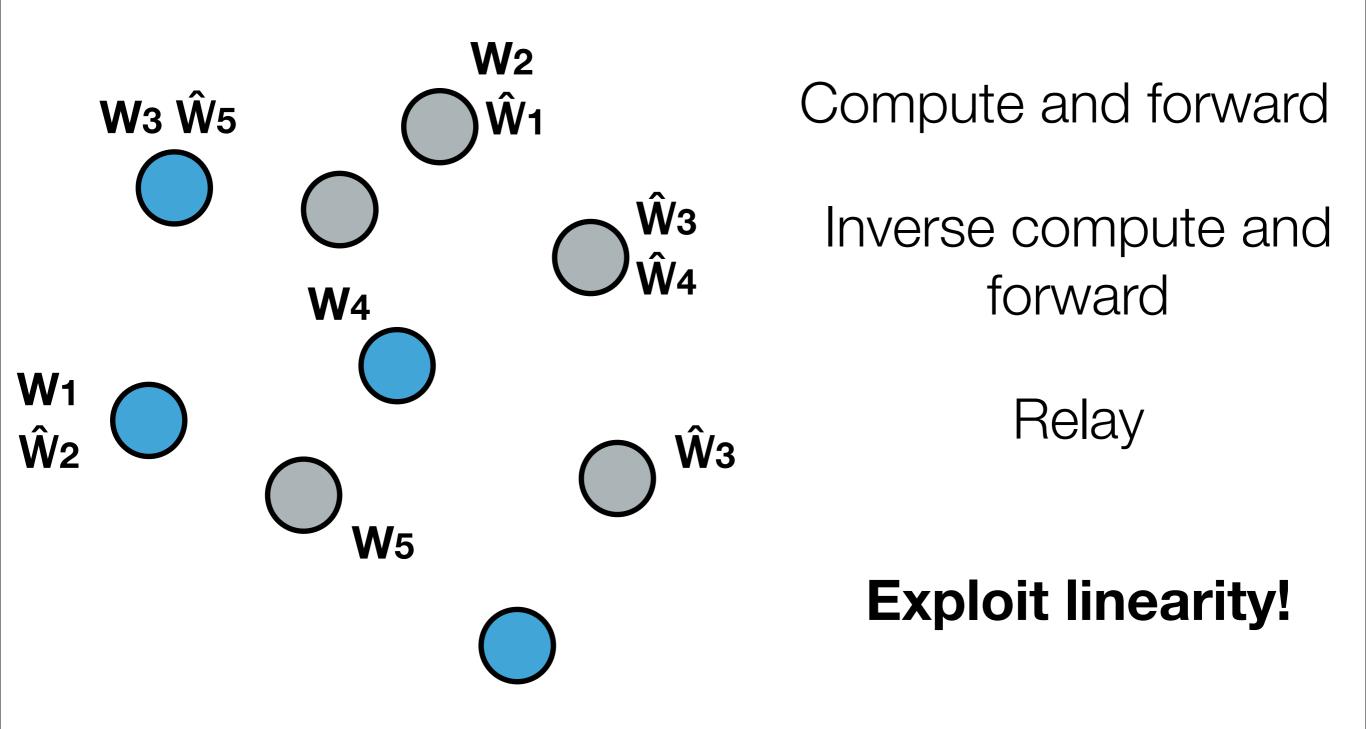
Much much more!

 Matt Nokleby and Benhaam Aazhang's recent work explores combining cooperation and compute-and-forward

 Uri Erez + Ram Zamir's work, website, slides and "Lattices are Everywhere" have excellent surveys

• Bobak Nazer and Michael Gastpar's survey article "Reliable Physical Layer Network Coding" in *Proc. of IEEE*, 2011 has many references!

Conclusion: lattice codes to





• can random codes be replaced by structured codes in Gaussian networks?

 how to combine different techniques in a comprehensive but manageable fashion?

• is structure necessary and how well can compress-and-forward do?

Questions?

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Thursday, June 14, 2012