

# Information theoretic limits of cognitive networks

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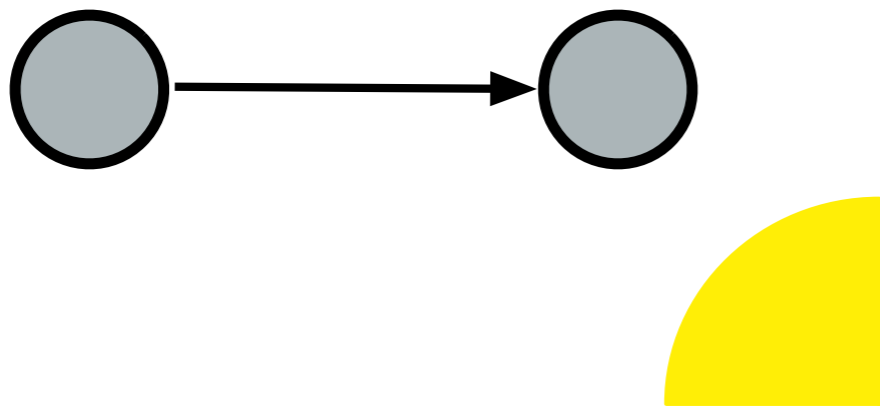


University of Western Ontario  
5/19/2010

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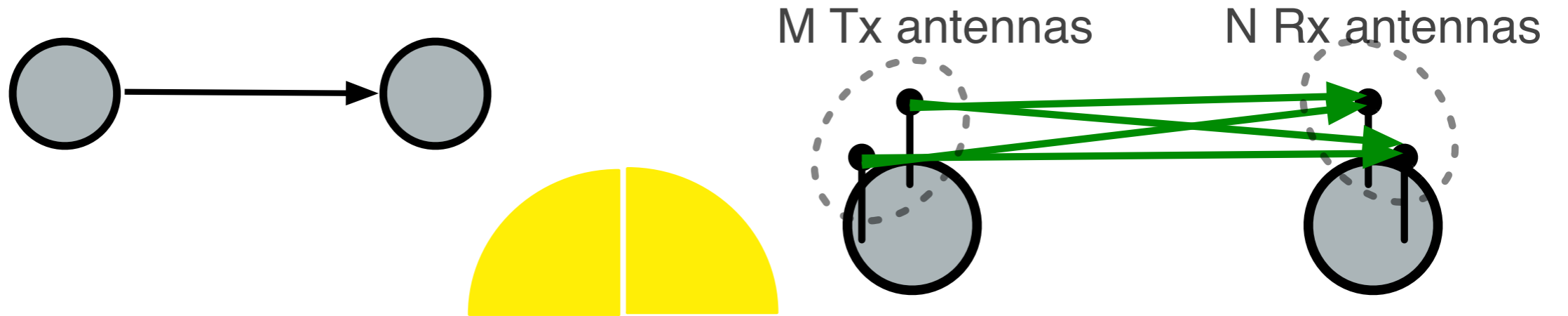
# Efficient, reliable communication

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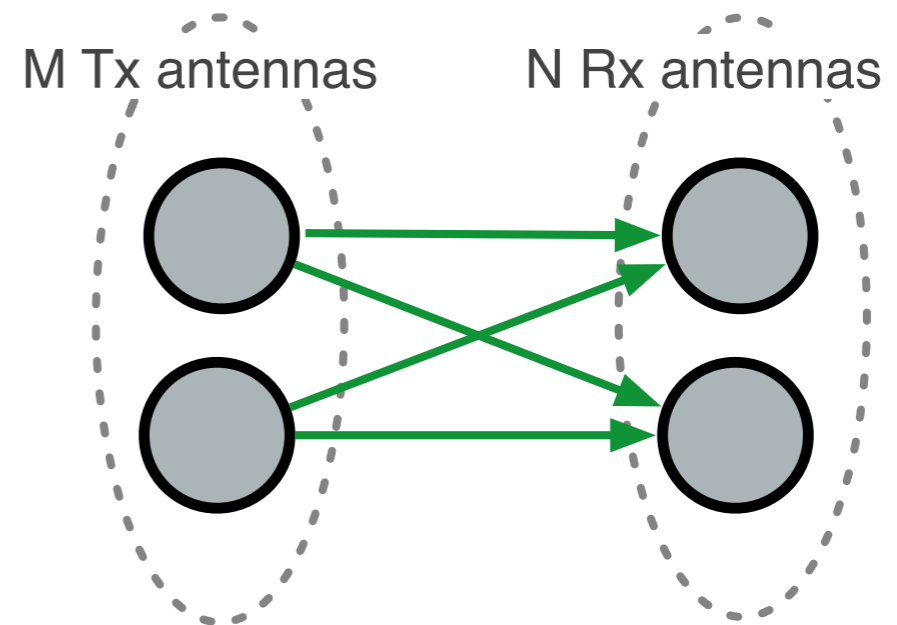
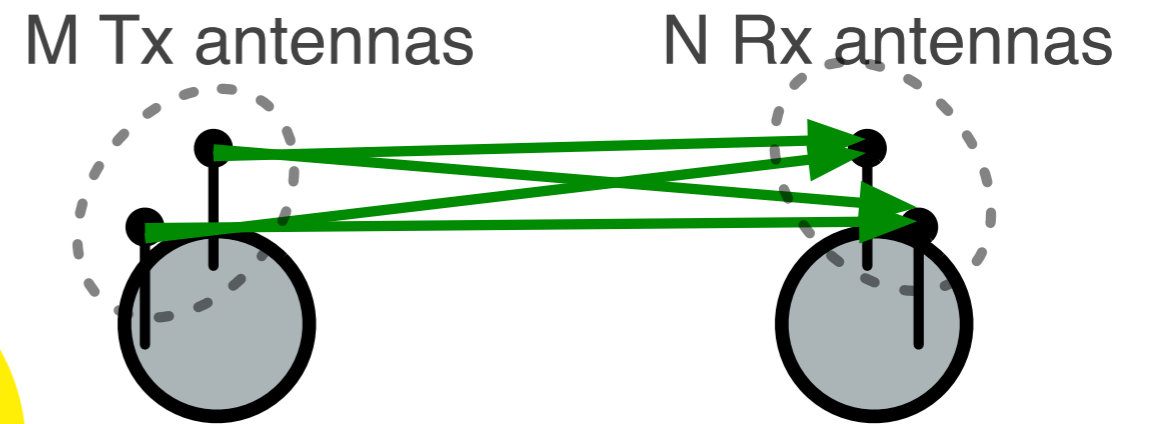
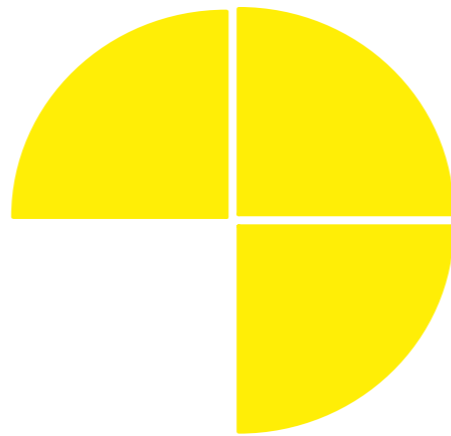
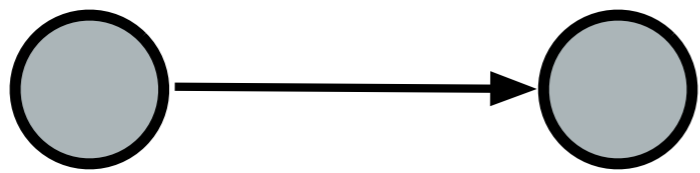
# Efficient, reliable communication

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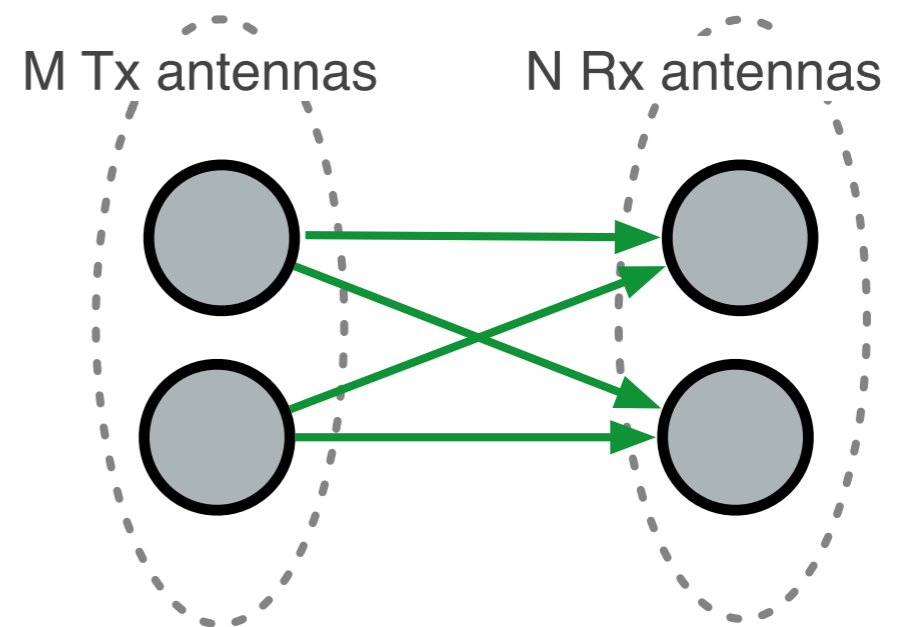
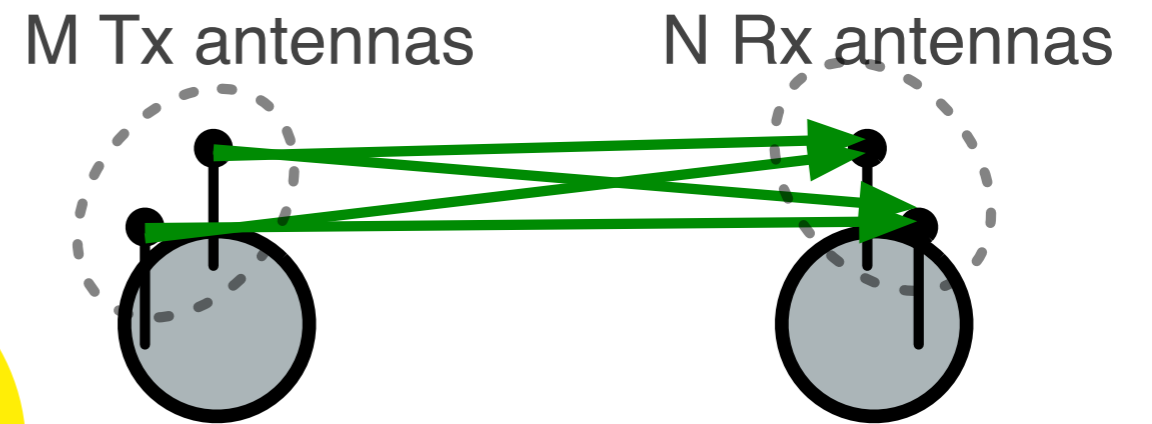
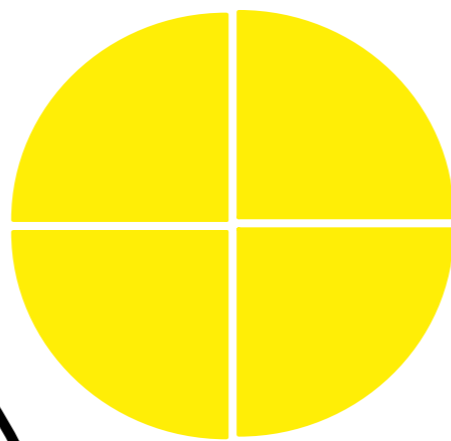
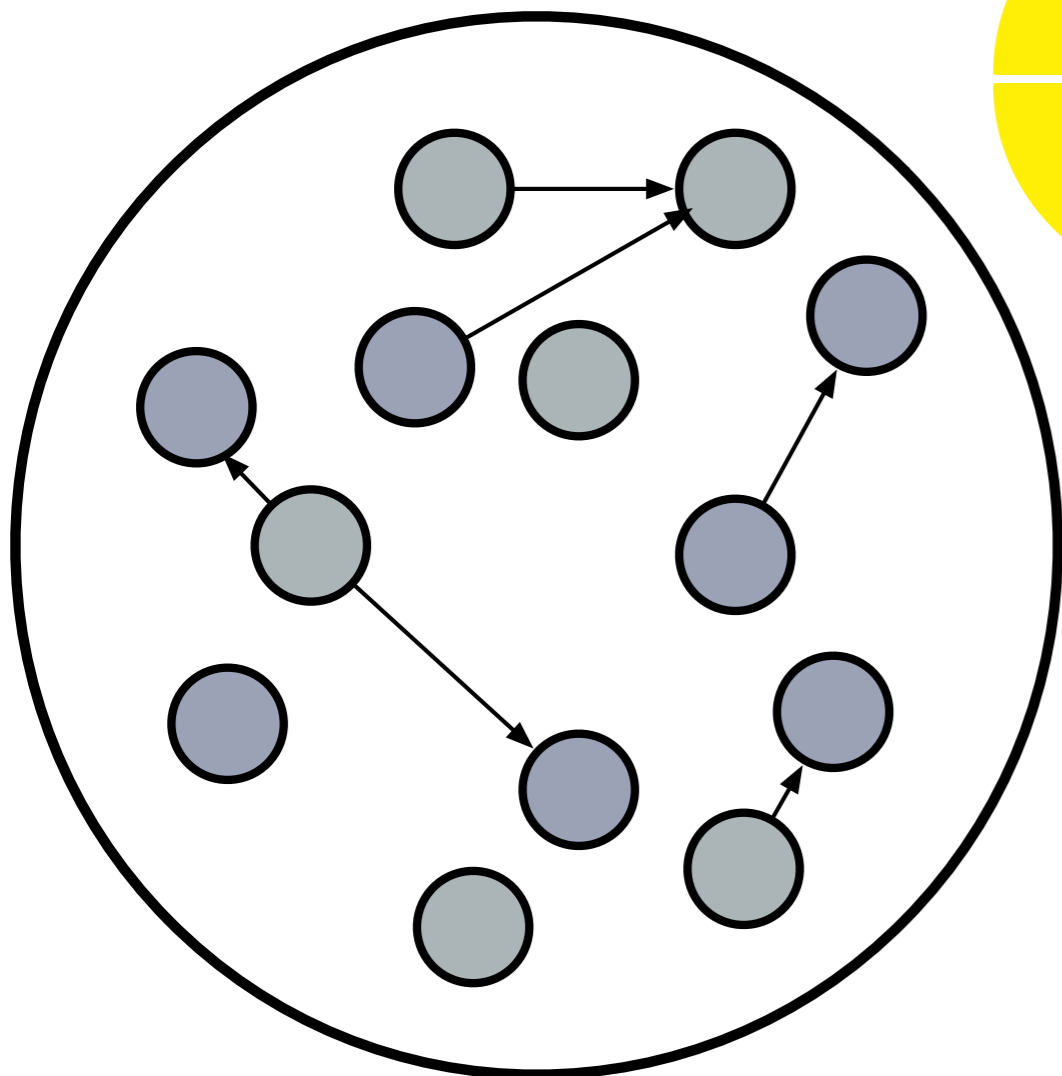
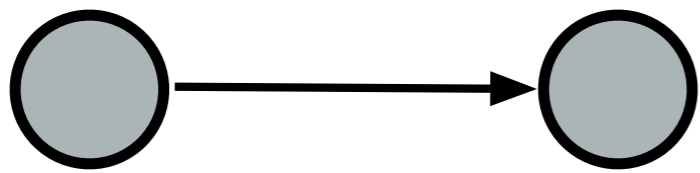
# Efficient, reliable communication

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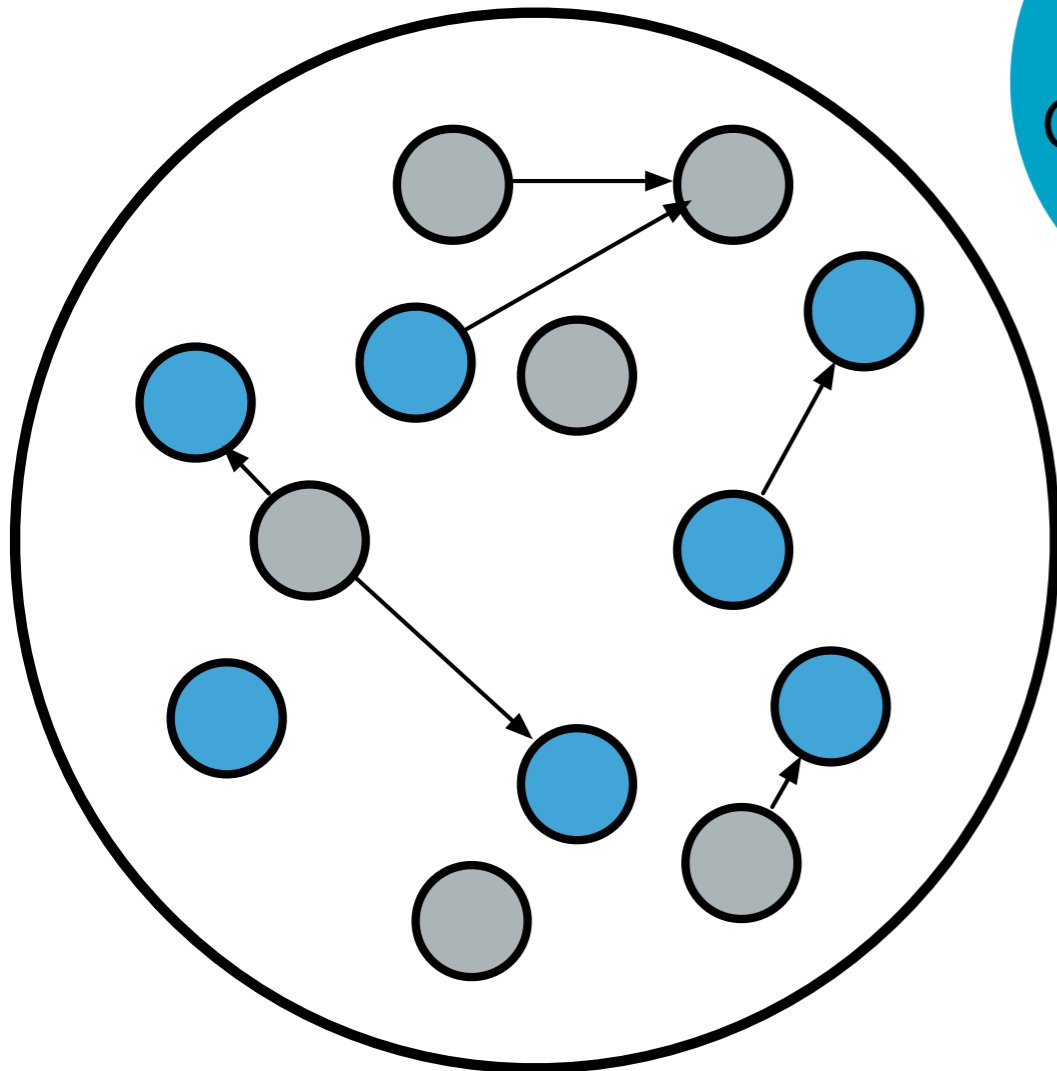
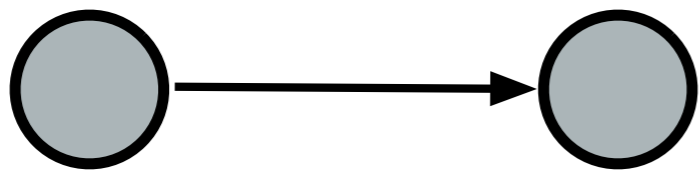


# Efficient, reliable communication

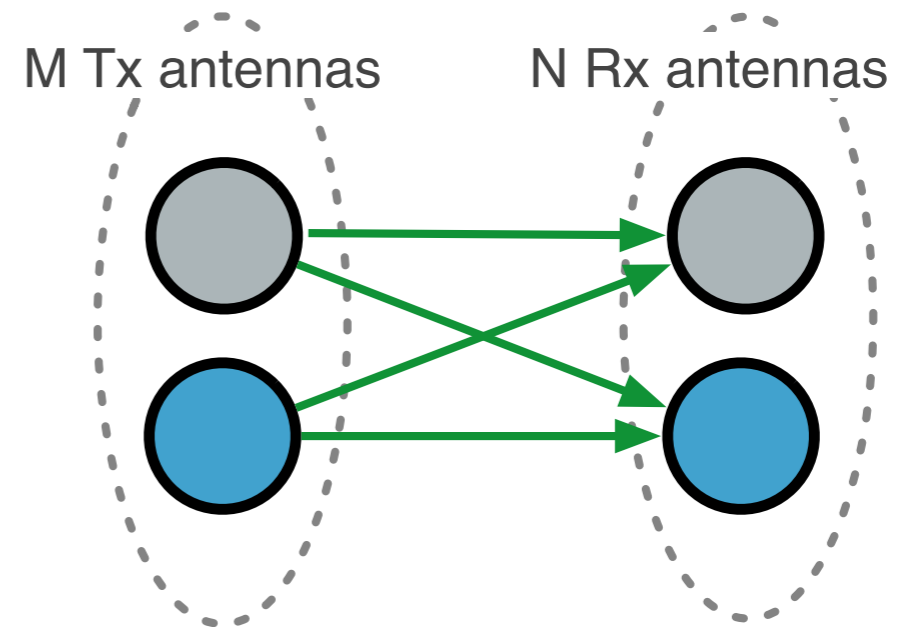
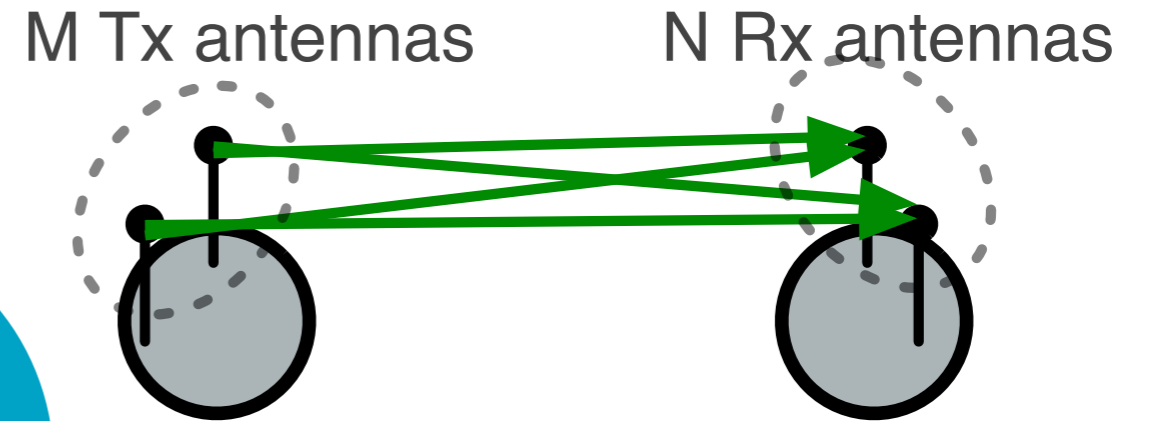
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# Efficient, reliable communications



With  
cognition



# Radio

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Software-defined Radio = SDR

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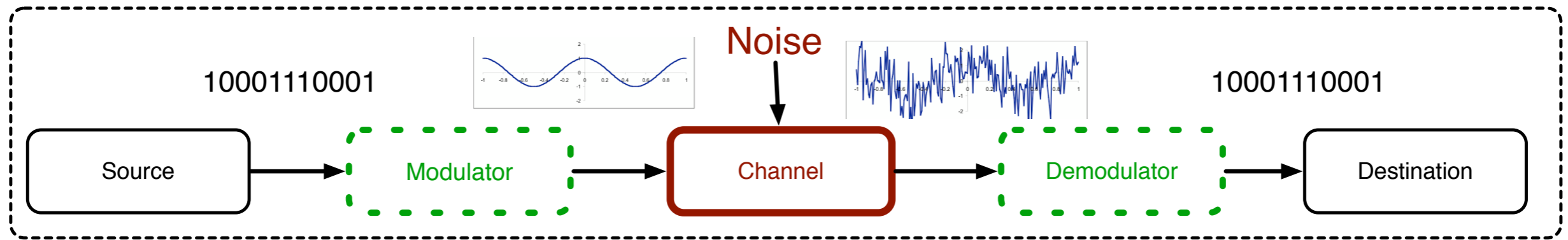
Cognitive Radio = CR

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# Radio

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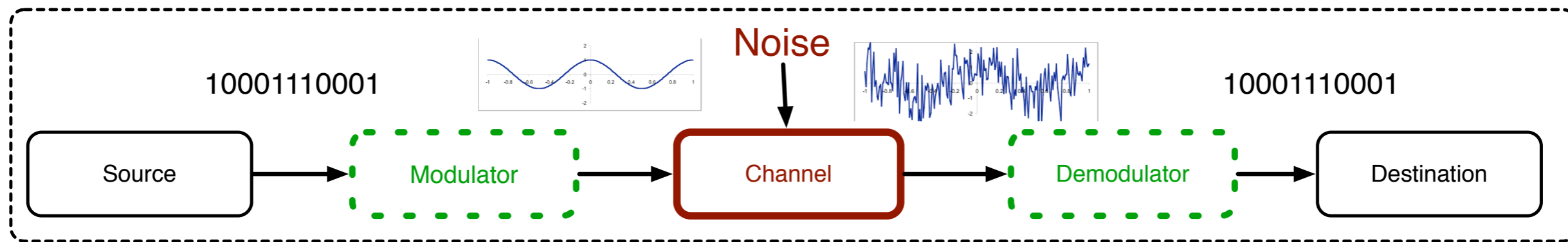
Software-defined Radio = SDR

---

Cognitive Radio = CR

---

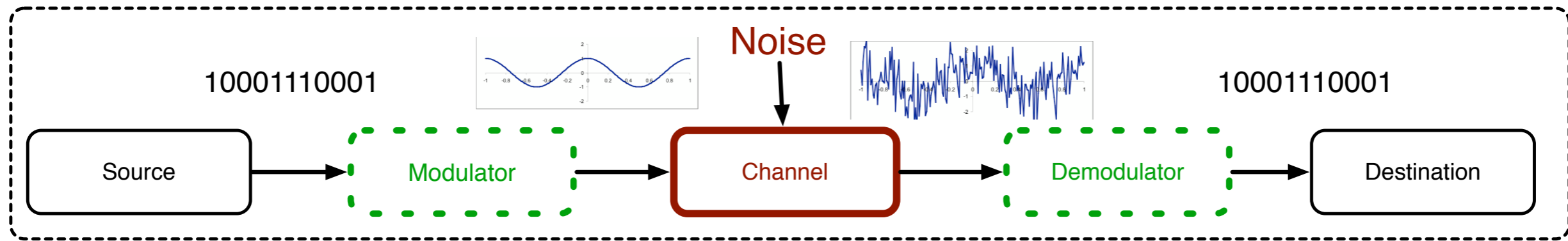
# Radio



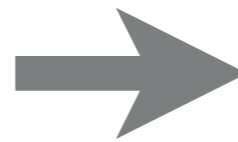
Software-defined Radio = SDR

Cognitive Radio = CR

# Radio

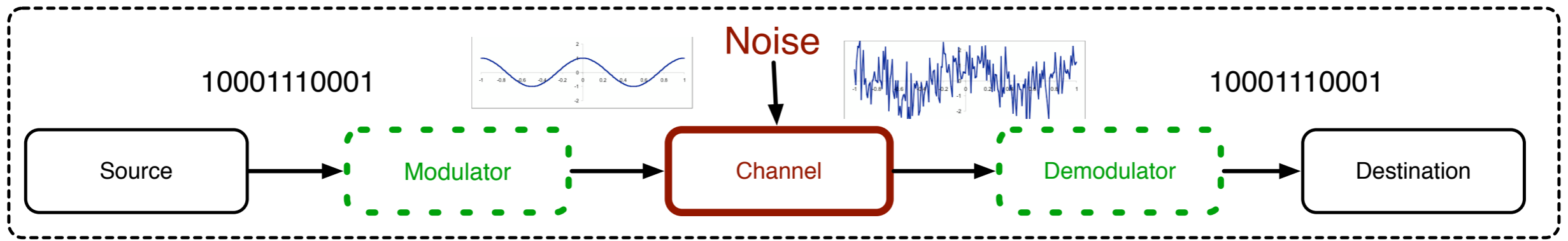


Software-defined Radio = SDR

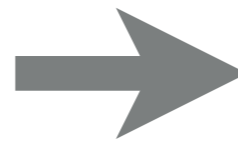


Cognitive Radio = CR

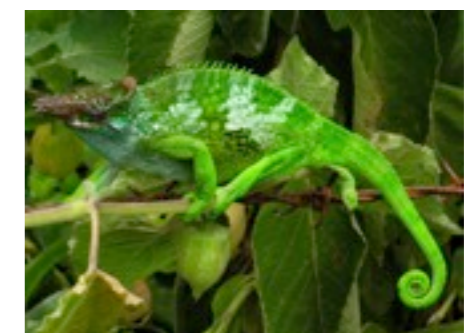
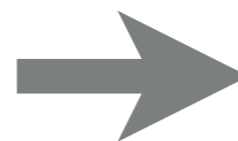
# Radio



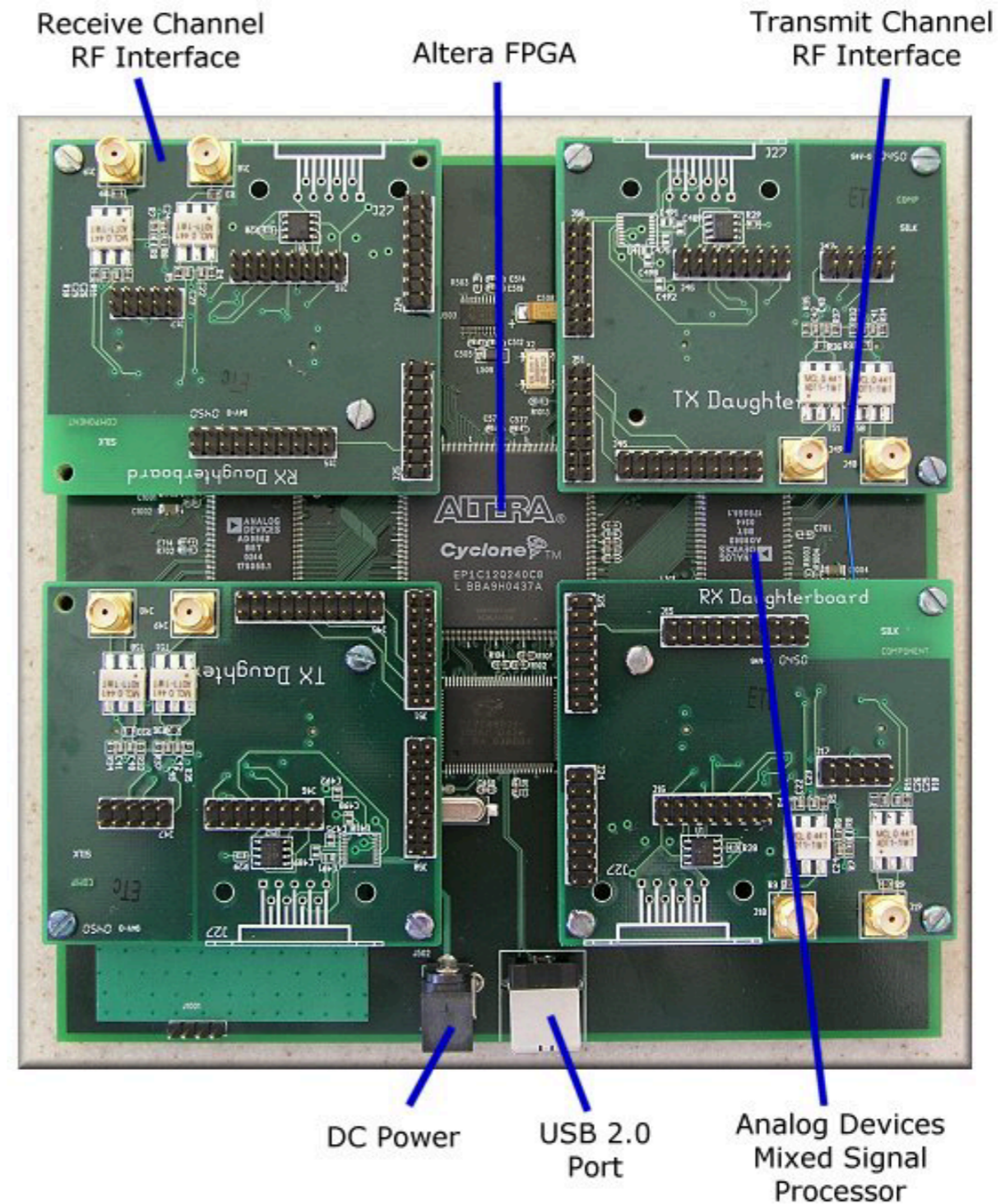
Software-defined Radio = SDR



Cognitive Radio = CR

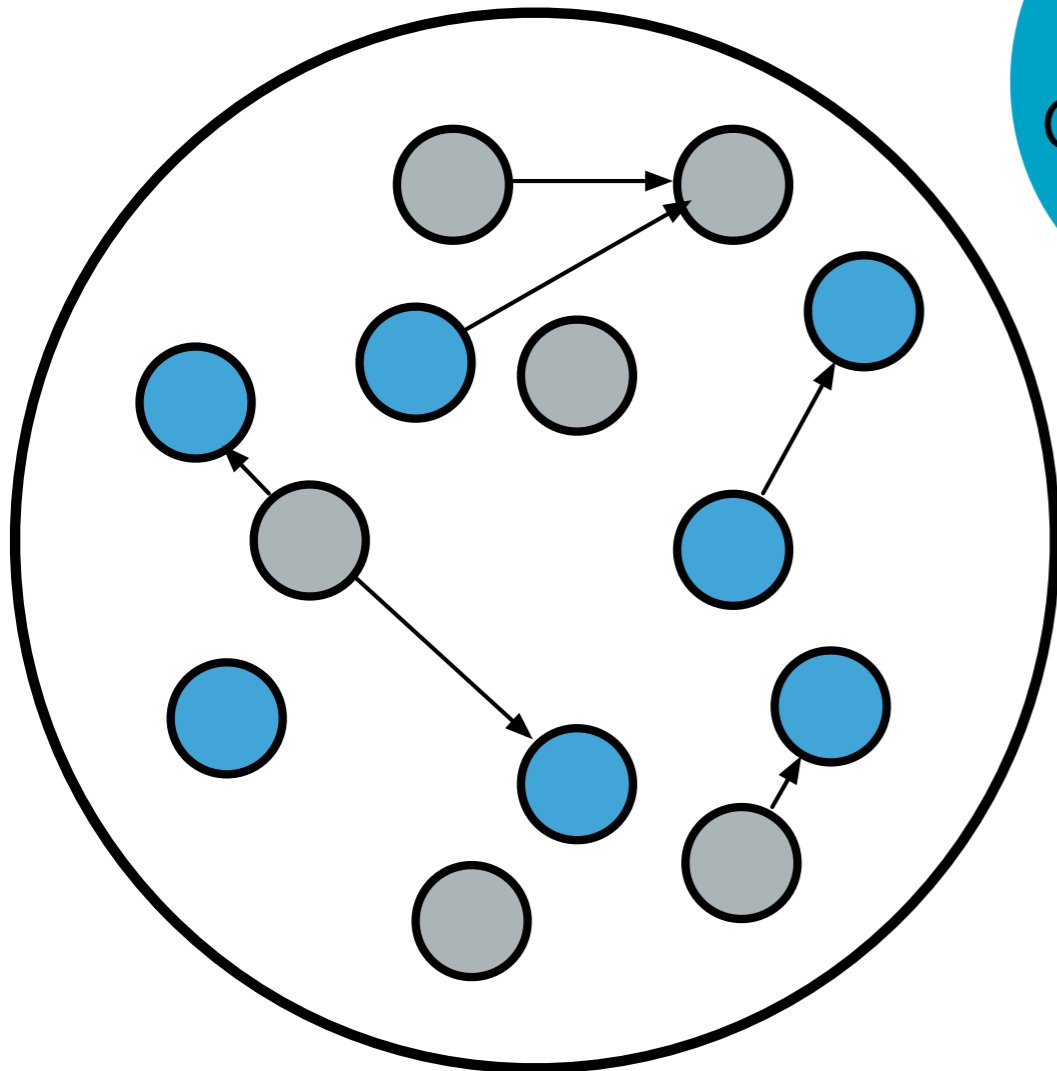
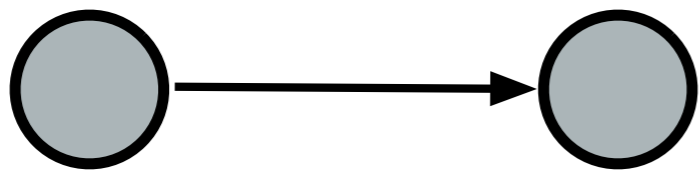


# Example: GNU Radio+USRP

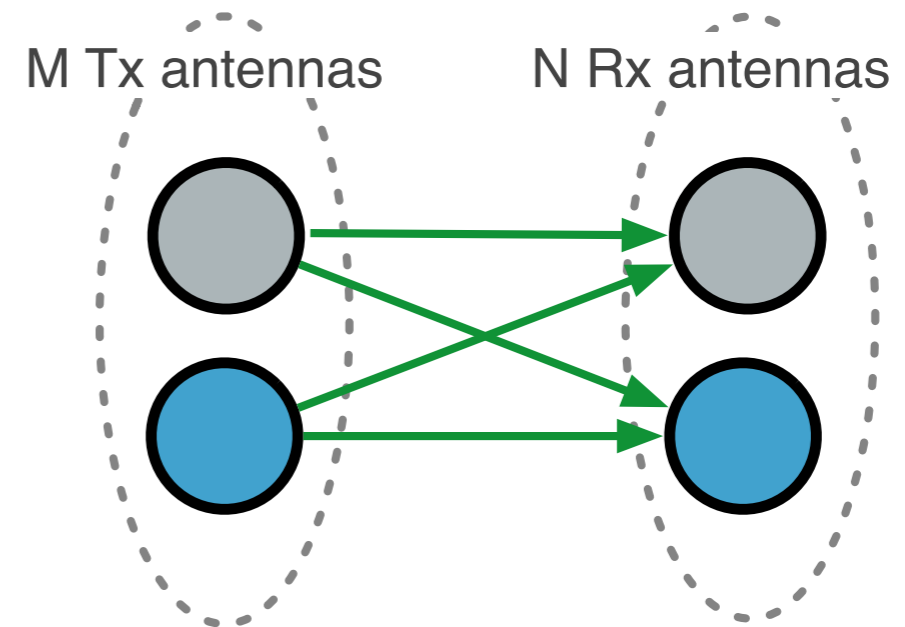
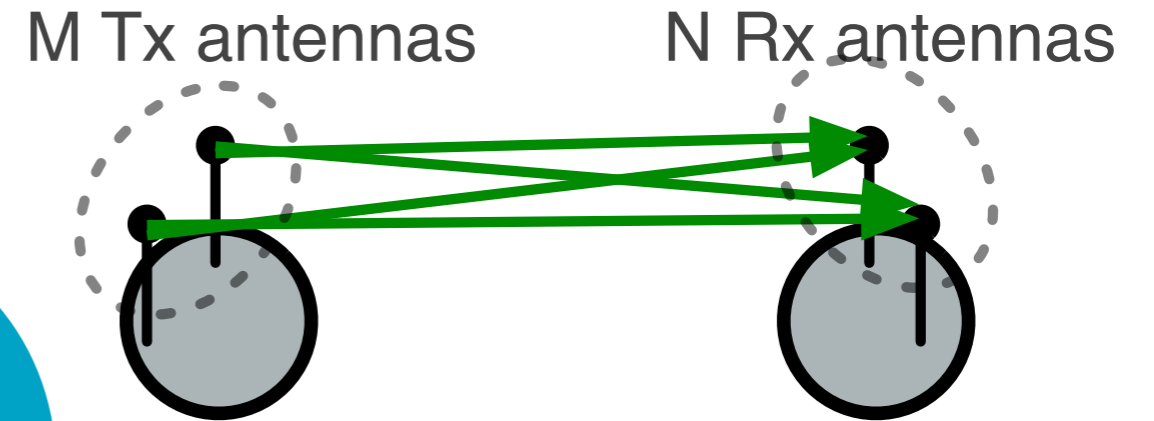




# Efficient, reliable communications



With  
cognition



# Capacity regions



Fundamental Limits of Cognitive Networks





# Channel capacity

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# Channel capacity

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Capacity  $C = \max_{p(x)} I(X; Y)$  bits/channel use

# Channel capacity

---



Capacity  $C = \max_{p(x)} I(X; Y)$  bits/channel use

$$I(X; Y) = \sum_{x,y} p(x, y) \log \left( \frac{p(x, y)}{p(x)p(y)} \right)$$

# Channel capacity

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Capacity  $C = \max_{p(x)} I(X; Y)$  bits/channel use

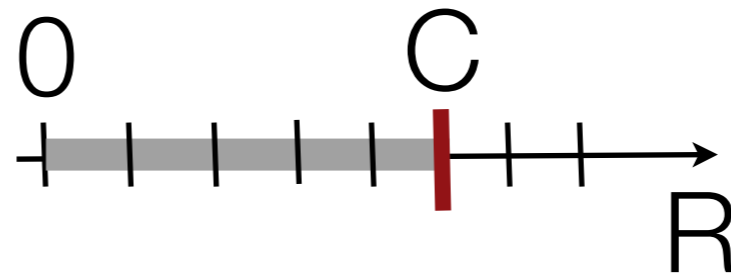
Highest rate (bits/channel use) that can communicate at reliably

# Mathematical description of capacity

---

- Can achieve reliable communication for all transmission rates  $R$ :

$$R < C$$

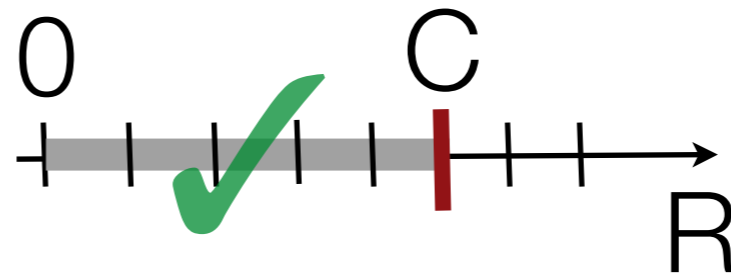


# Mathematical description of capacity

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- Can achieve reliable communication for all transmission rates  $R$ :

$$R < C$$

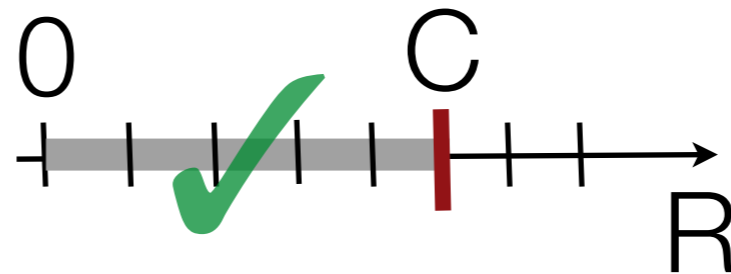


# Mathematical description of capacity

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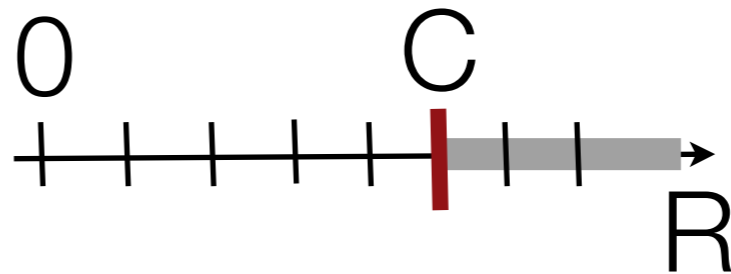
- Can achieve reliable communication for all transmission rates  $R$ :

$$R < C$$



- BUT, probability of decoding error always bounded away from zero if

$$R > C$$

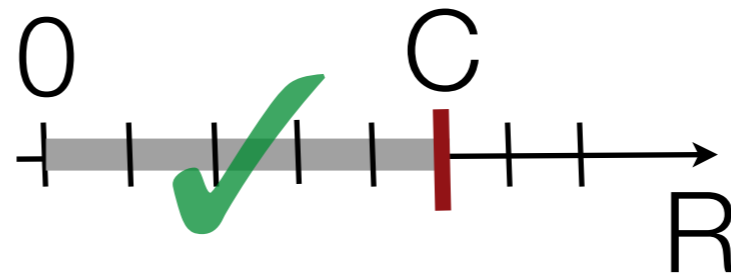


# Mathematical description of capacity

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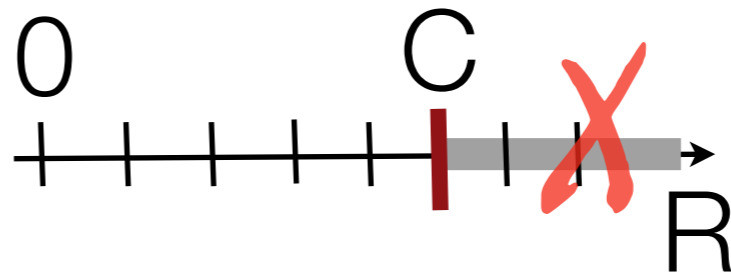
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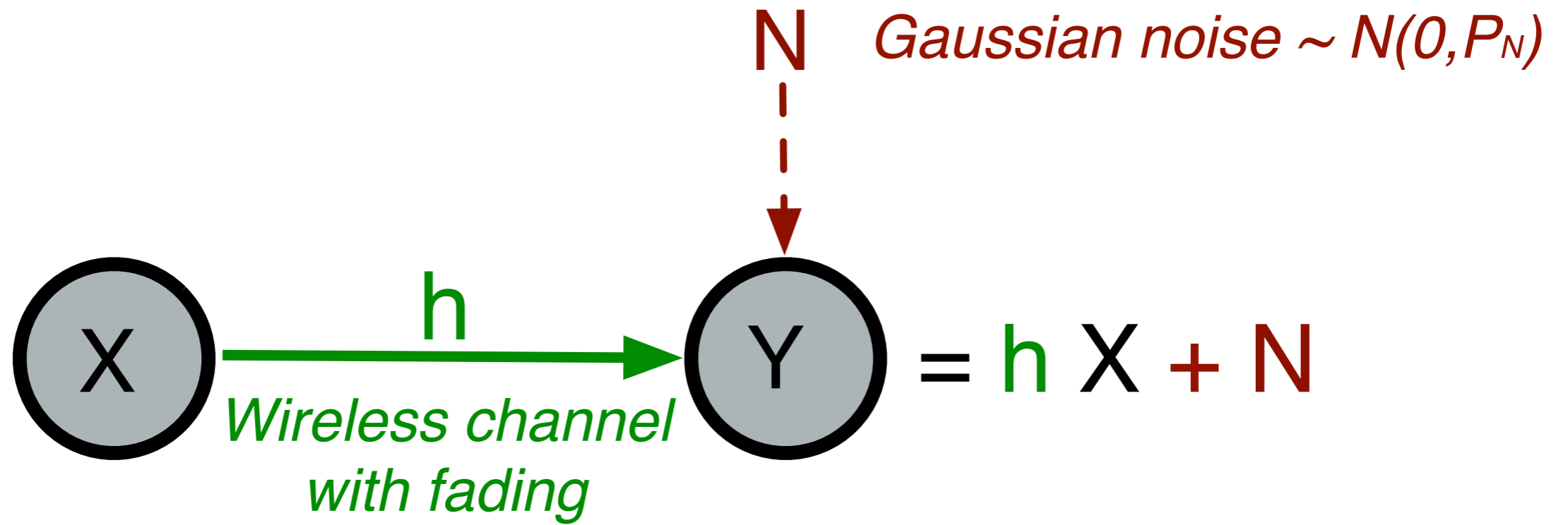
$$R > C$$





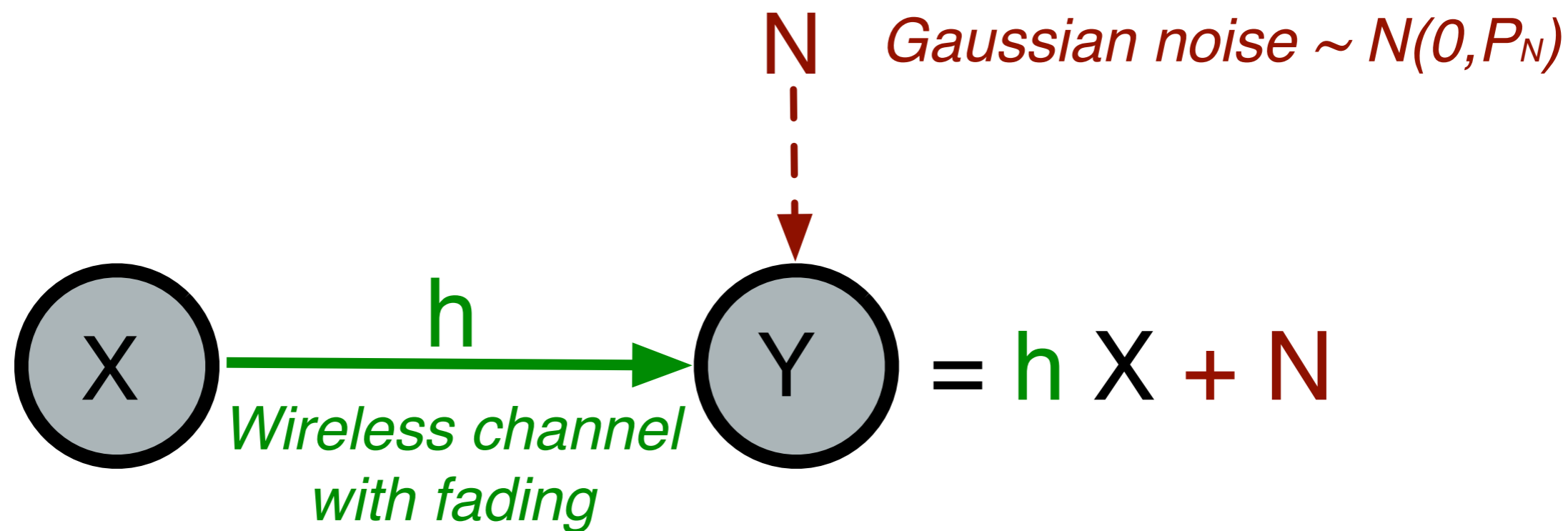
# AWGN channel capacity

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# AWGN channel capacity

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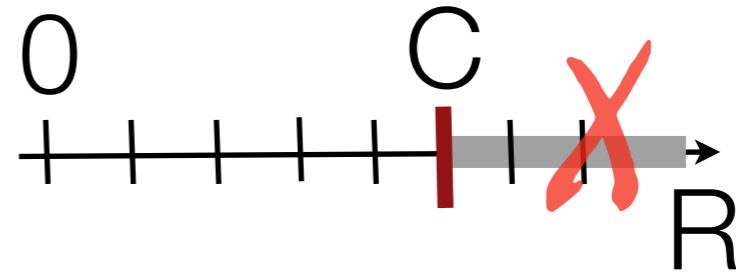
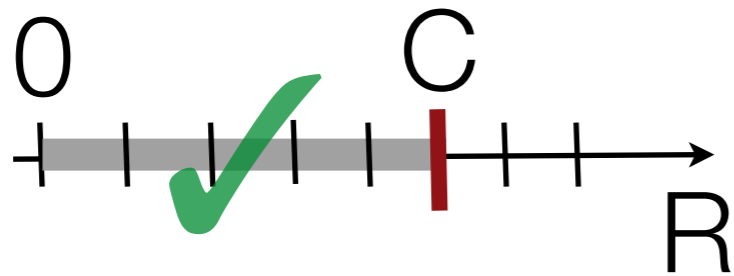


$$C = \frac{1}{2} \log \left( \frac{|h|^2 P + P_N}{P_N} \right)$$
$$= \frac{1}{2} \log (1 + SNR) \quad (\text{bits/channel use})$$

# Capacity and capacity regions

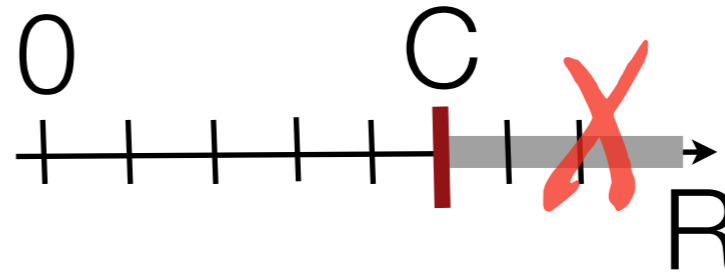
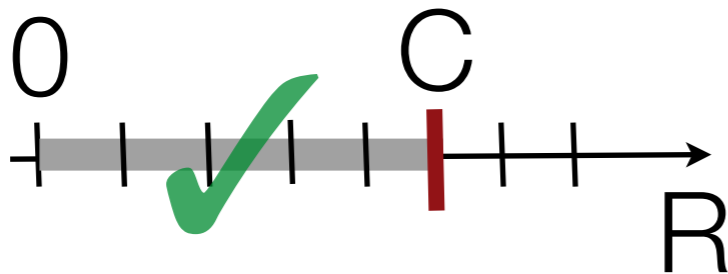
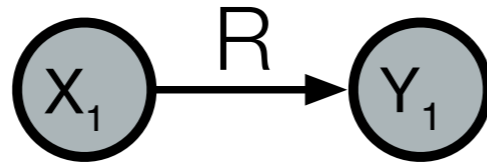
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- Point to point **capacity**  $X_1 \xrightarrow{R} Y_1$

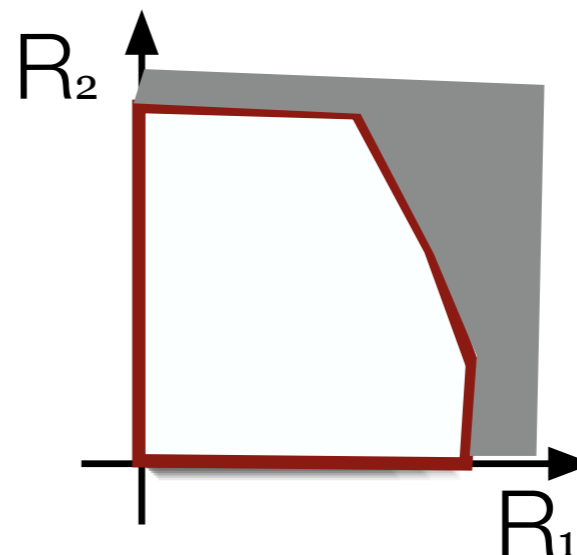
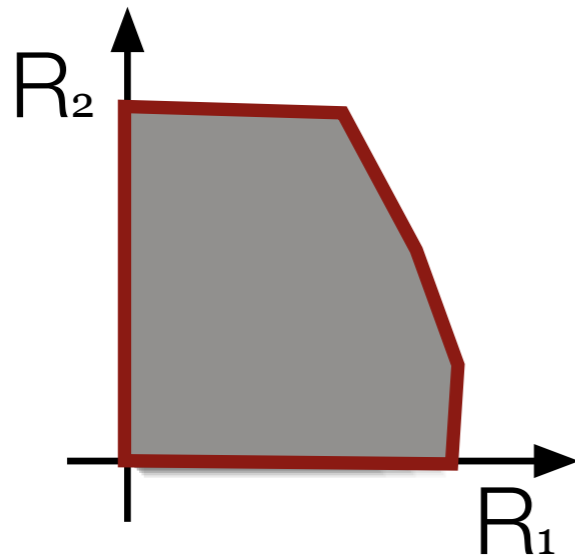
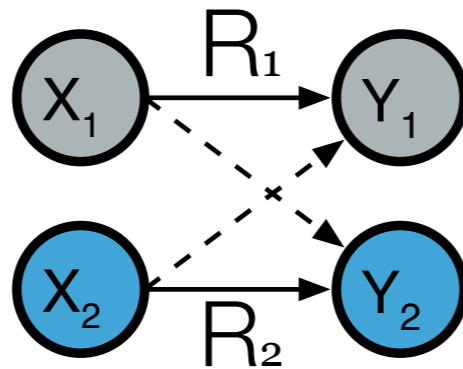


# Capacity and capacity regions

- Point to point **capacity**

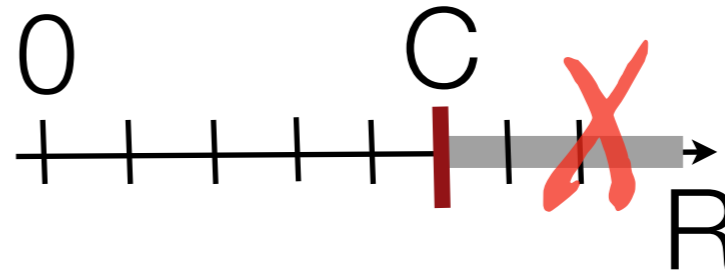
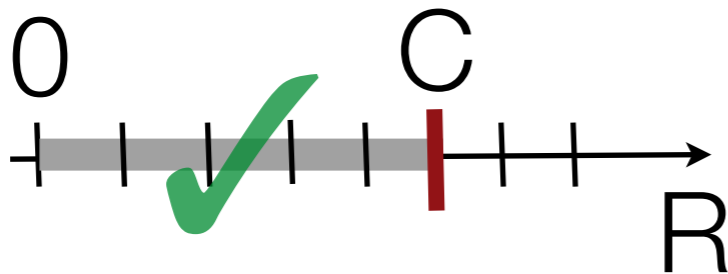
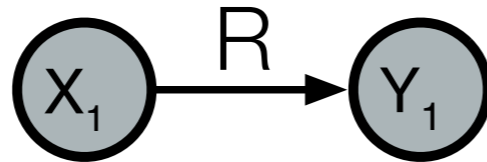


- Multi-user **capacity region**

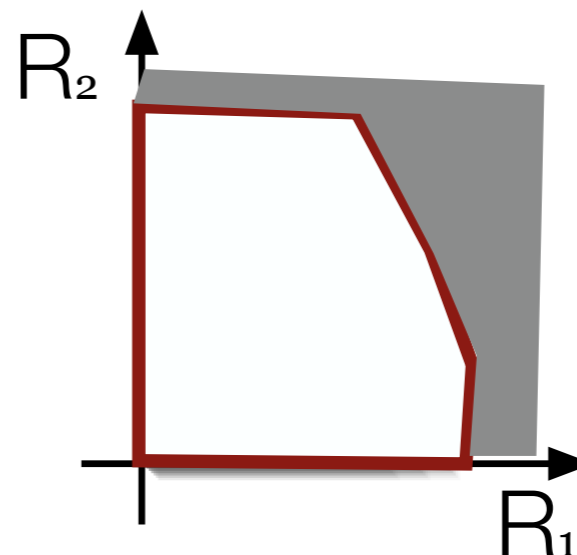
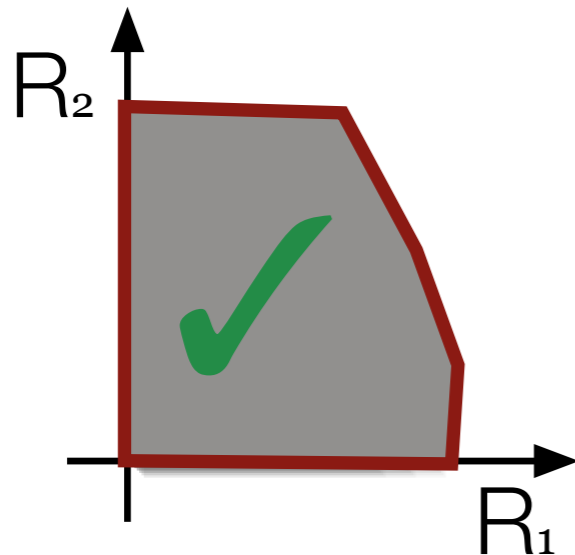
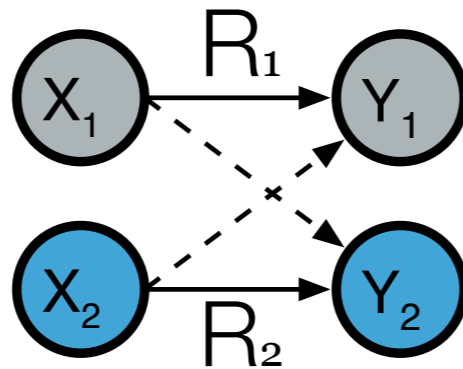


# Capacity and capacity regions

- Point to point **capacity**

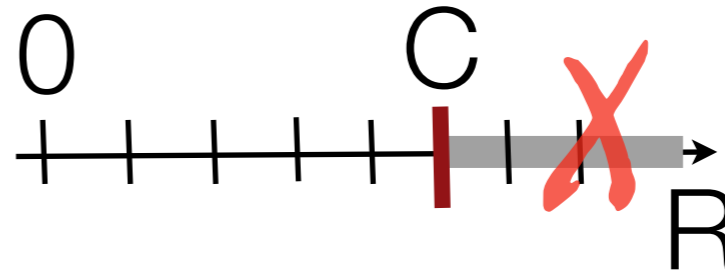
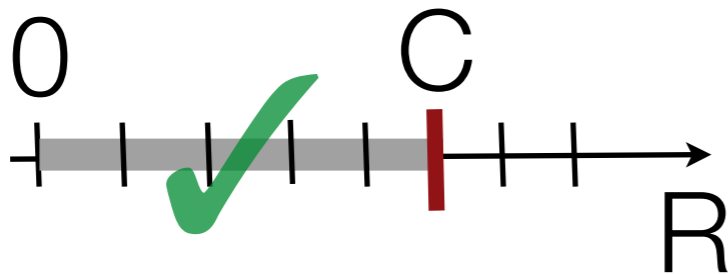
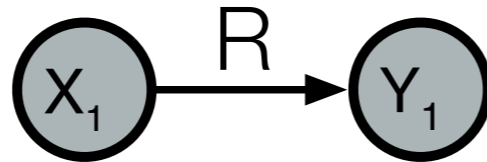


- Multi-user **capacity region**

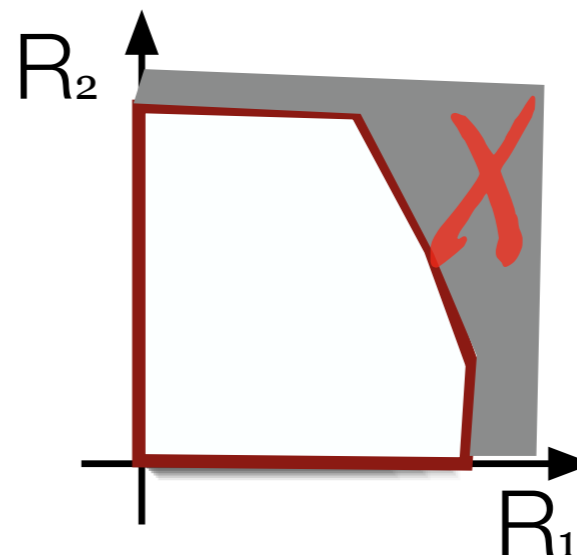
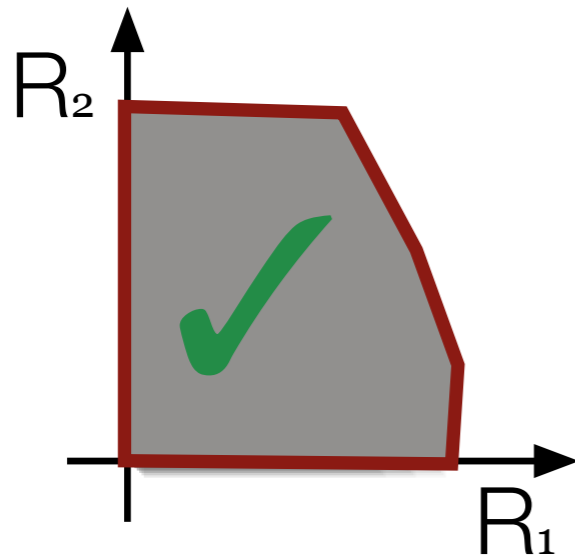
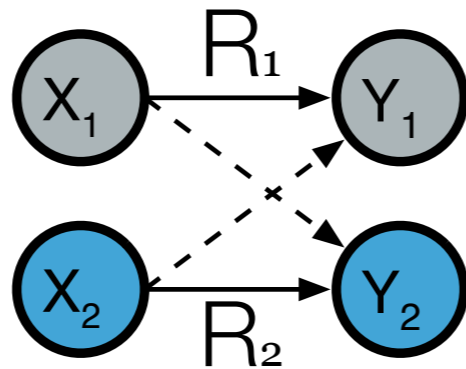


# Capacity and capacity regions

- Point to point **capacity**

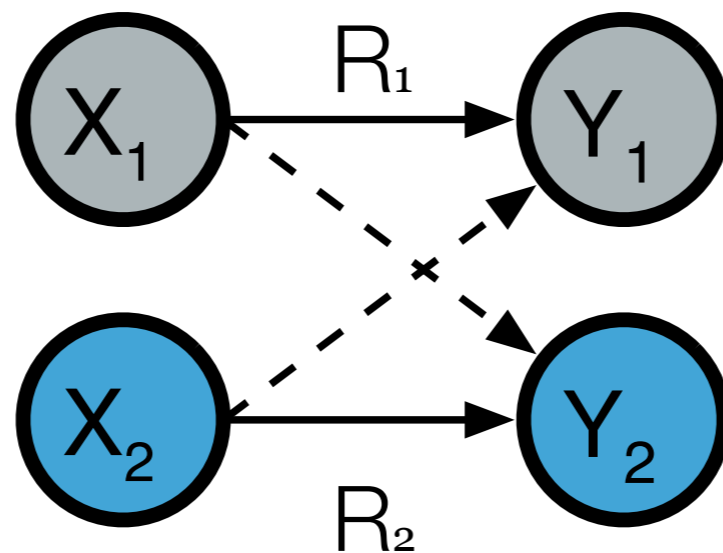
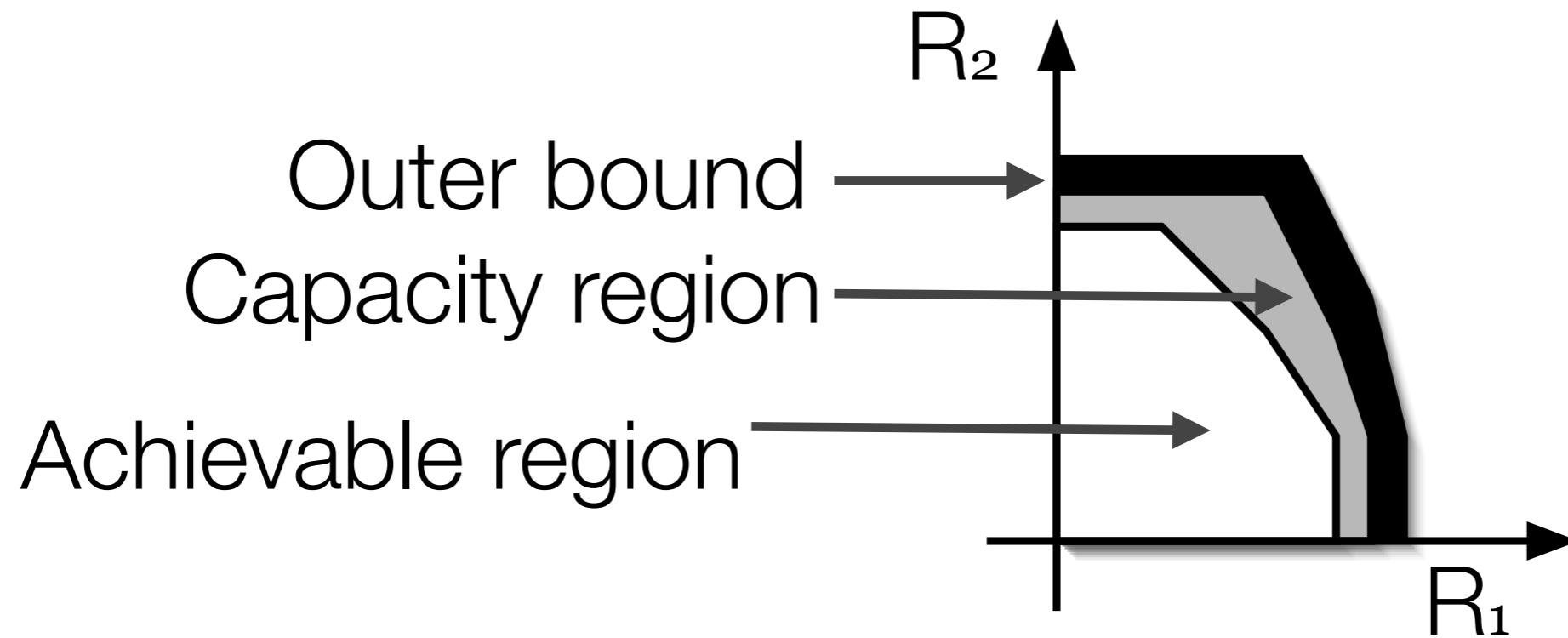


- Multi-user **capacity region**



# Capacity regions

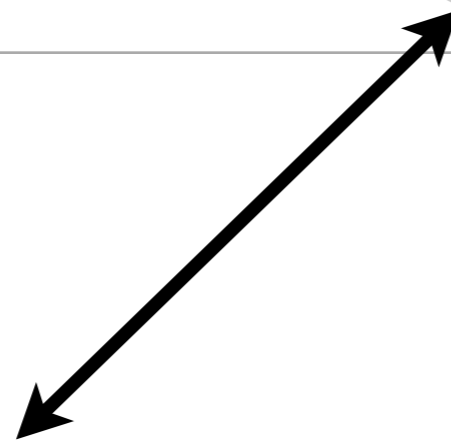
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# Fundamental Limits of Cognitive Networks

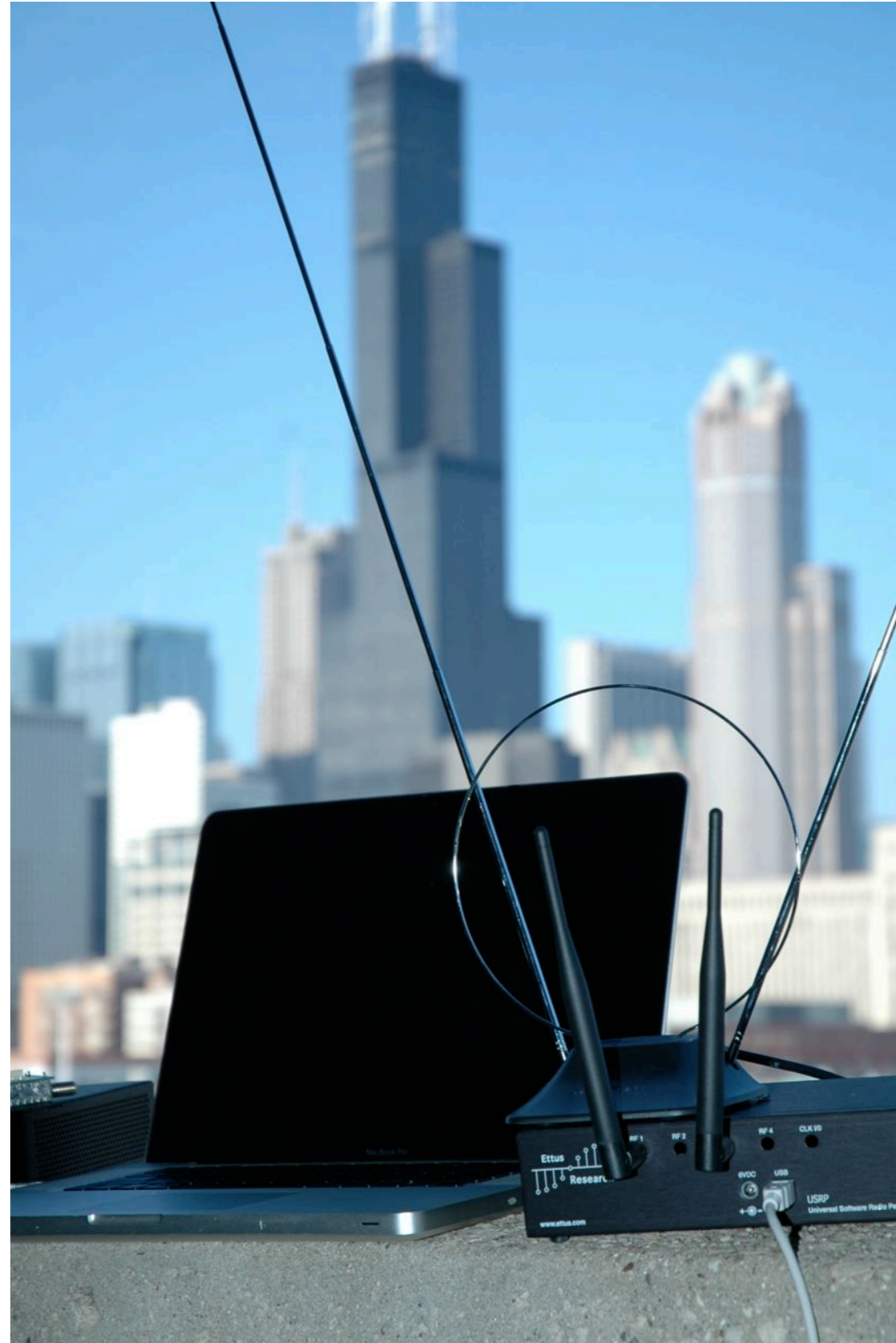


**Motivation?**





# Motivation 1: smart cognitive devices



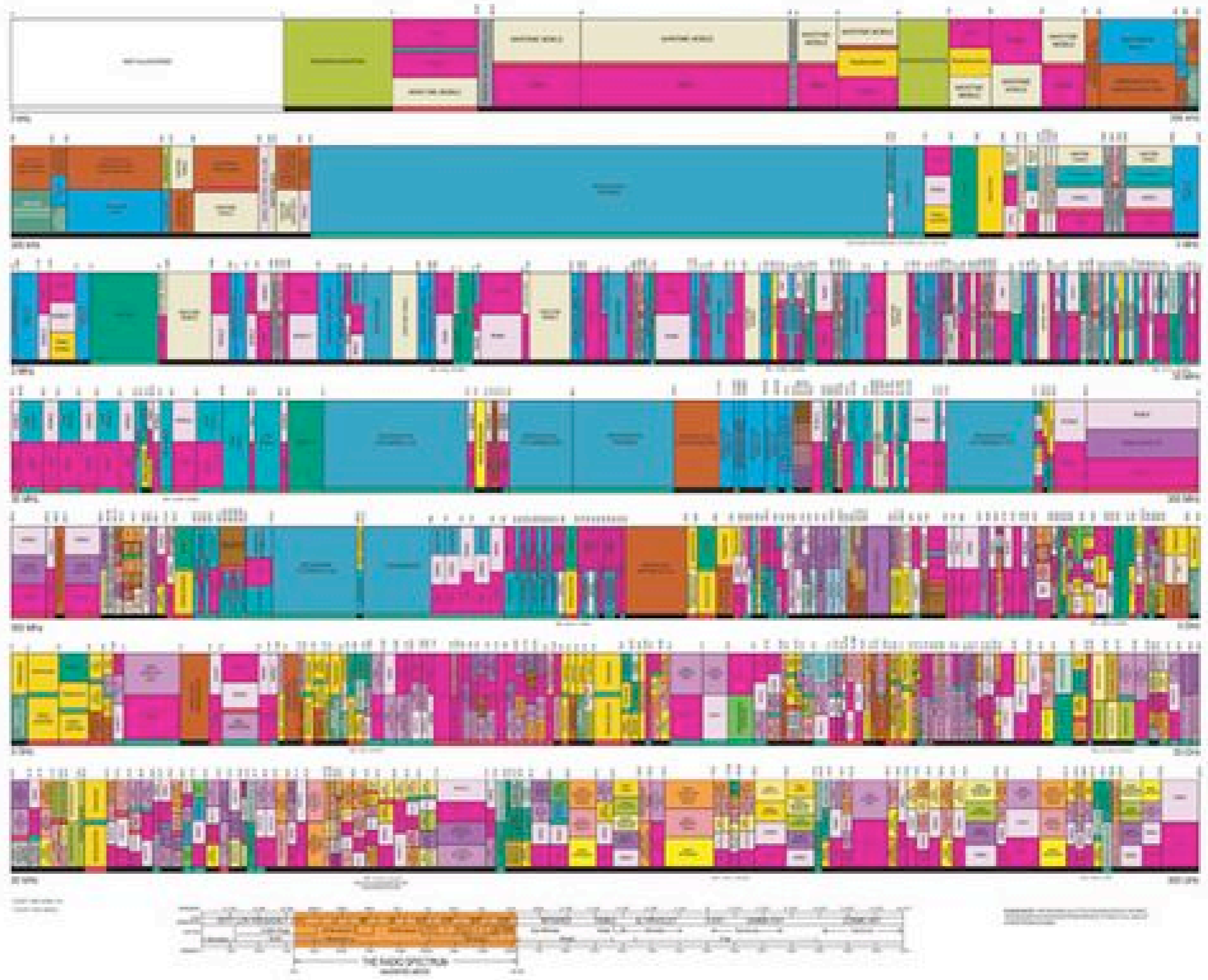
# Motivation 2: spectral efficiency

## UNITED STATES FREQUENCY ALLOCATIONS THE RADIO SPECTRUM

**ACTIVITY CODE**

**ALLOCATION/USAGE DESIGNATION**

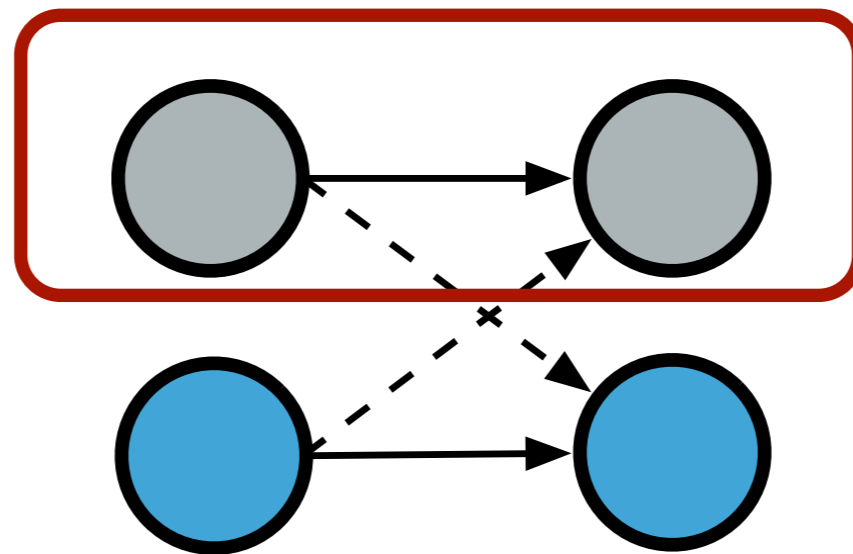
**U.S. DEPARTMENT OF COMMERCE**  
NATIONAL BUREAU OF ECONOMIC ANALYSIS  
OFFICE OF ELECTRONIC LOGISTICS  
MAY 2009



# Spectrum licensing: future

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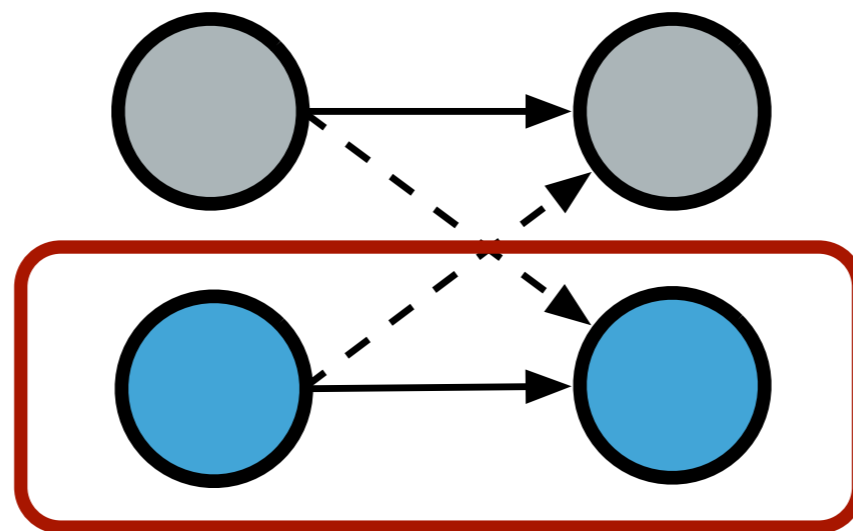
Primary users/ primary license holders



# Spectrum licensing: future

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Primary users/ primary license holders

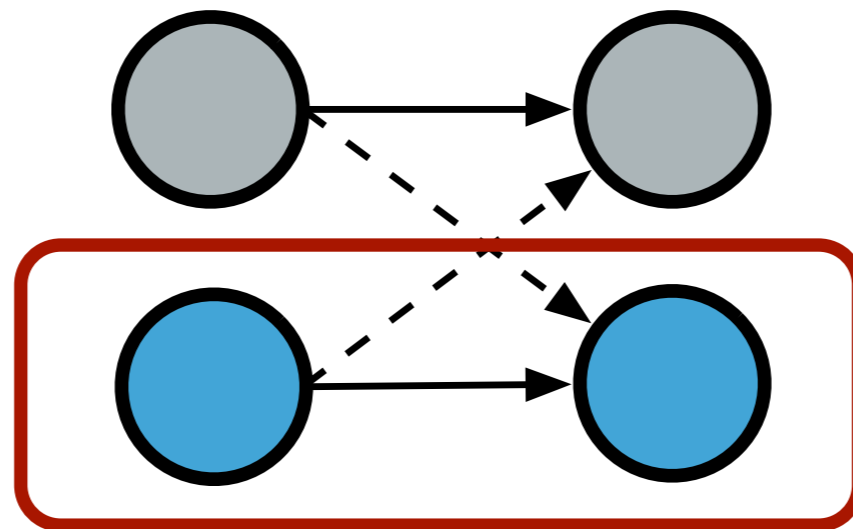


Secondary users

# Spectrum licensing: future

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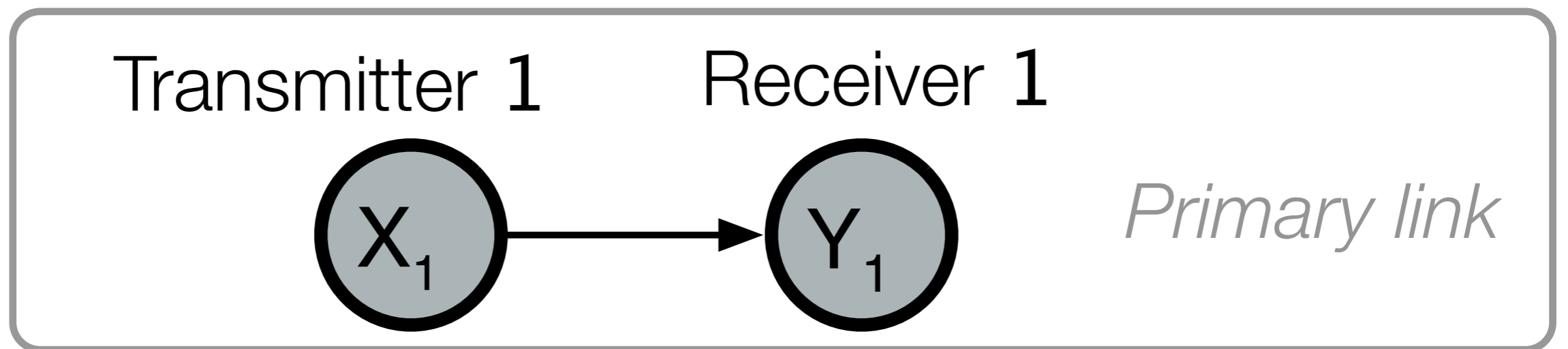
Primary users/ primary license holders



Secondary users ↔ Cognitive radios

# Secondary spectrum usage

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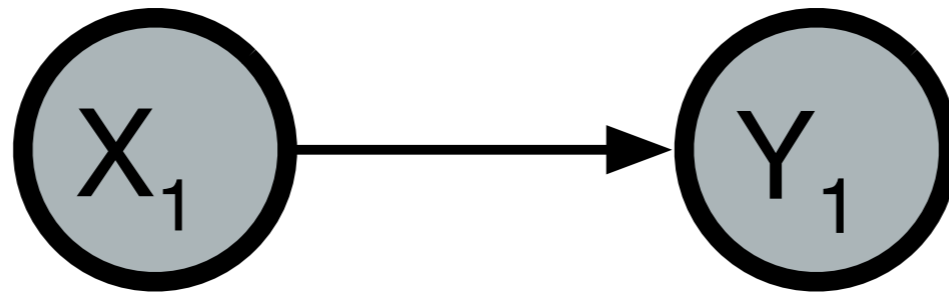


# Secondary spectrum usage

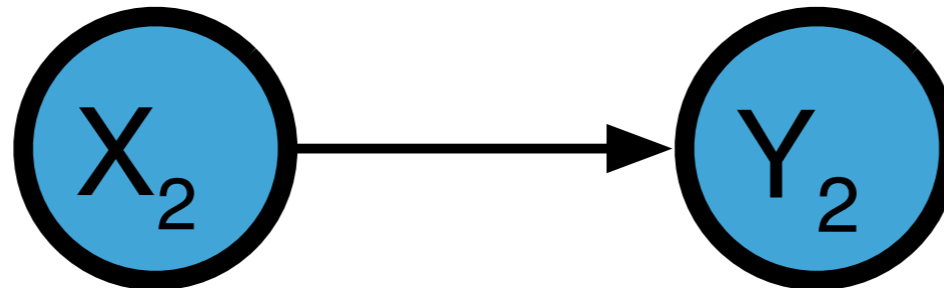
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Transmitter 1

Receiver 1



*Primary link*



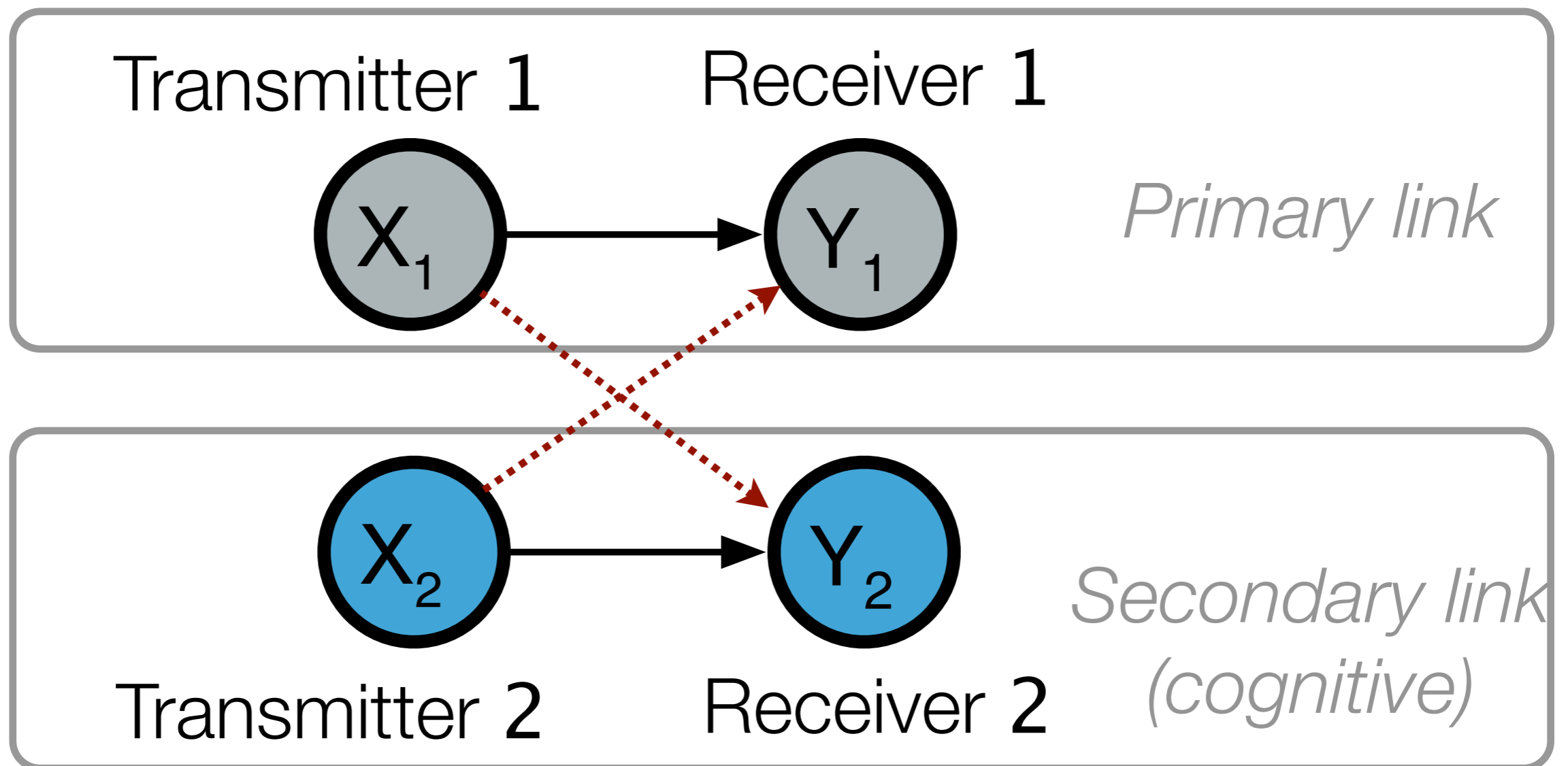
Transmitter 2

Receiver 2

*Secondary link  
(cognitive)*

# Secondary spectrum usage

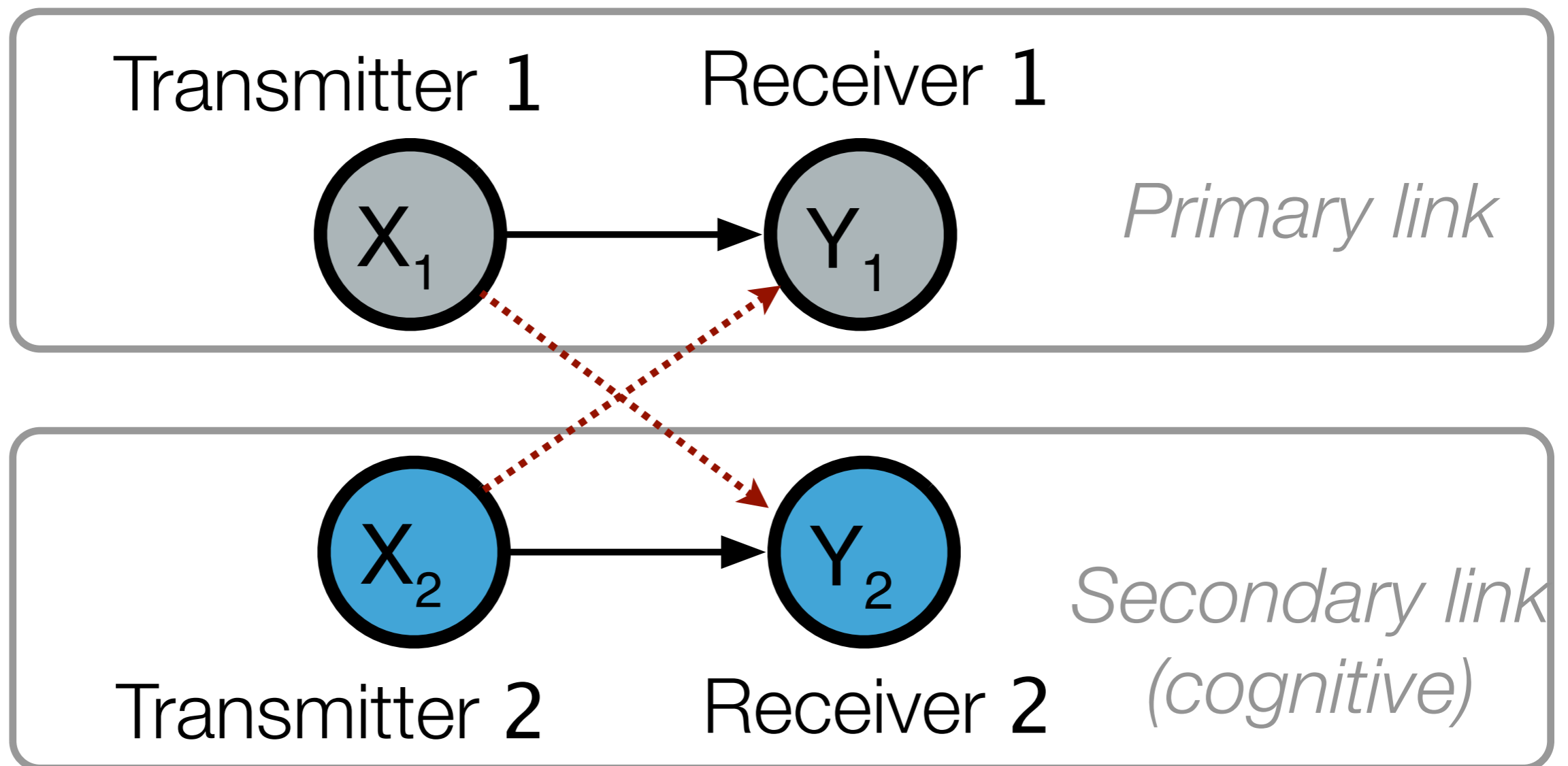
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# Secondary spectrum usage

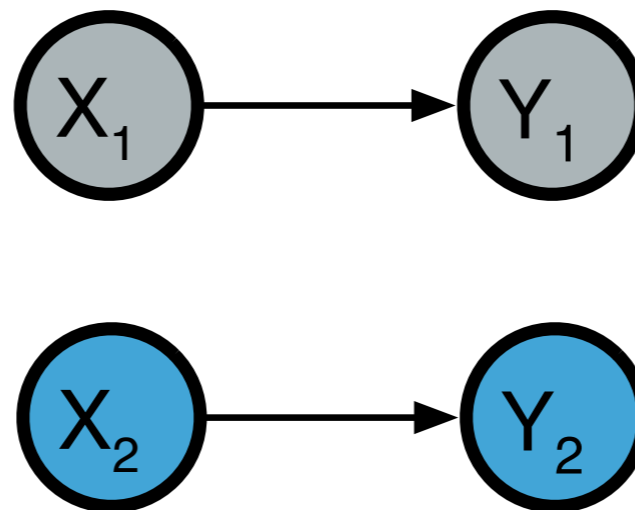
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What can the cognitive link do?

# Cognition

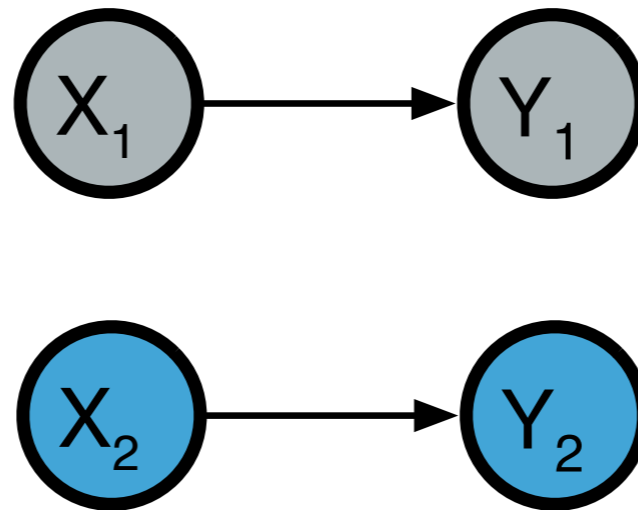
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- Assumptions on primary/secondary models will dictate behavior + performance

# Cognition

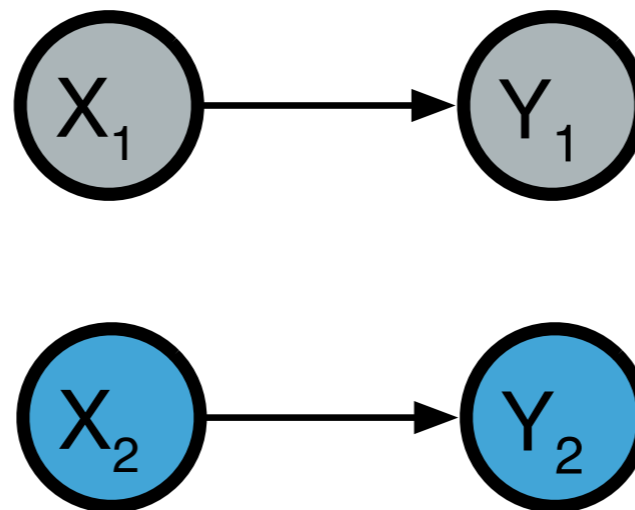
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- Assumptions on primary/secondary models will dictate behavior + performance
- Cognition boils down to **side-information** and how to use it

# Cognition

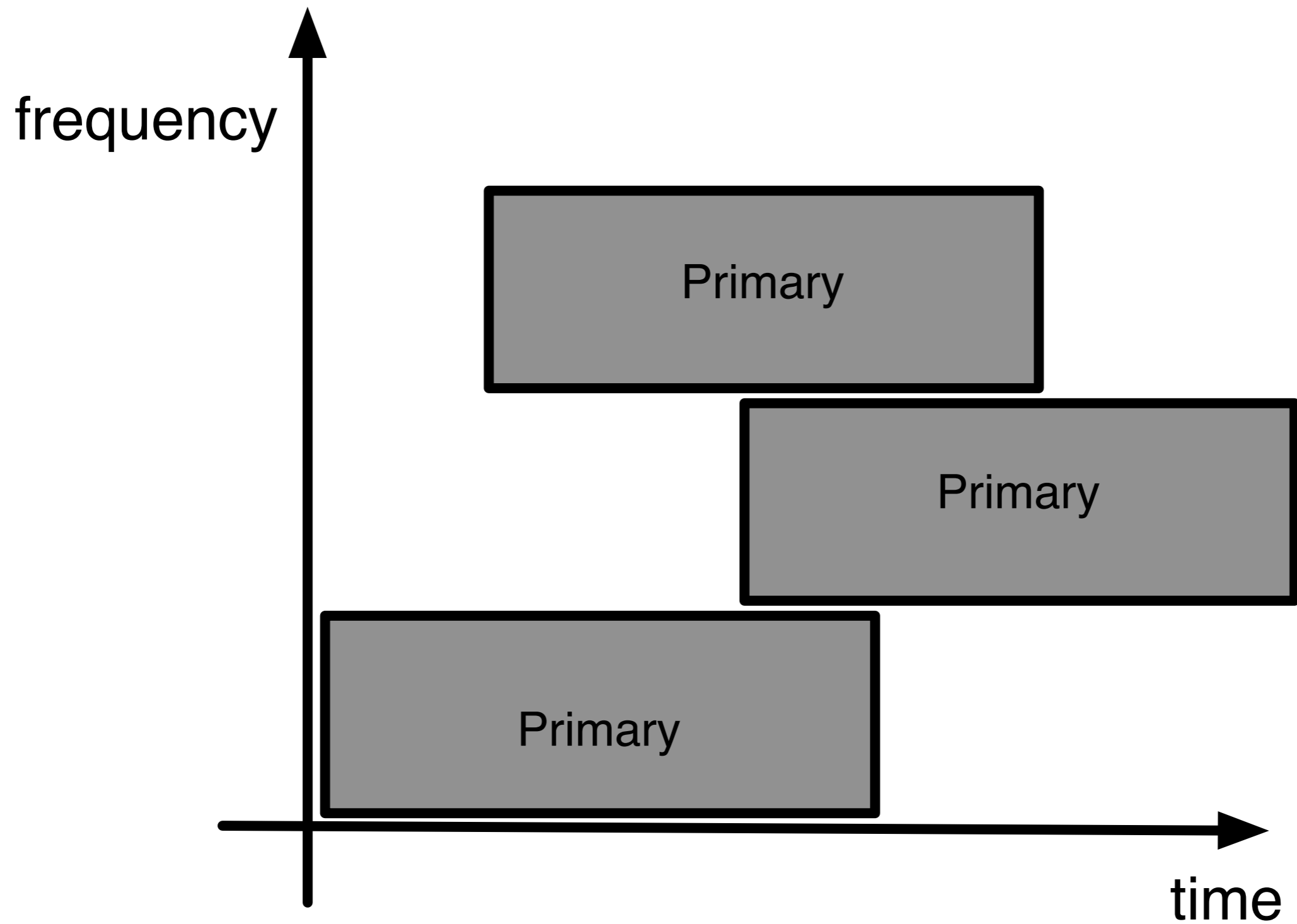
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- Assumptions on primary/secondary models will dictate behavior + performance
- Cognition boils down to **side-information** and how to use it
- Use information theory to tell us which techniques are most promising

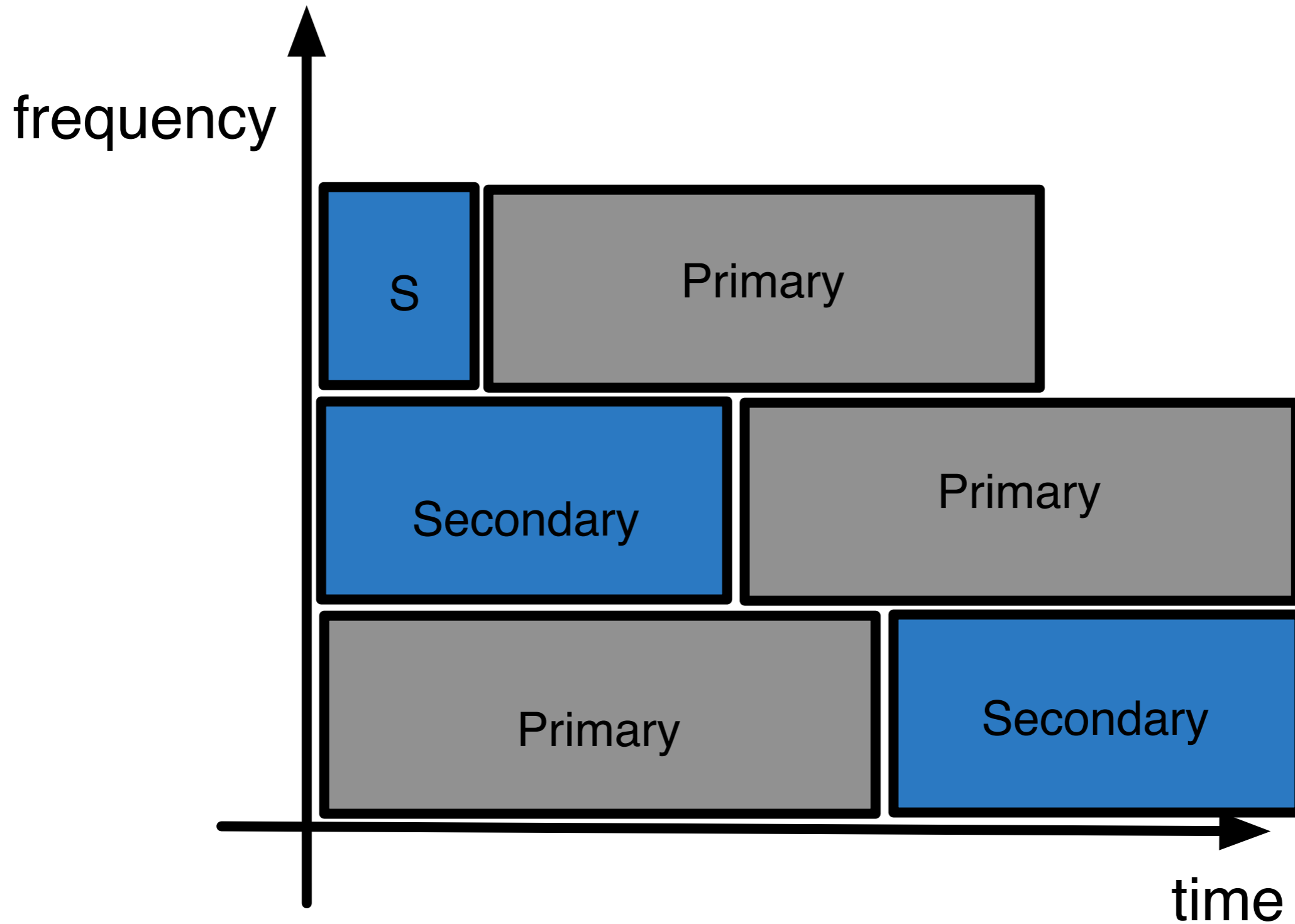
# 1. White spaces

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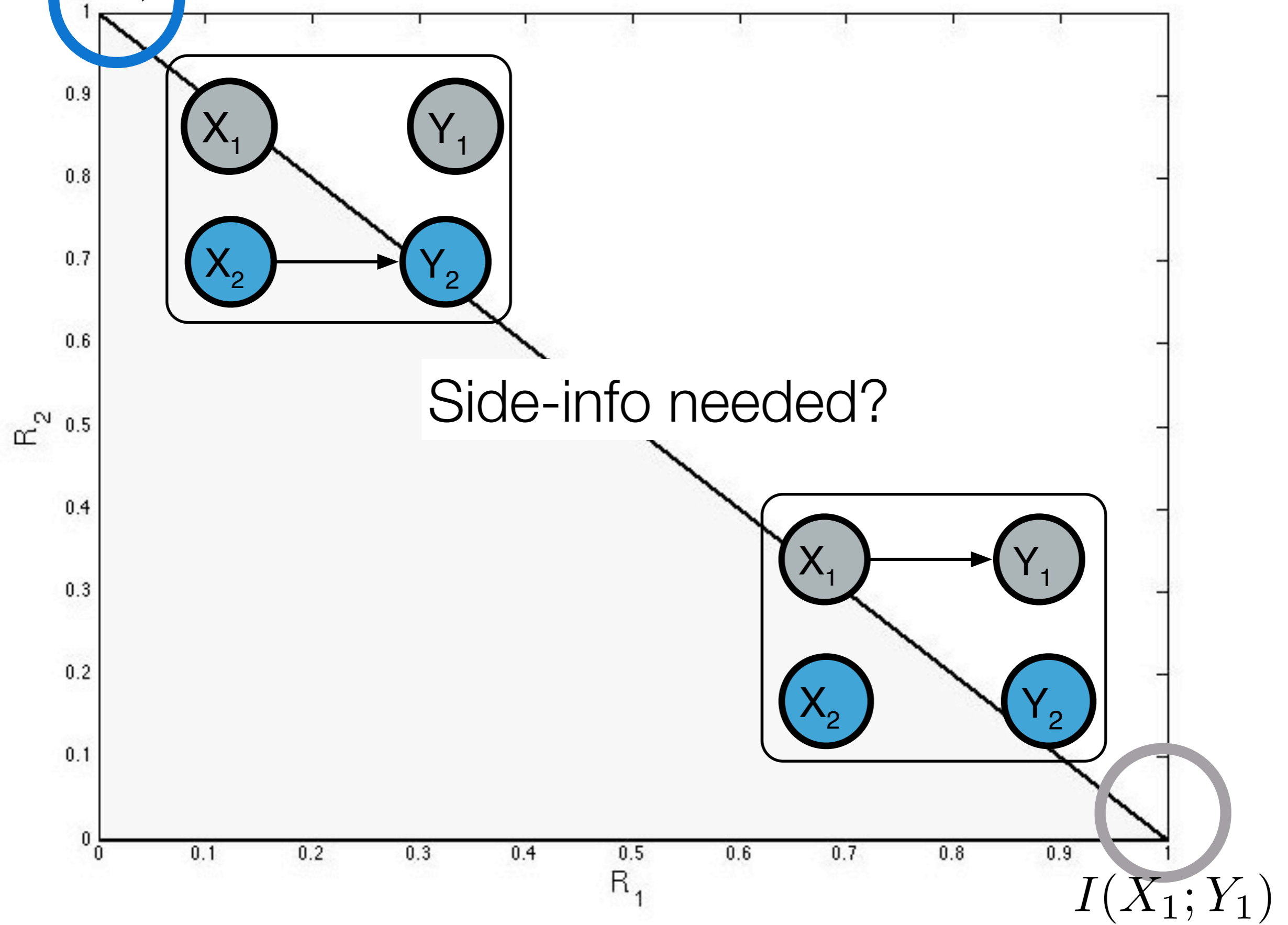


# 1. White spaces

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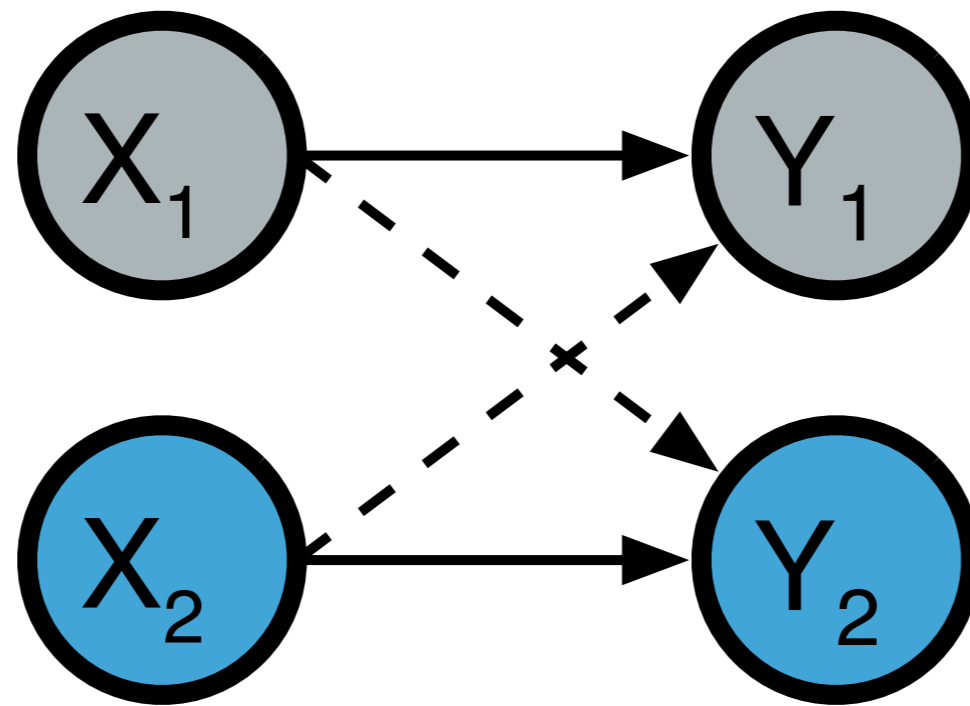
$$I(X_2; Y_2)$$



$$I(X_1; Y_1)$$

## 2. Just transmit

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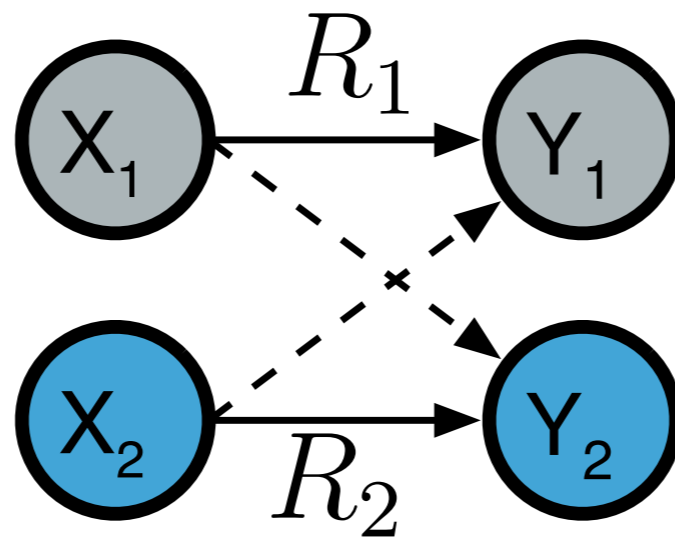
Interfere with each other!



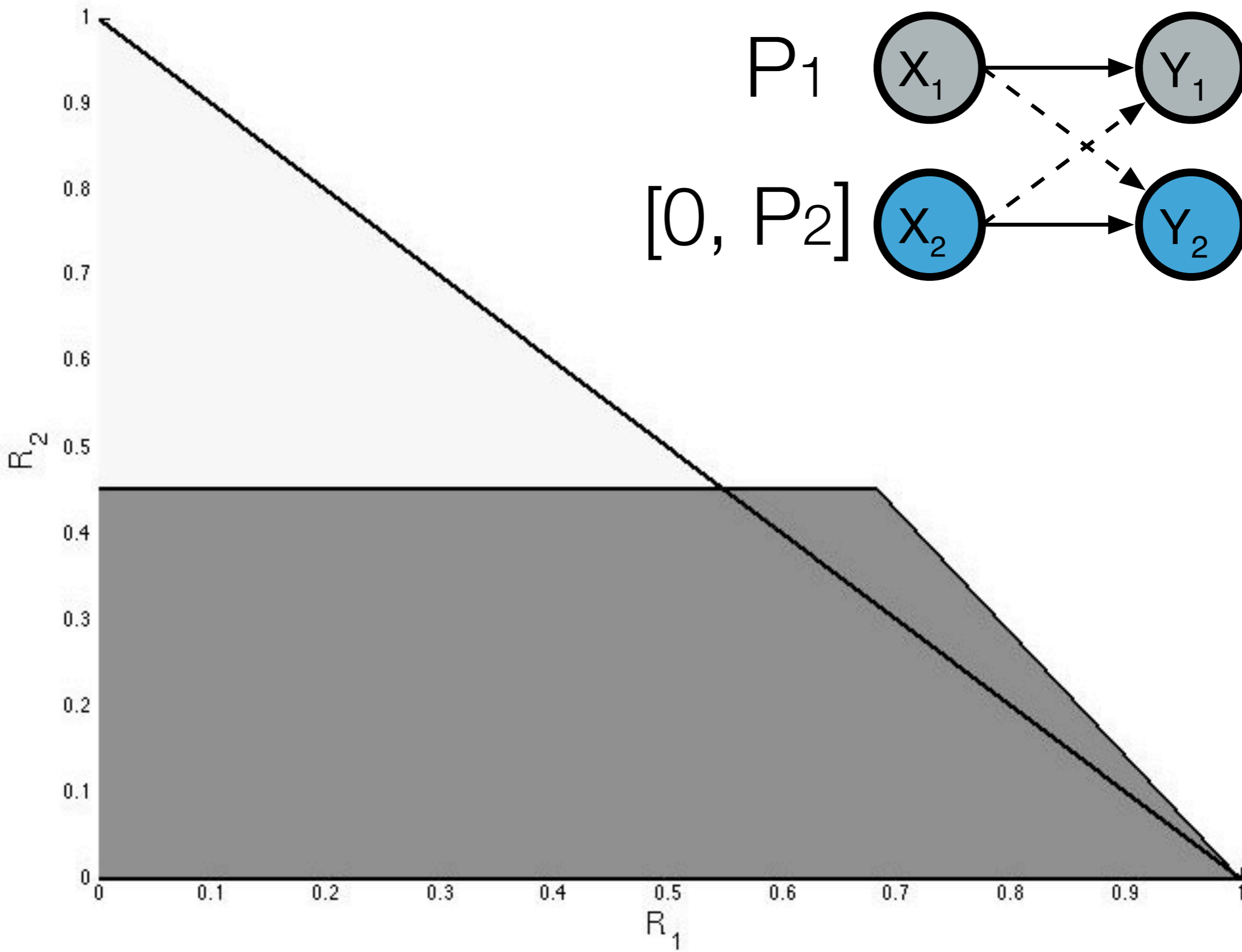
## 2. Just transmit

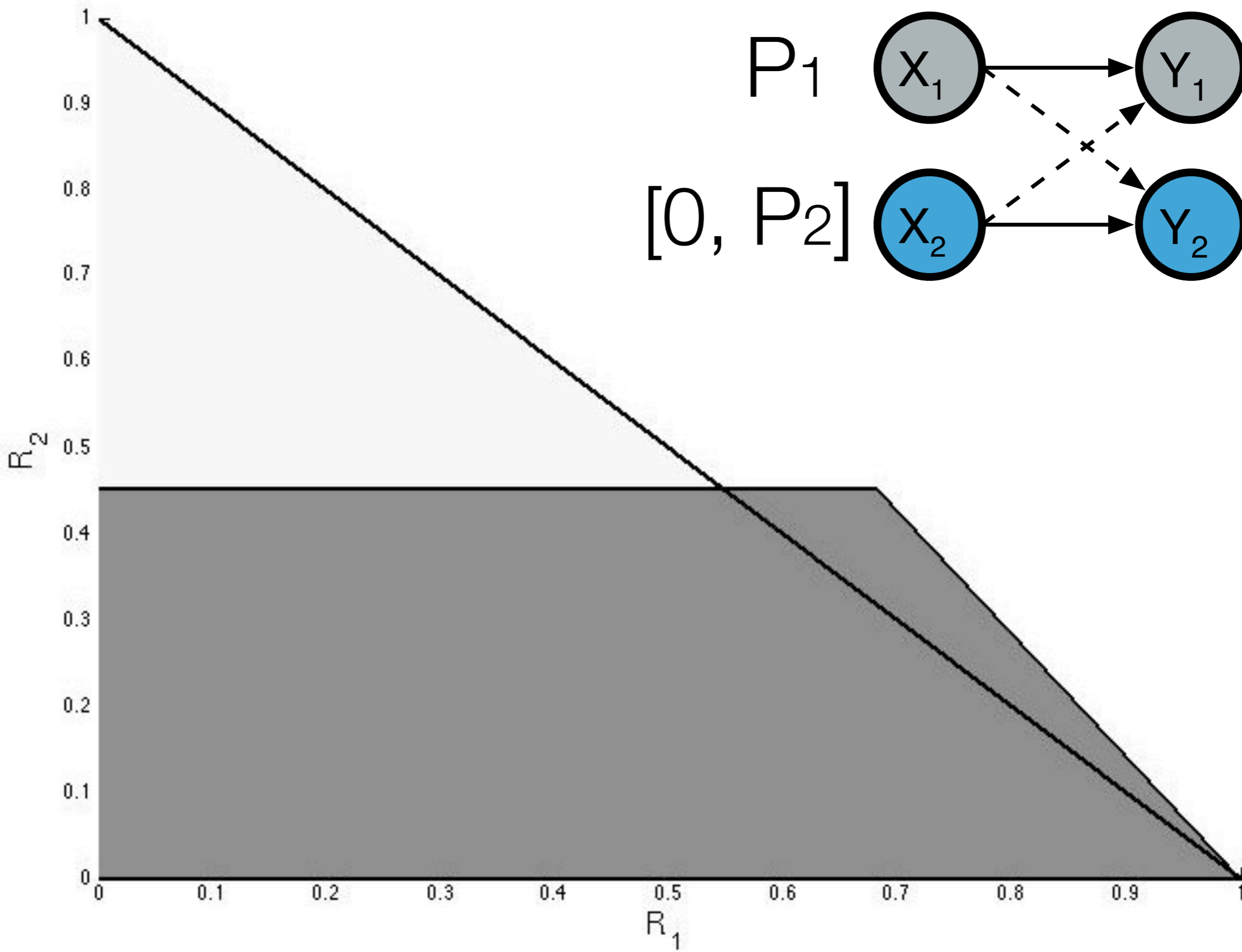
---

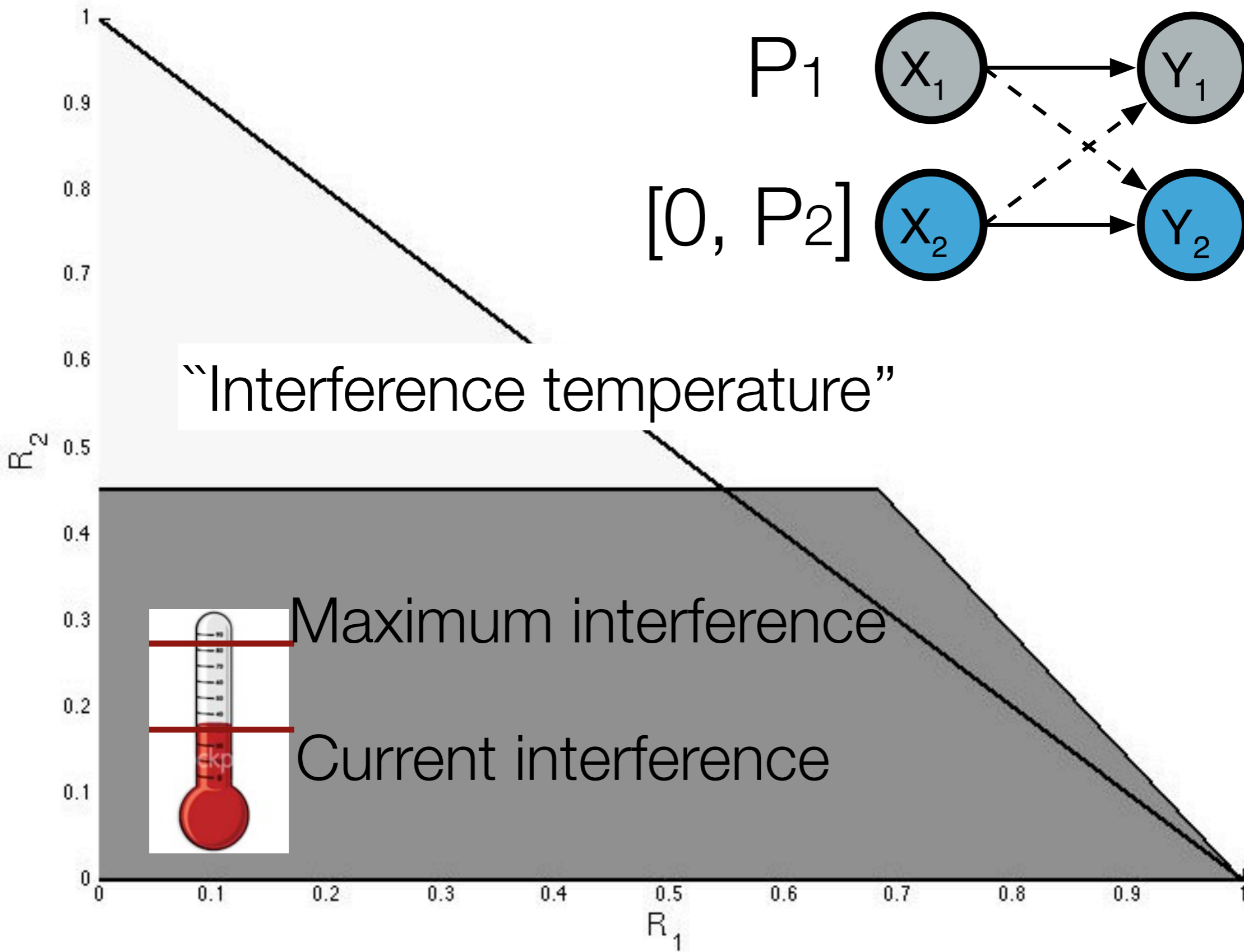
$$R_1 \leq \frac{1}{2} \log_2 \left( 1 + \frac{\text{Power of signal 1}}{\text{Interference from signal 2} + \text{Noise}} \right)$$

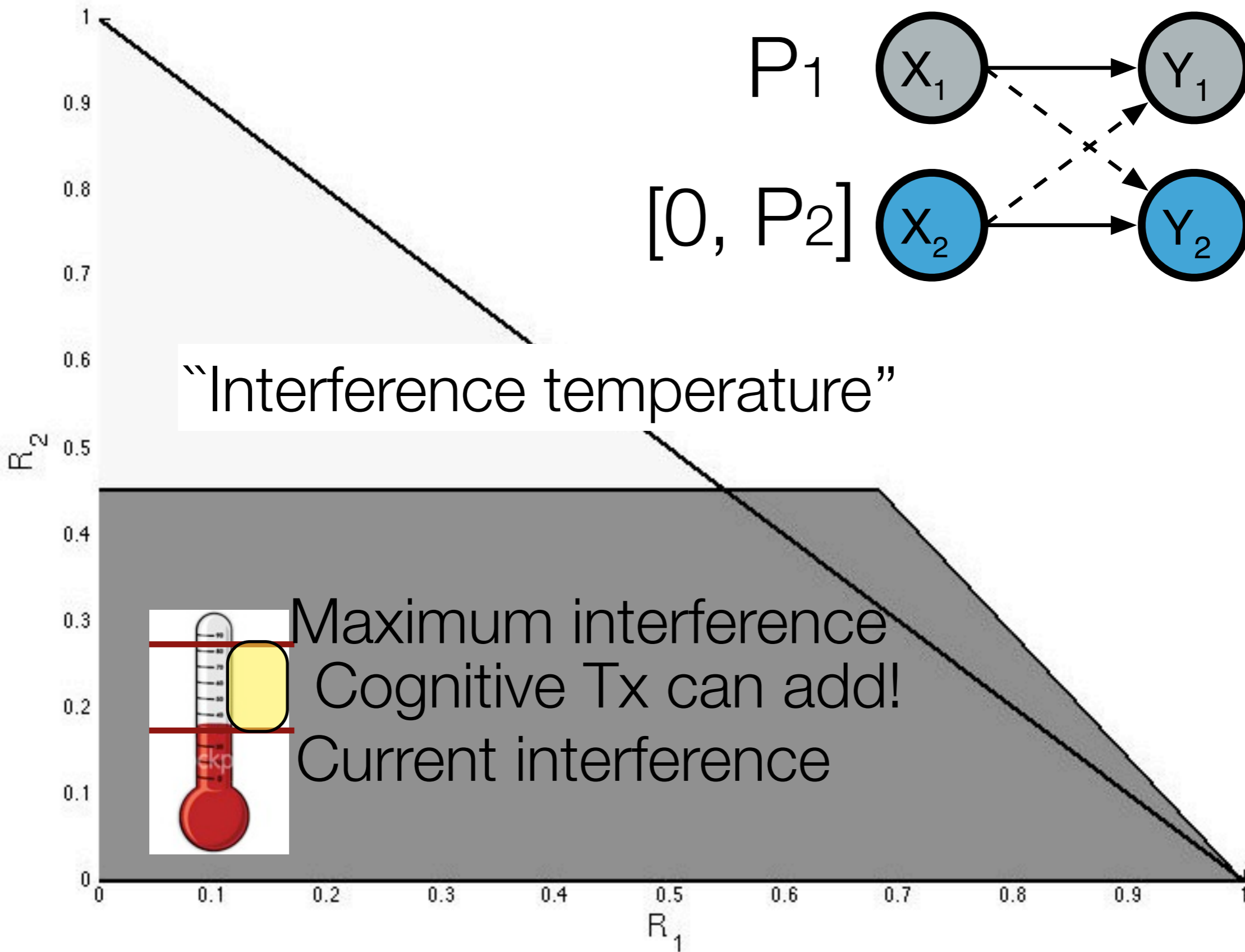


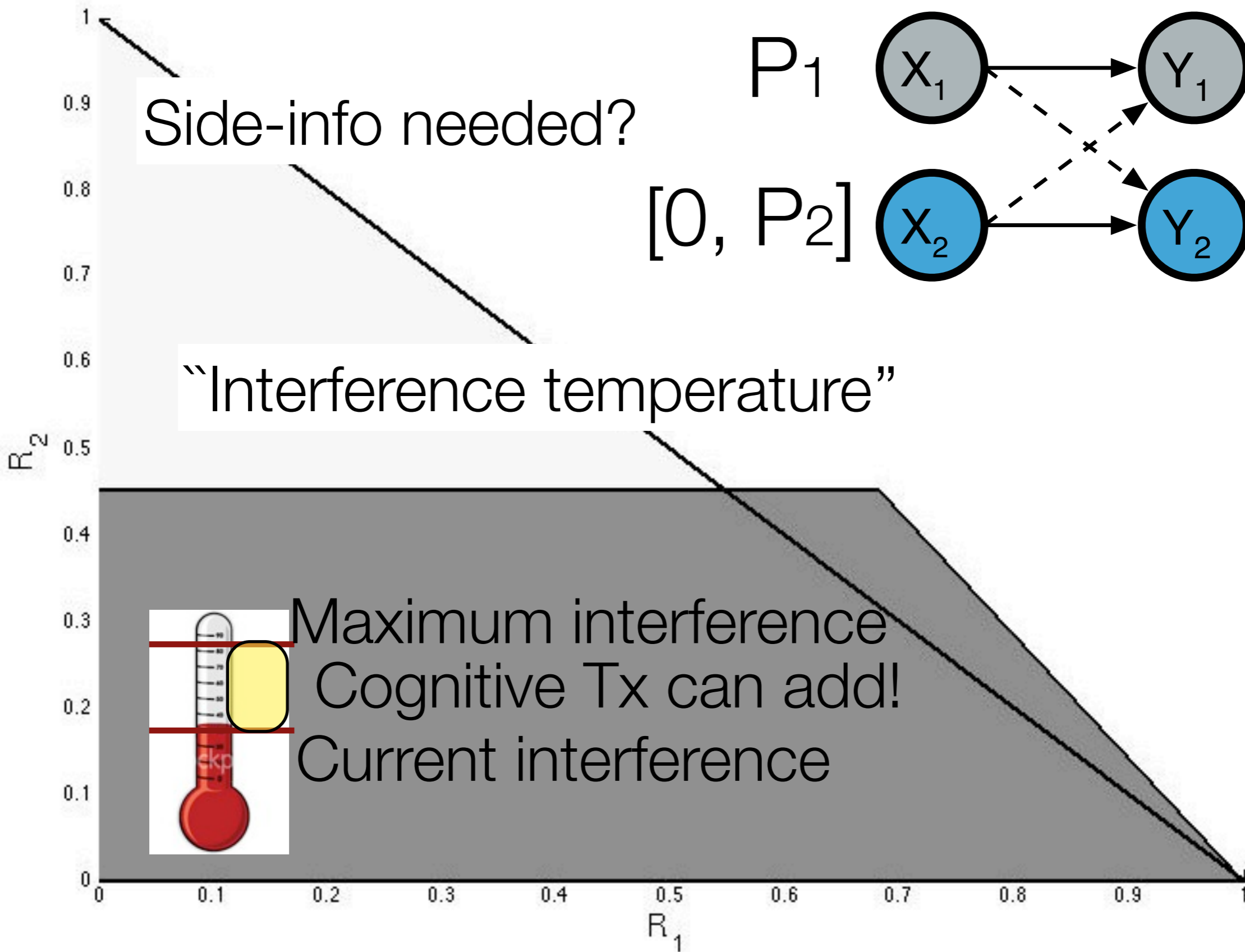
$$R_2 \leq \frac{1}{2} \log_2 \left( 1 + \frac{\text{Power of signal 2}}{\text{Interference from signal 1} + \text{Noise}} \right)$$





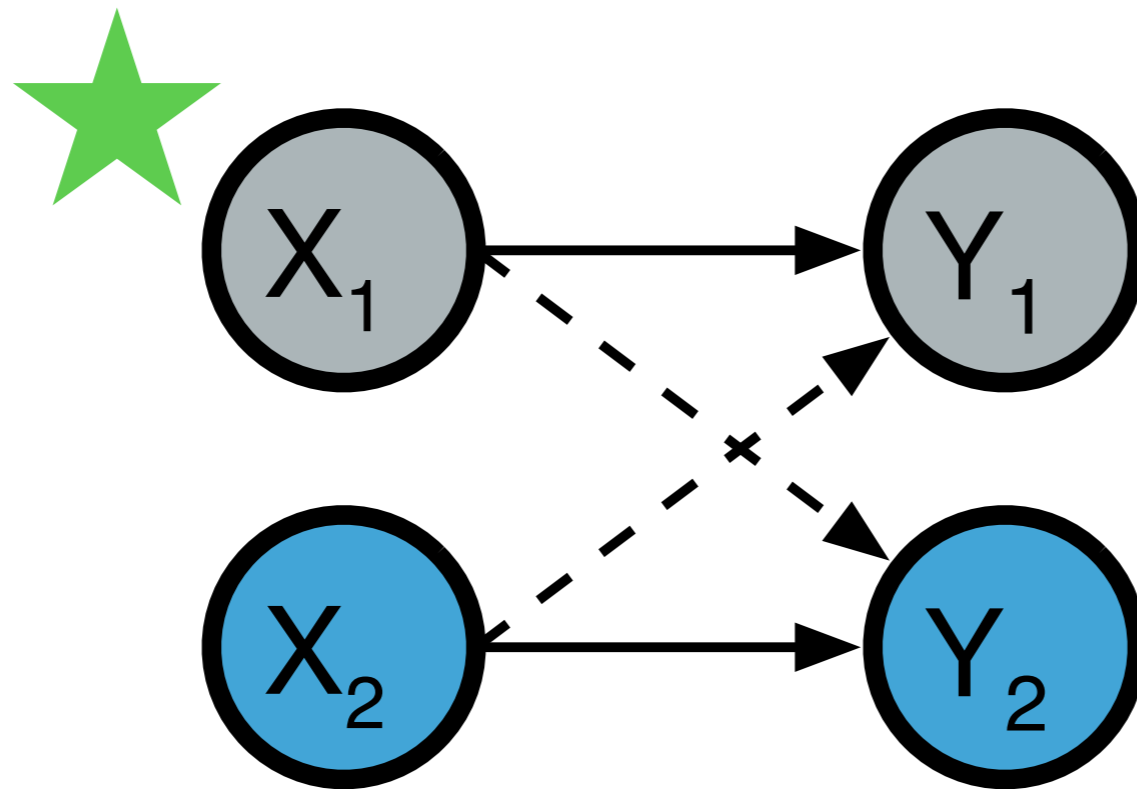






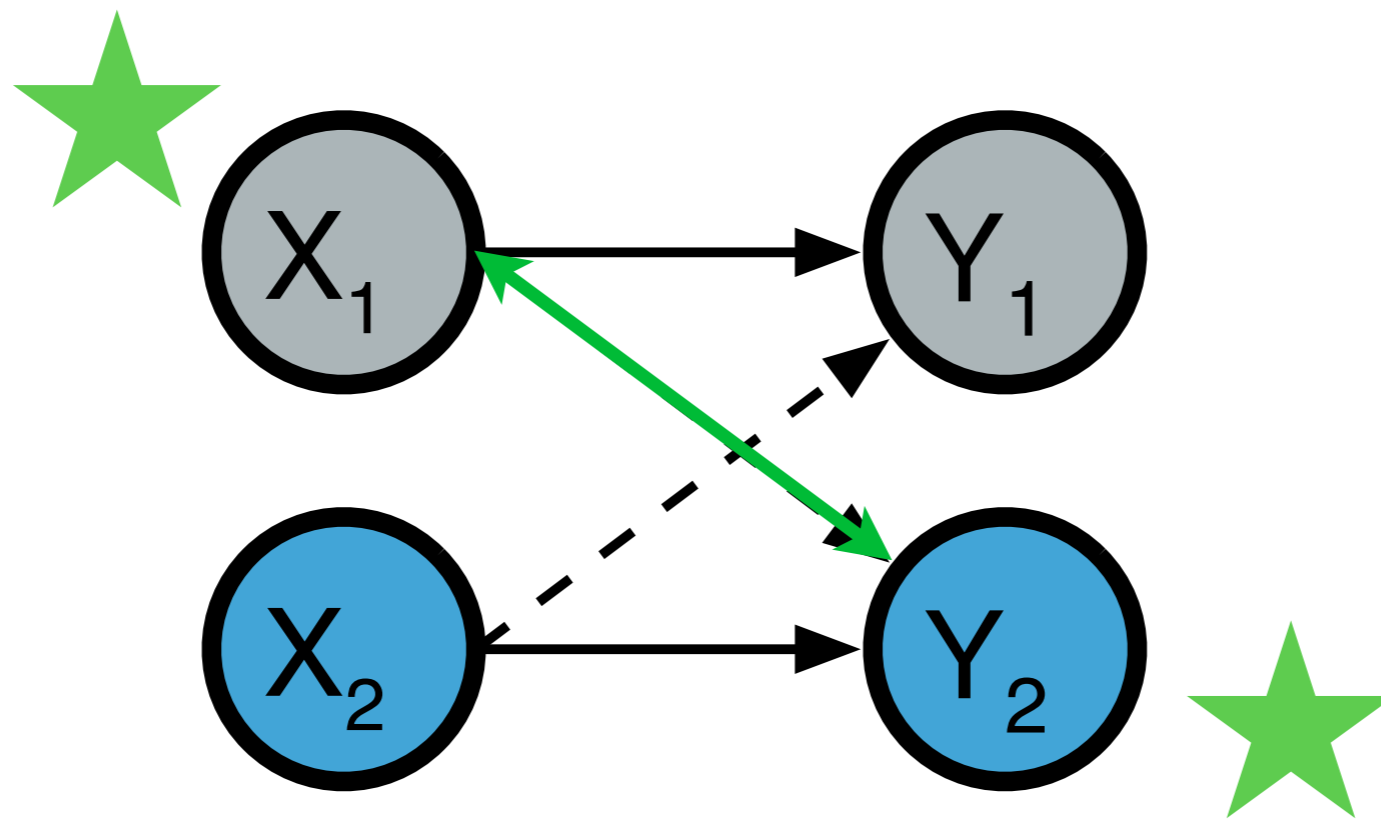
### 3. Opportunistic “cognitive” decoding

---



### 3. Opportunistic “cognitive” decoding

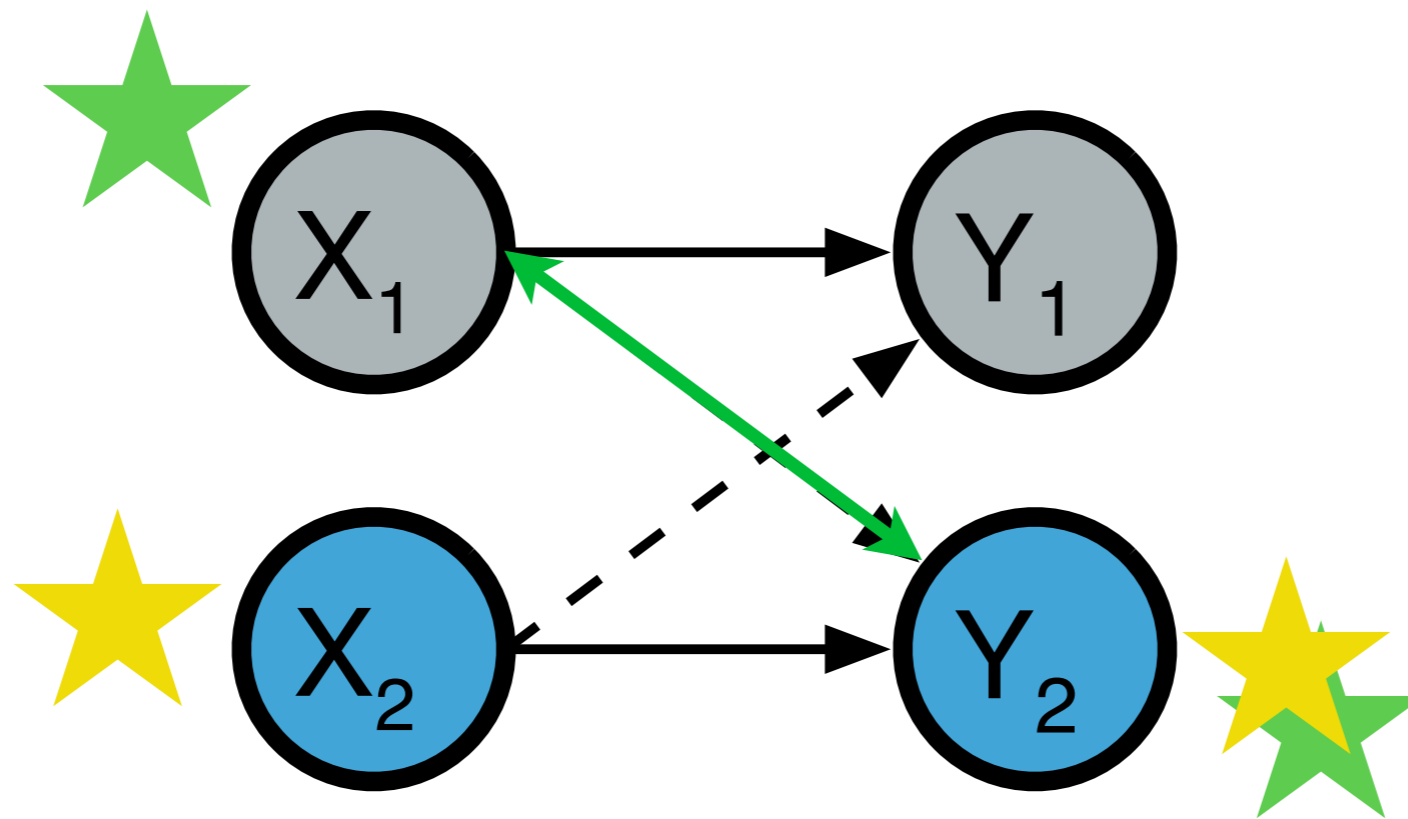
---





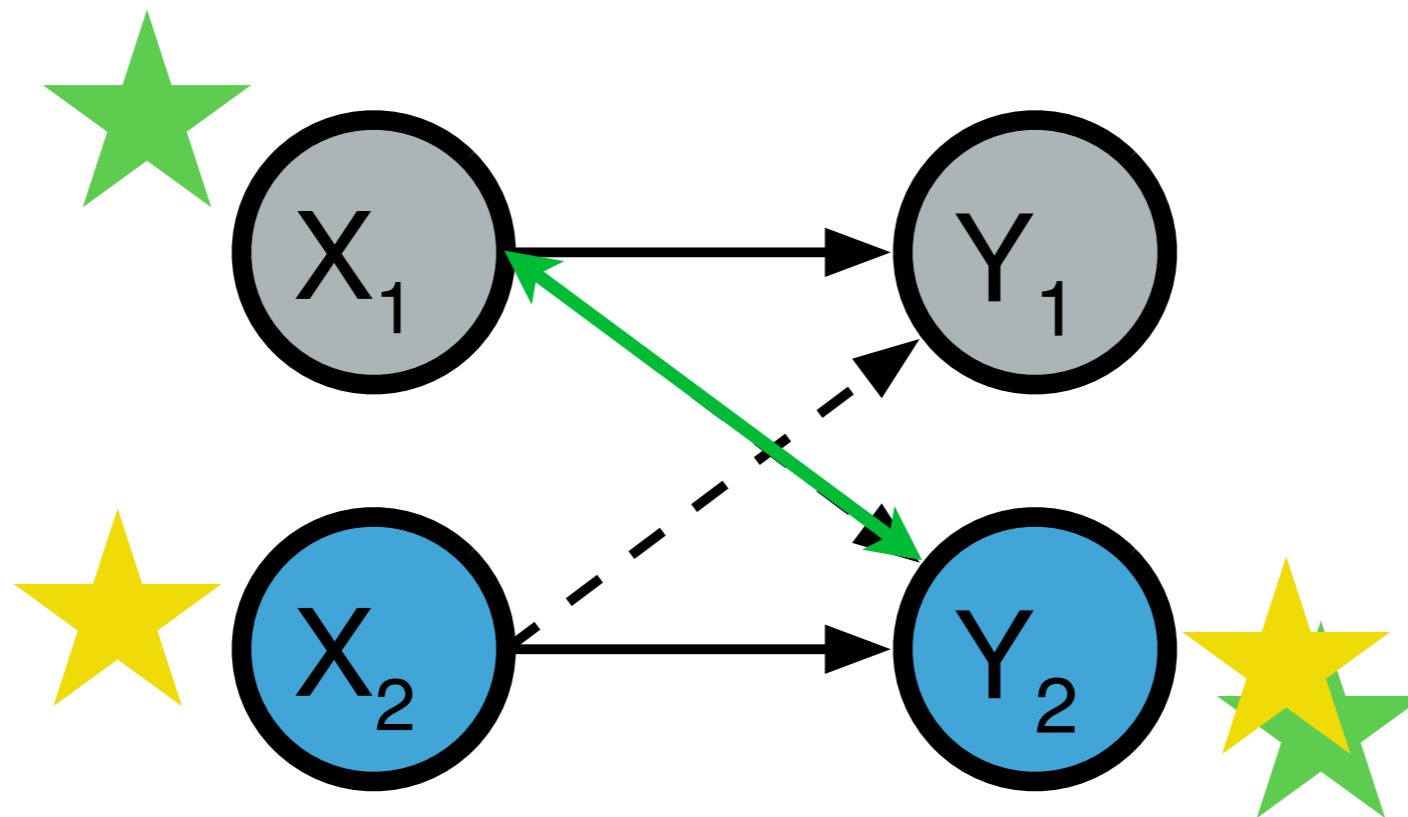
### 3. Opportunistic “cognitive” decoding

---

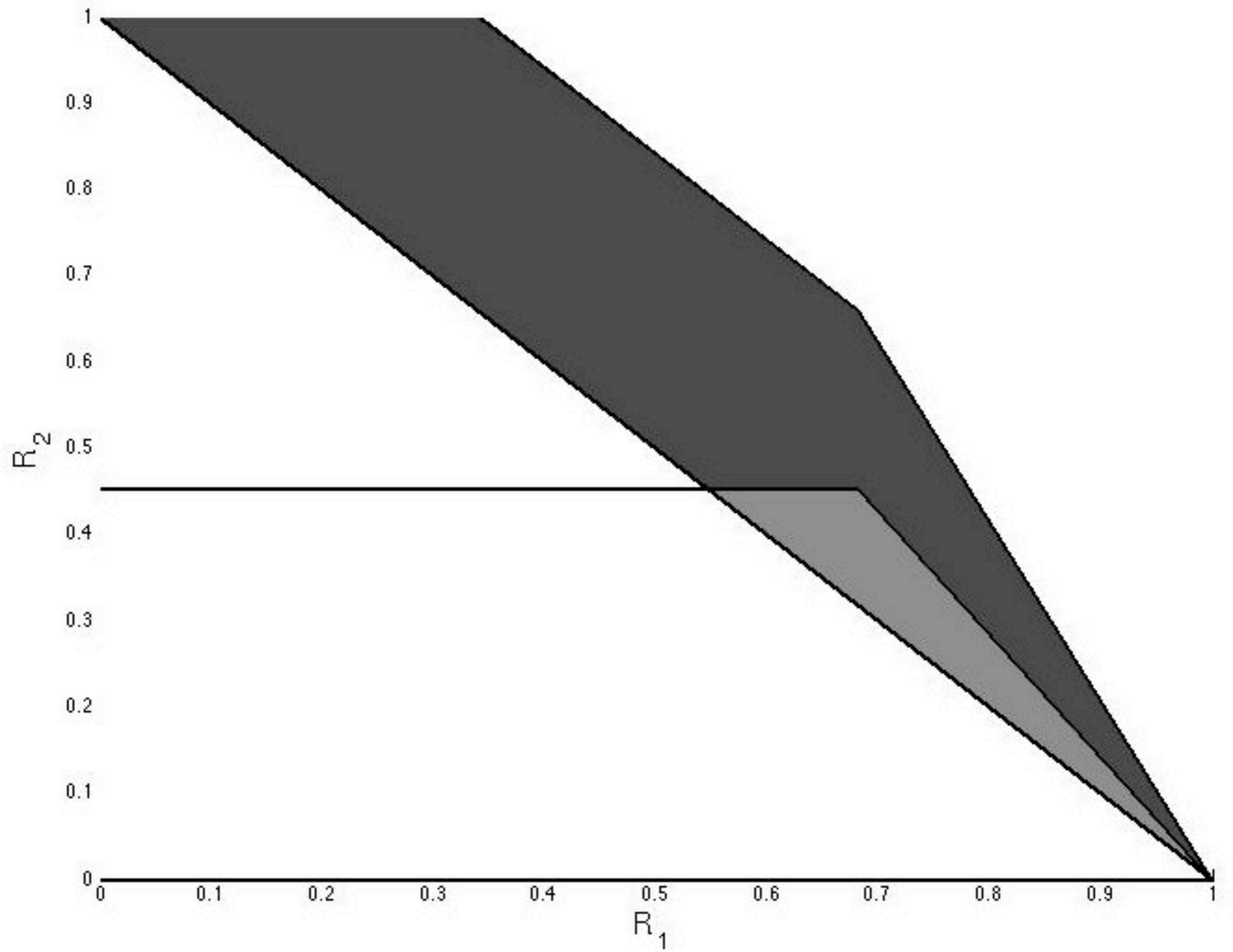


### 3. Opportunistic “cognitive” decoding

---

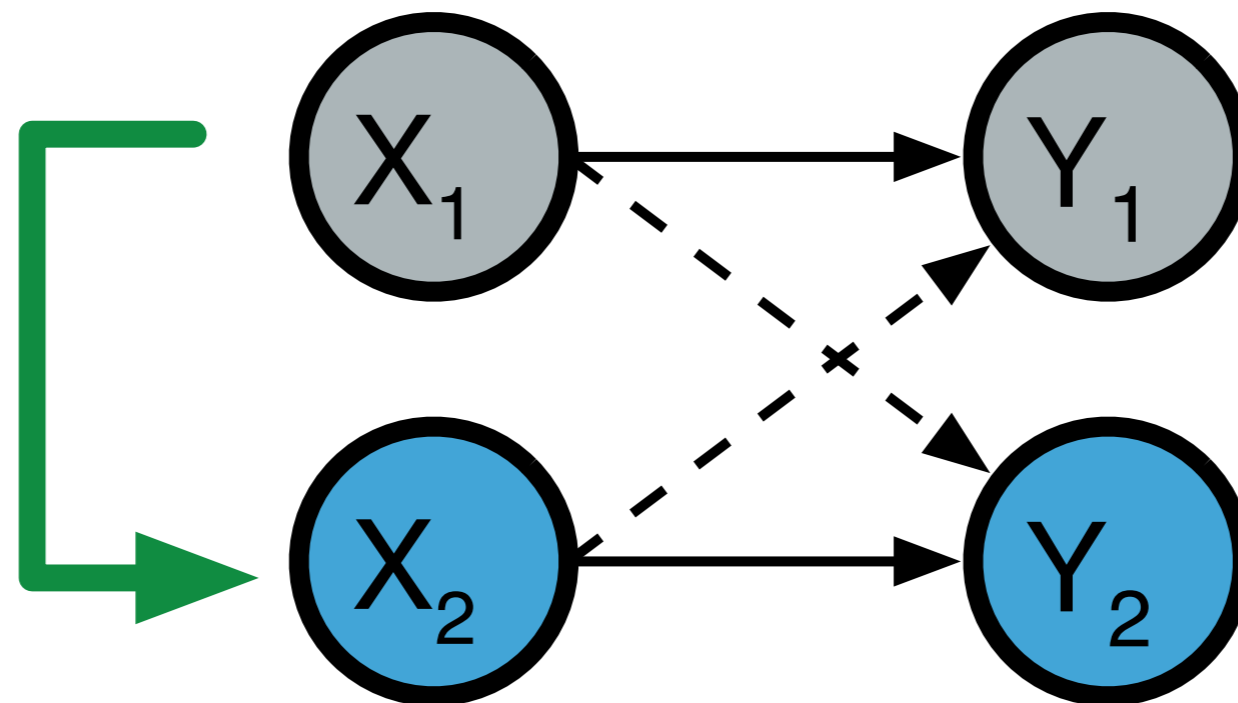


Side-info needed?



## 4. Simultaneous Cognitive Transmission

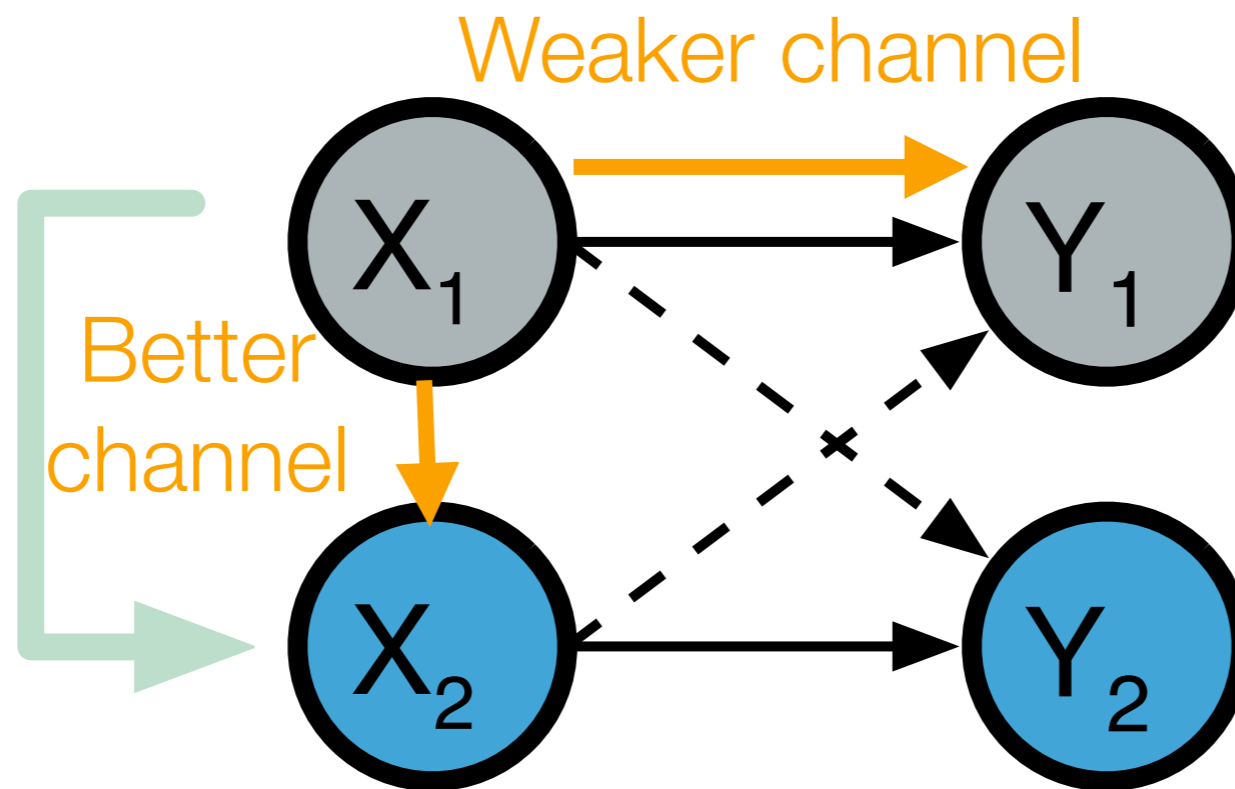
---



Assumption: Tx 2 knows message encoded by  $X_1$  a-priori

## 4. Simultaneous Cognitive Transmission

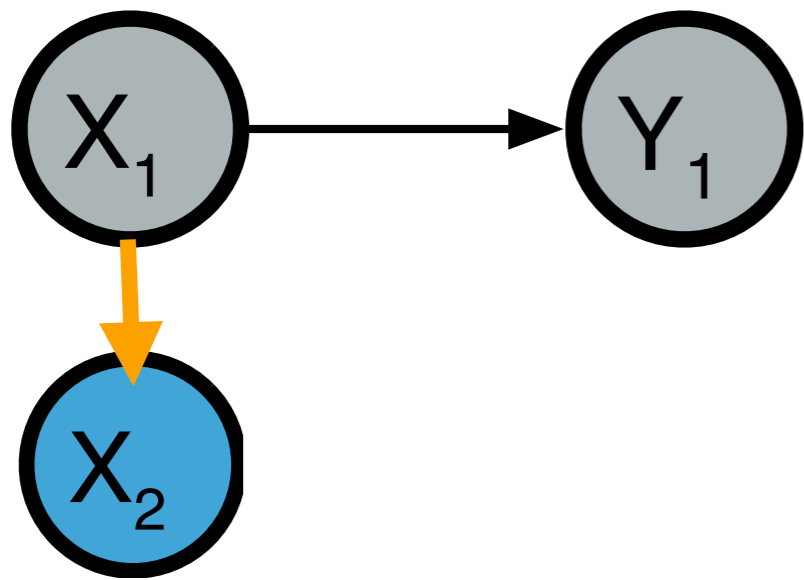
---



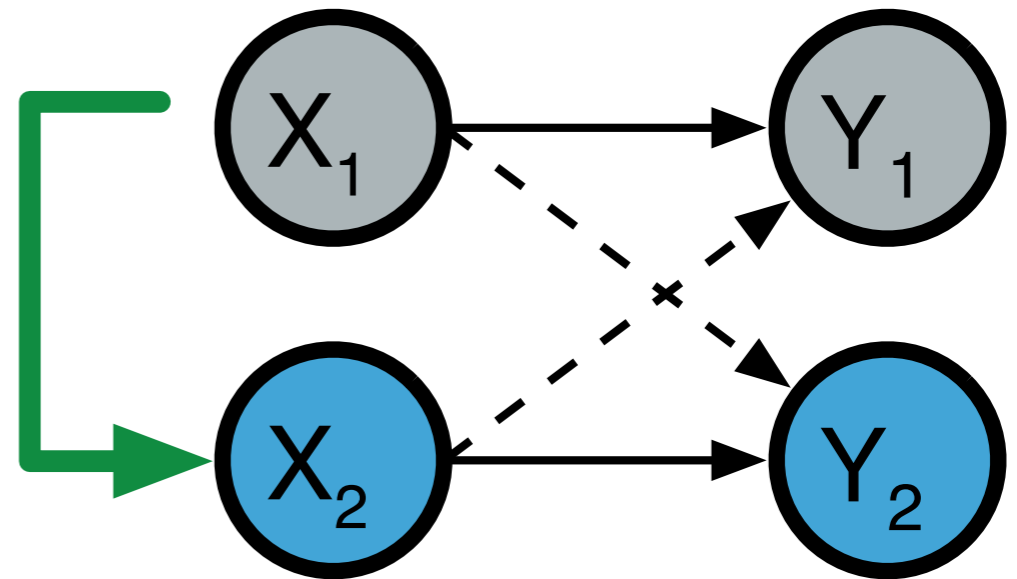
Cognitive Tx may obtain primary's message in a fraction of the time

## 4. Simultaneous Cognitive Transmission

---

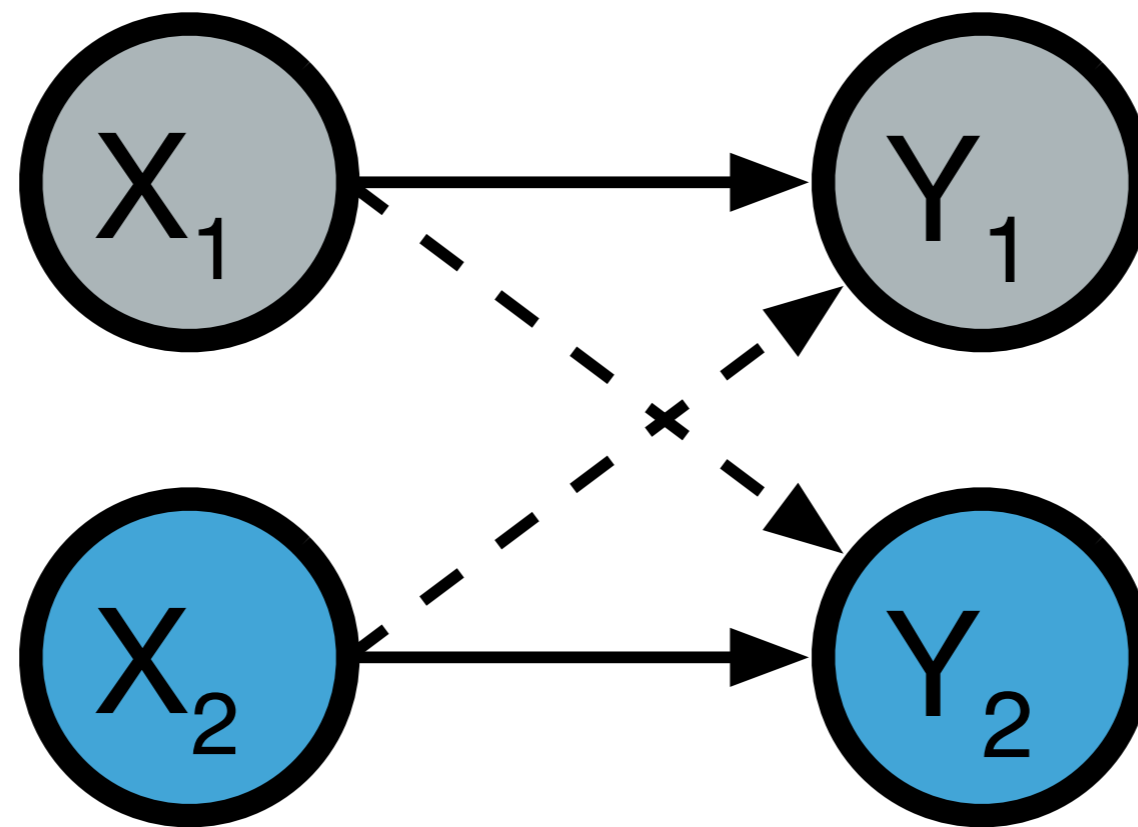


*Primary transmission*



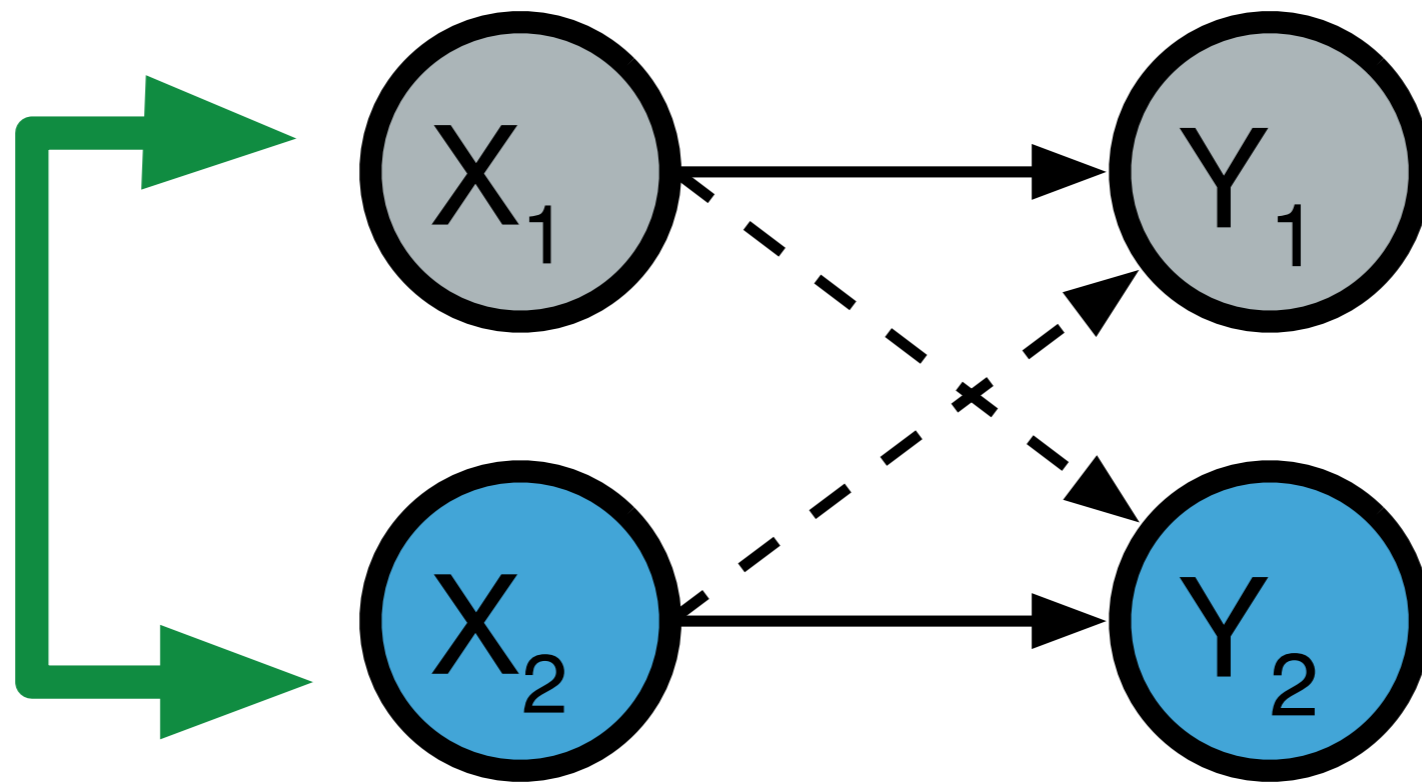
*Re-transmission*

Cognitive Tx may overhear primary's message



“Competitive”

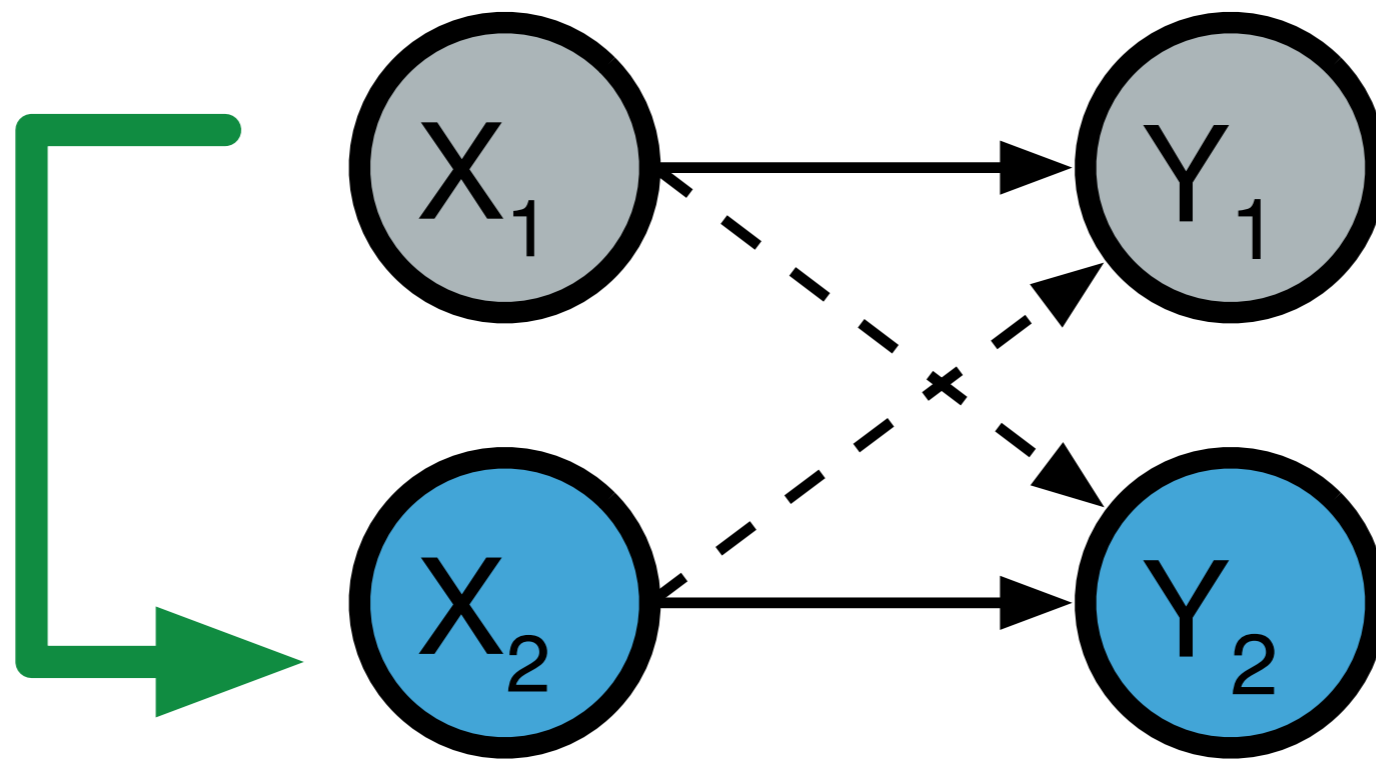
*Interference  
channel*



“Cooperative”

*2 Tx antenna  
Broadcast channel*

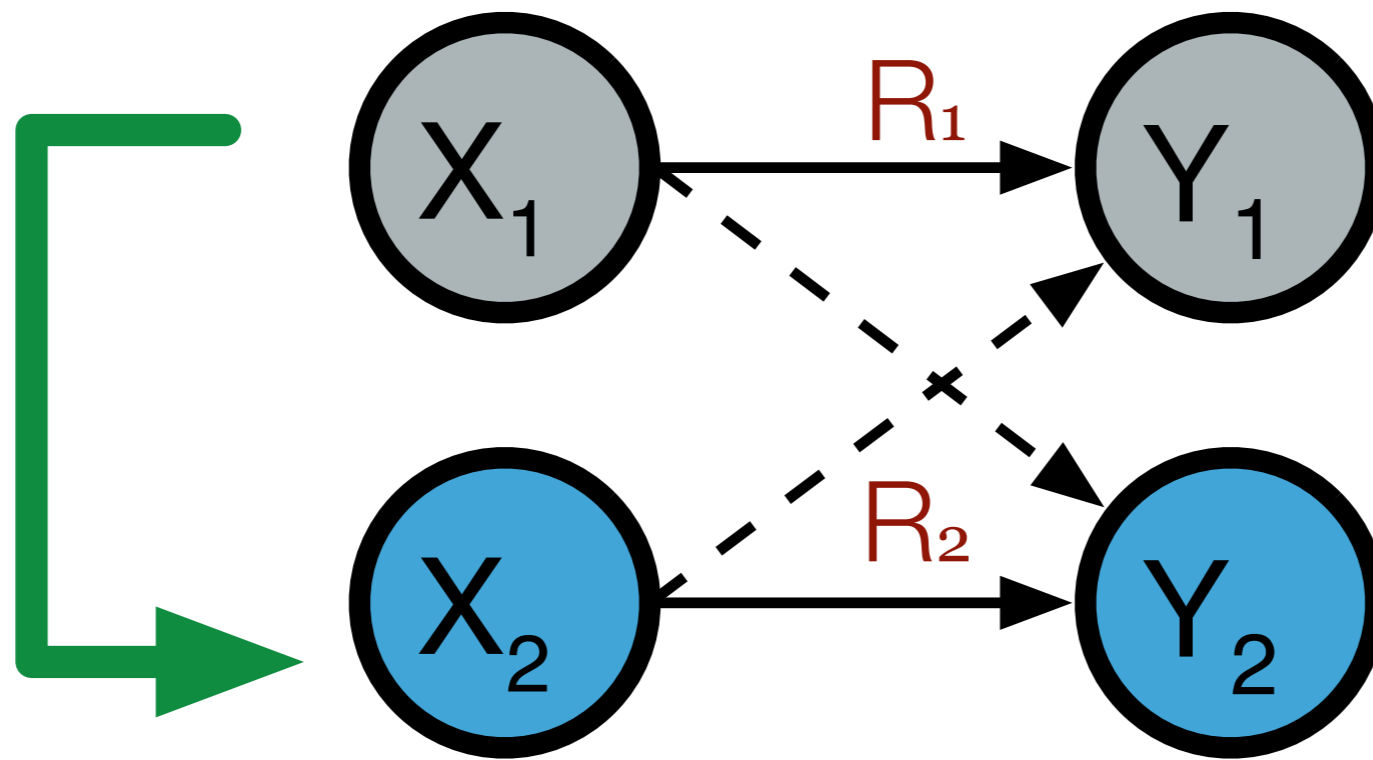




“Cognitive”

*Cognitive channel*

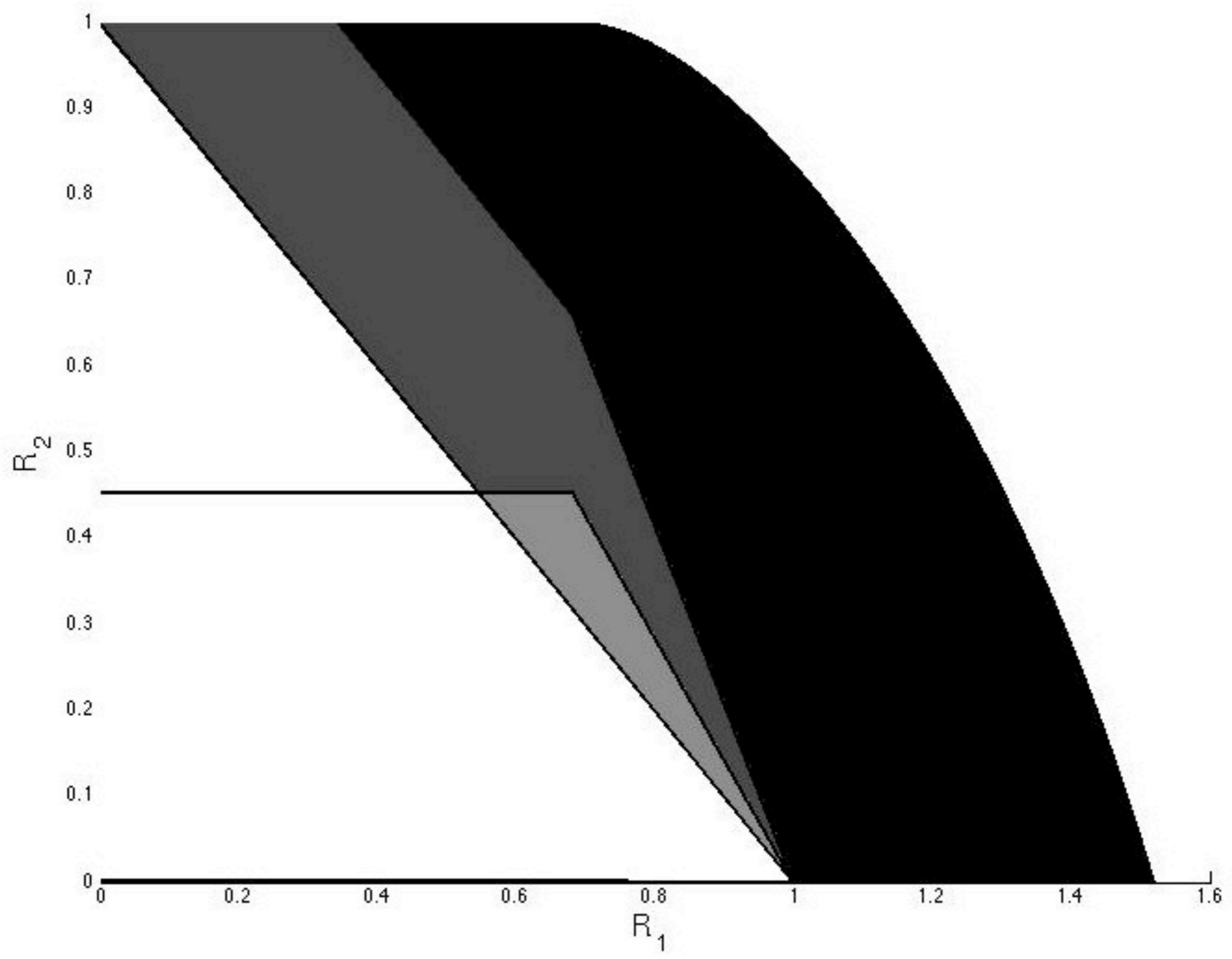
|



“Cognitive”

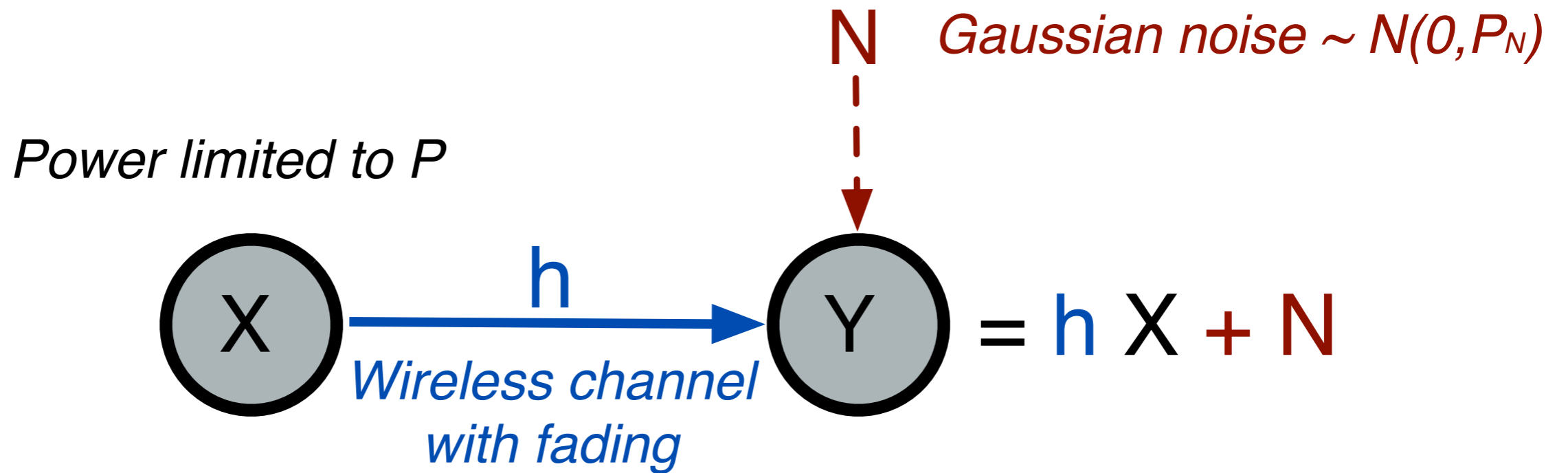
*Cognitive channel*

What rates  $(R_1, R_2)$  are achievable?

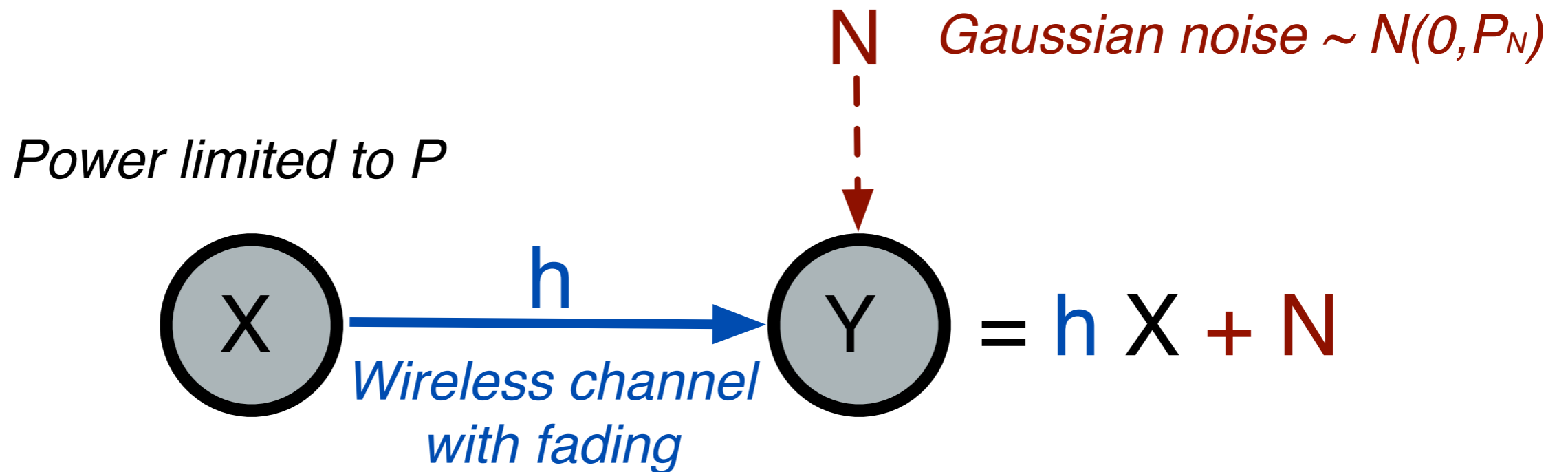


# Gaussian noise channel capacity

---



# Gaussian noise channel capacity

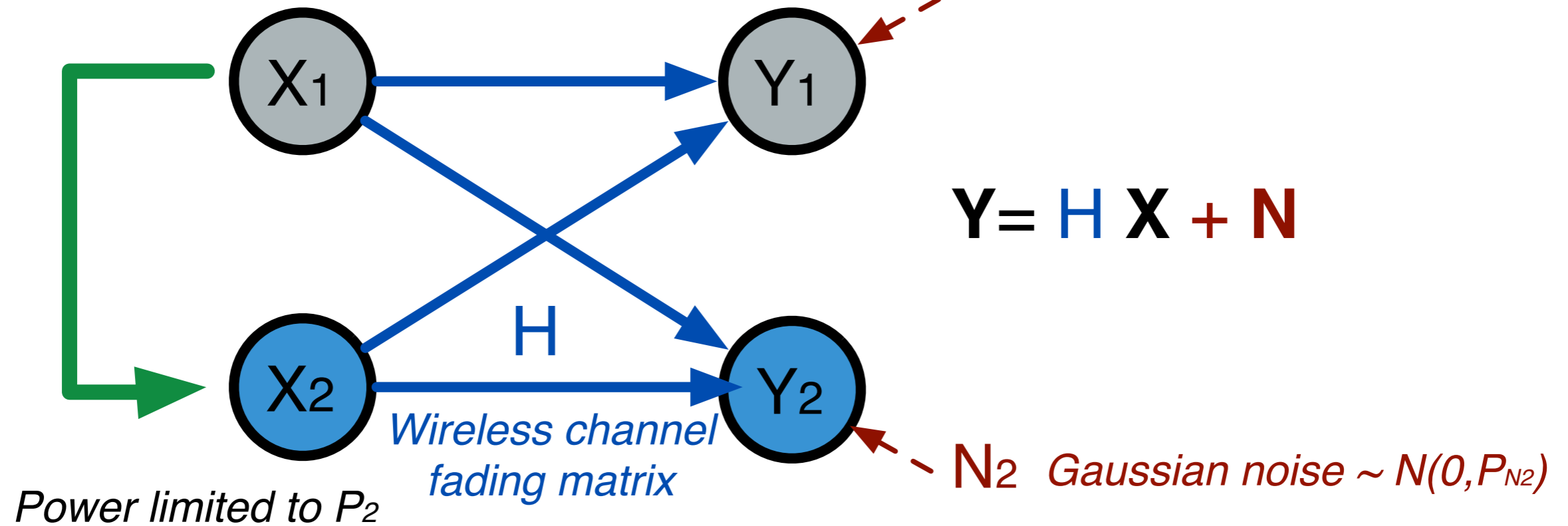


Capacity

$$\begin{aligned} C &= \max_{p(x): E[|X|^2] \leq P} I(X; Y) \\ &= \frac{1}{2} \log_2 \left( \frac{|h|^2 P + P_N}{P_N} \right) \\ &= \frac{1}{2} \log_2 (1 + \text{SNR}) \quad (\text{bits/channel use}) \end{aligned}$$

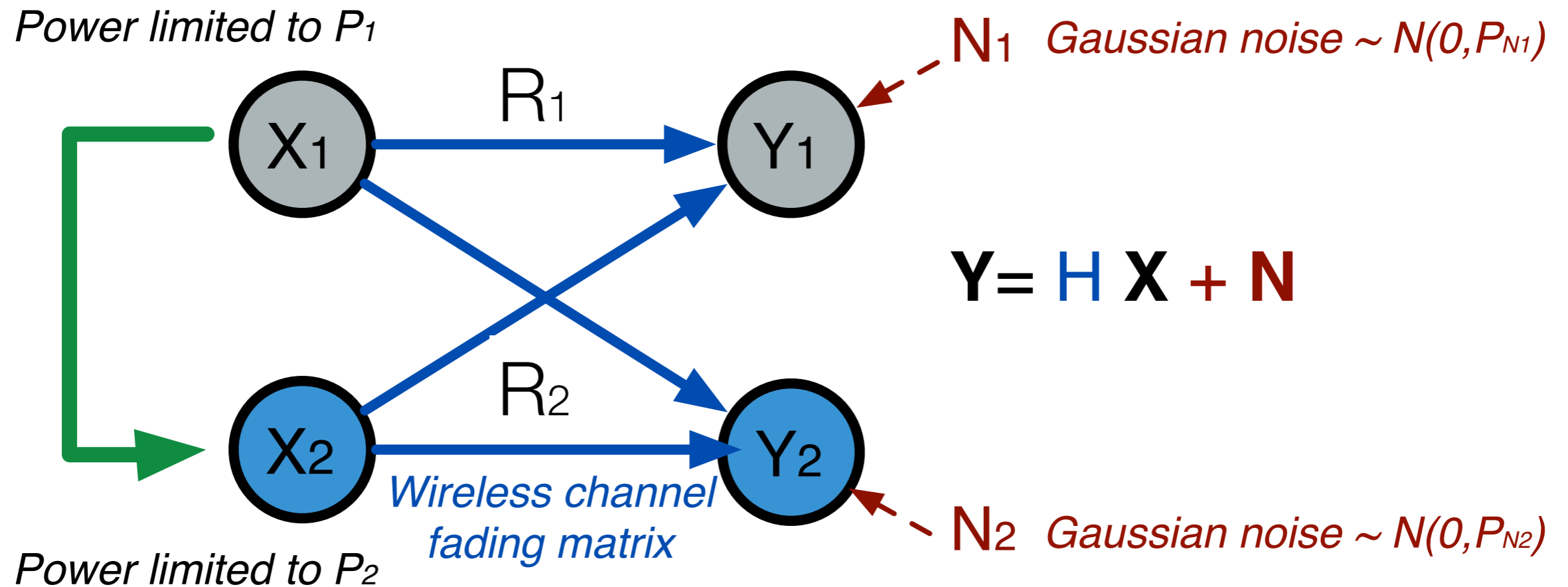
# Gaussian noise channel capacity

Power limited to  $P_1$



$$Y = H X + N$$

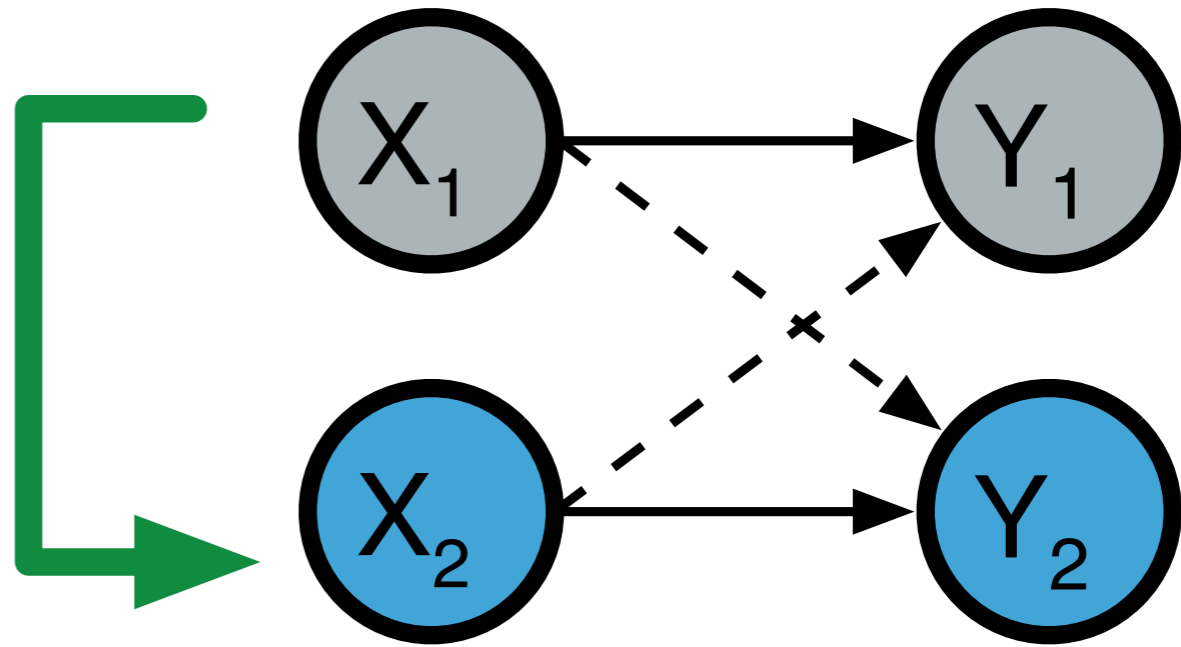
# Gaussian noise channel capacity



What rates are achievable?

# Intuition

---

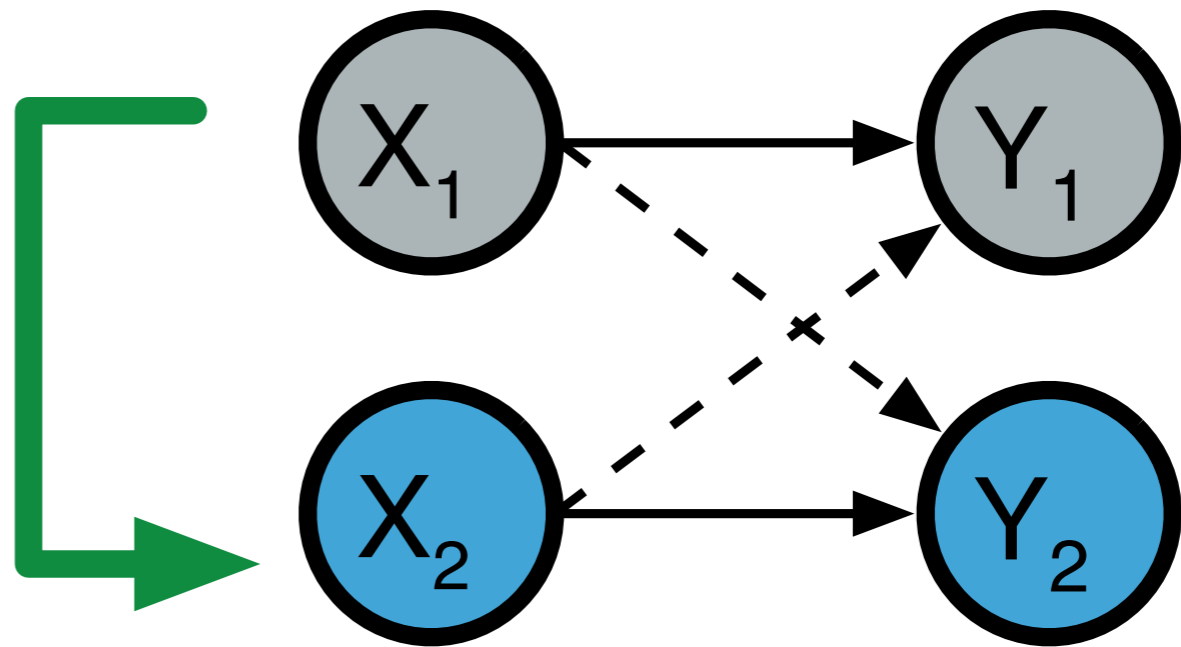


A priori message knowledge



# Intuition

---



A priori message knowledge

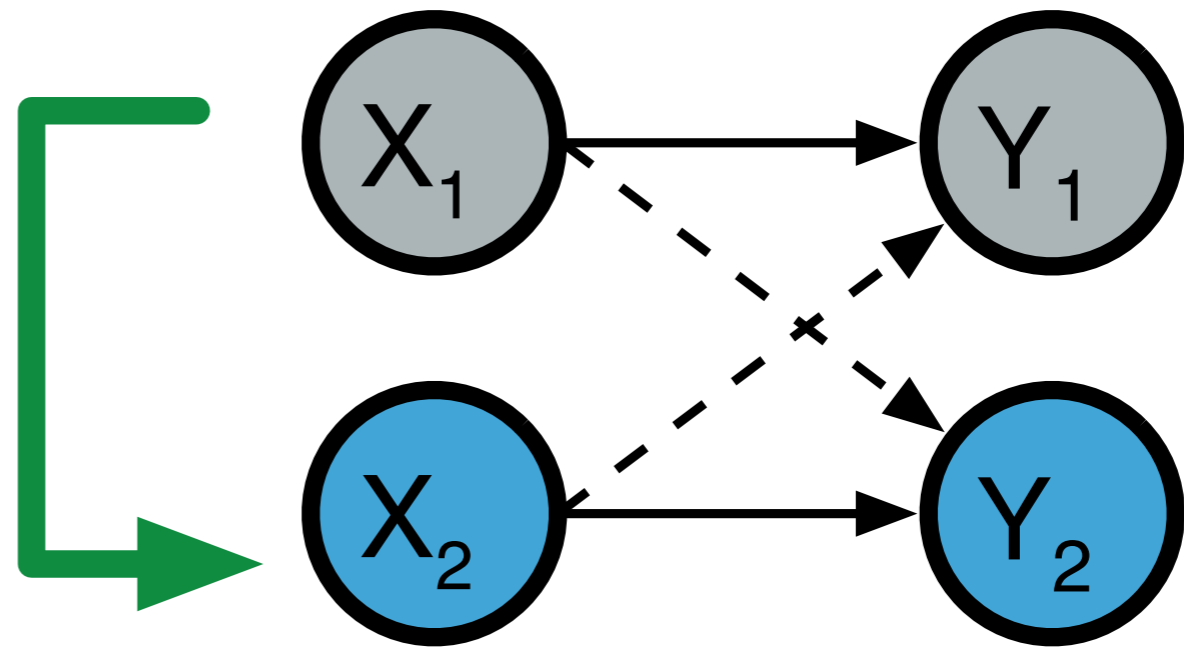


transmission

*SELFLESS*

# Intuition

---



A priori message knowledge

aid

transmission

*SELFLESS*



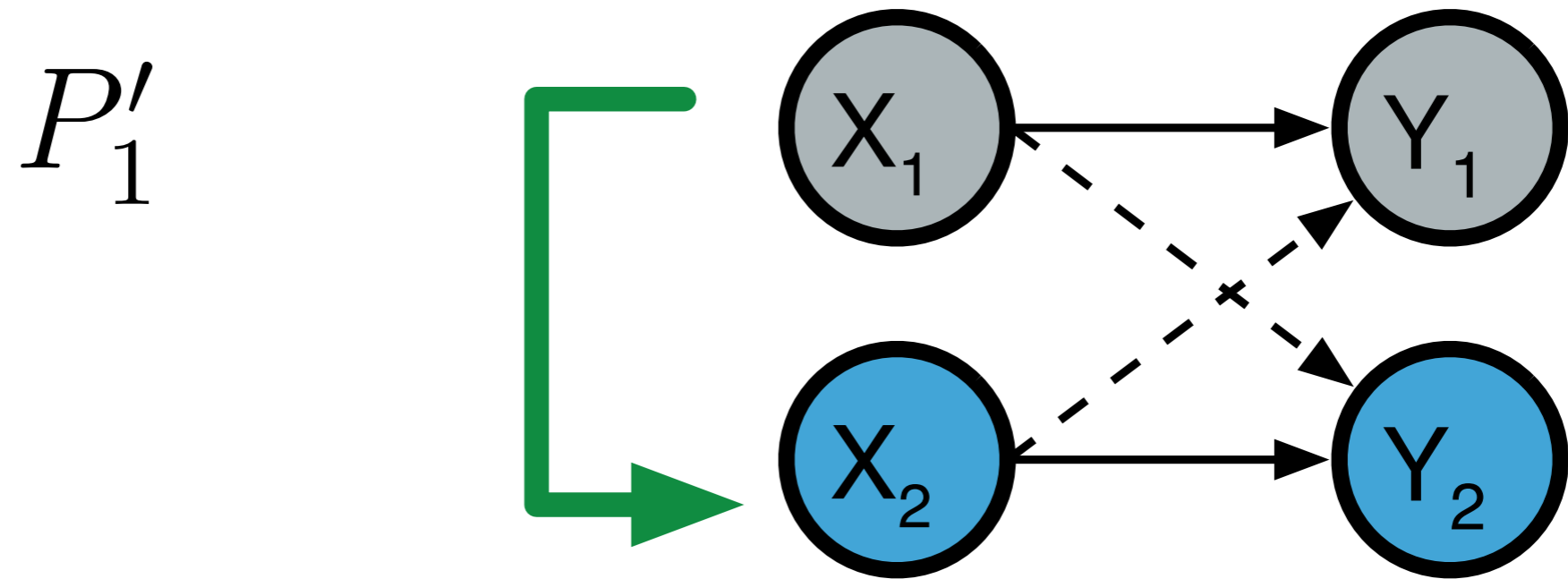
mitigate

interference

*SELFISH*

# Intuition

---



A priori message knowledge

aid

transmission

*SELFLESS*



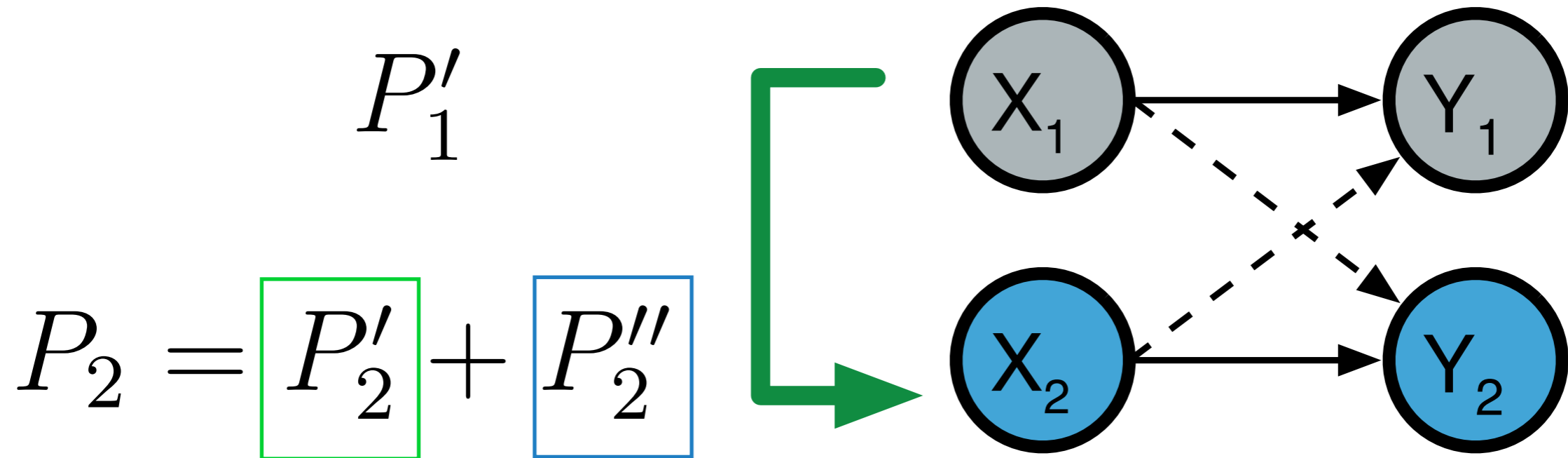
mitigate

interference

*SELFISH*

# Intuition

---



A priori message knowledge

aid

transmission

*SELFLESS*

mitigate

interference

*SELFISH*

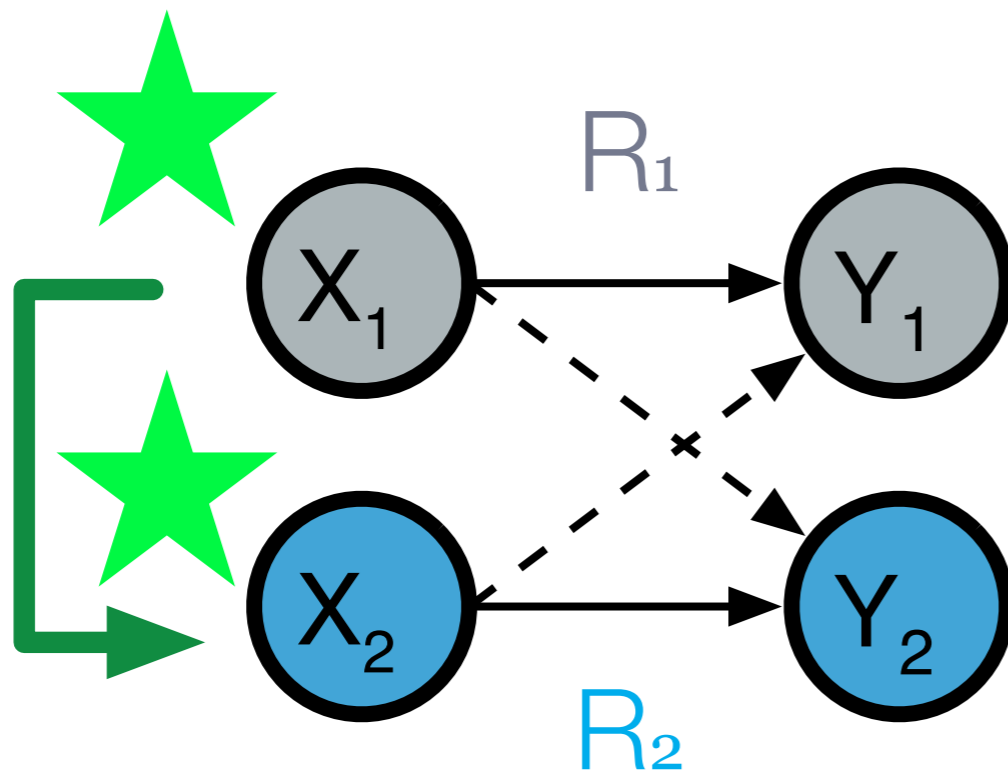
Message 1



Message 1: encoded  
by a codeword  
which is generated  
jointly Gaussian  
according to  $\mathcal{N}(0, B_1)$

$$B_1 = \begin{bmatrix} P'_1 & z \\ z & P'_2 \end{bmatrix}$$

message 1



Message 1

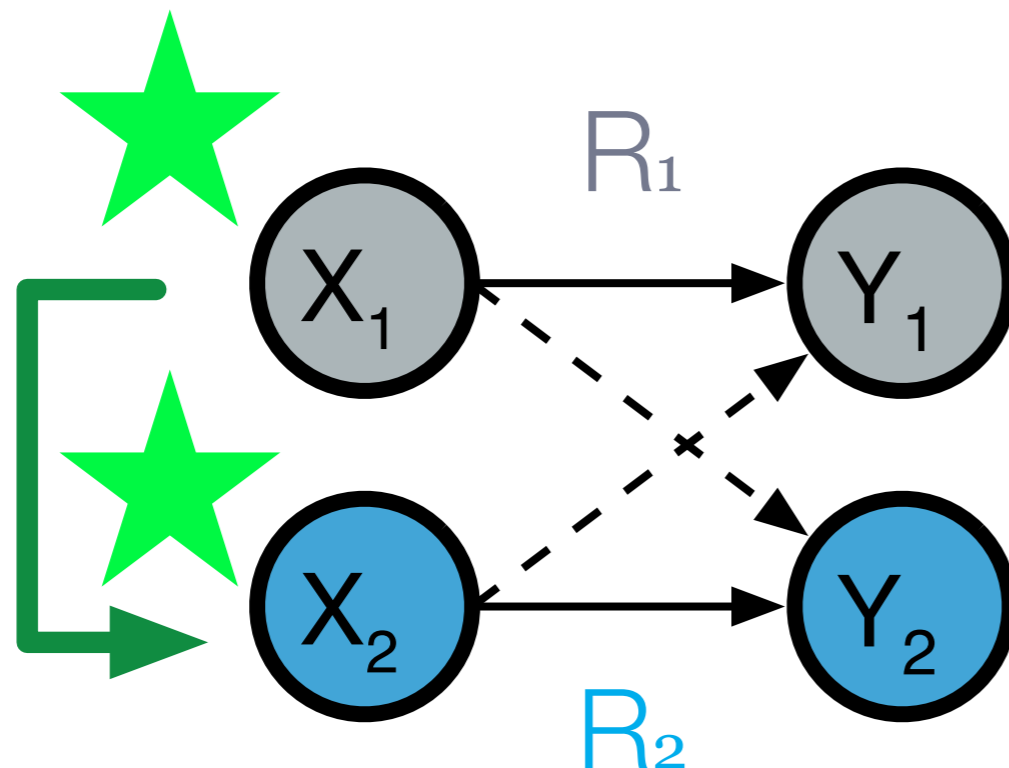


Message 1: encoded  
by a codeword  
which is generated  
jointly Gaussian  
according to  $\mathcal{N}(0, B_1)$

$$B_1 = \begin{bmatrix} P'_1 & z \\ z & P'_2 \end{bmatrix}$$

message 1

$$\begin{bmatrix} E[|X_1|^2] & E[X_1 X_2] \\ E[X_1 X_2] & E[|X_2|^2] \end{bmatrix}$$



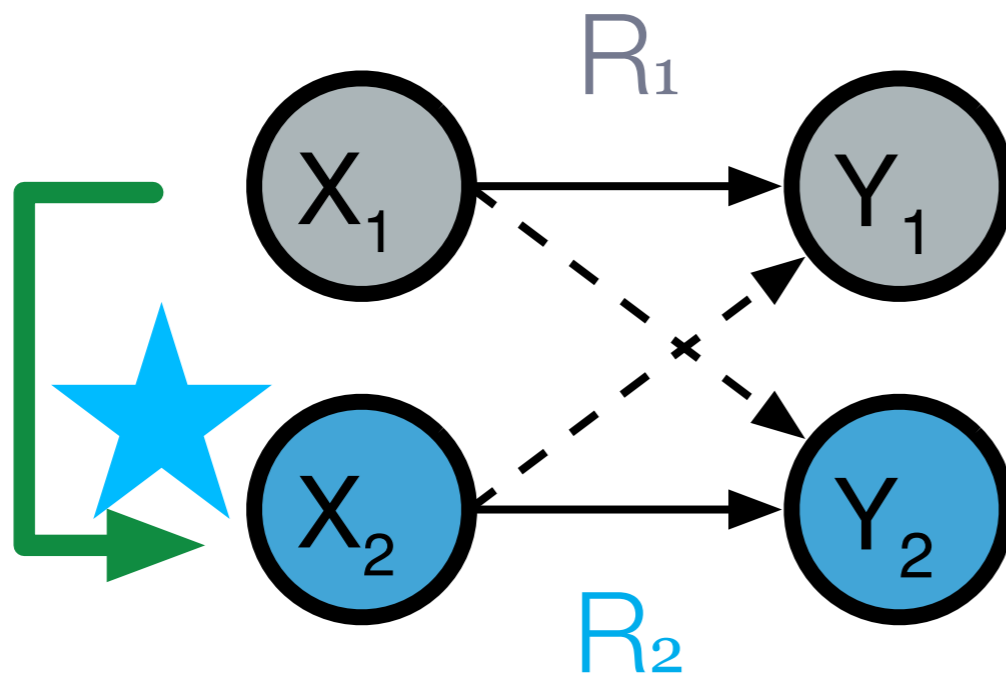
Message 2



Message 2: encoded  
by a codeword  
which is generated  
as jointly Gaussian  
according to  $\mathcal{N}(0, B_2)$

$$B_2 = \begin{bmatrix} 0 & 0 \\ 0 & P_2'' \end{bmatrix}$$

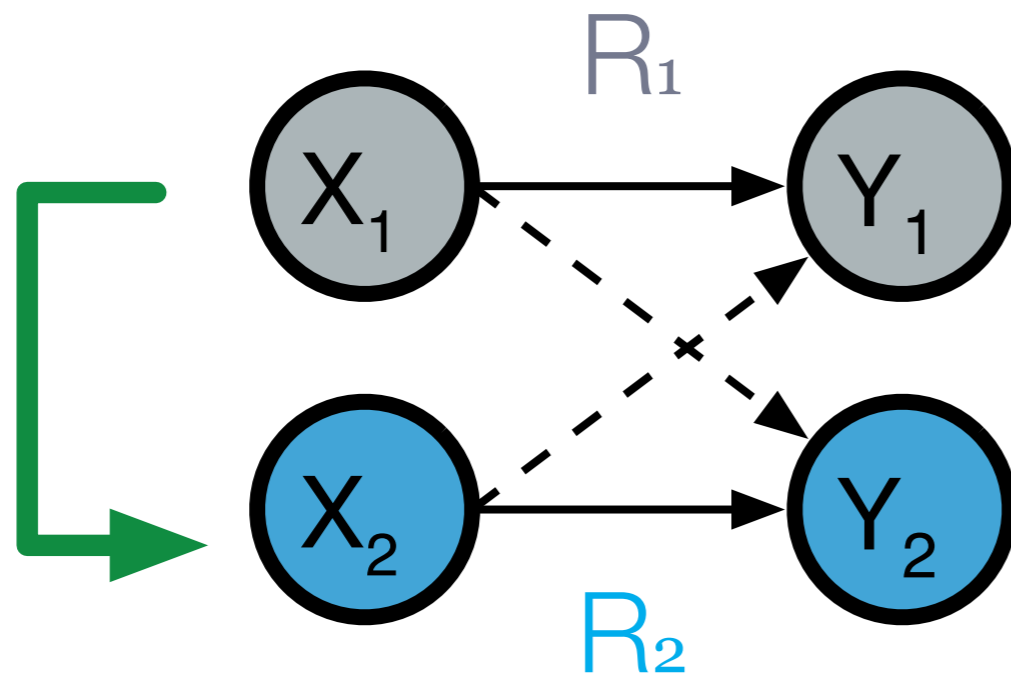
message 2



# Send the superposition

---

$B_1 + B_2$  Overall transmit covariance matrix



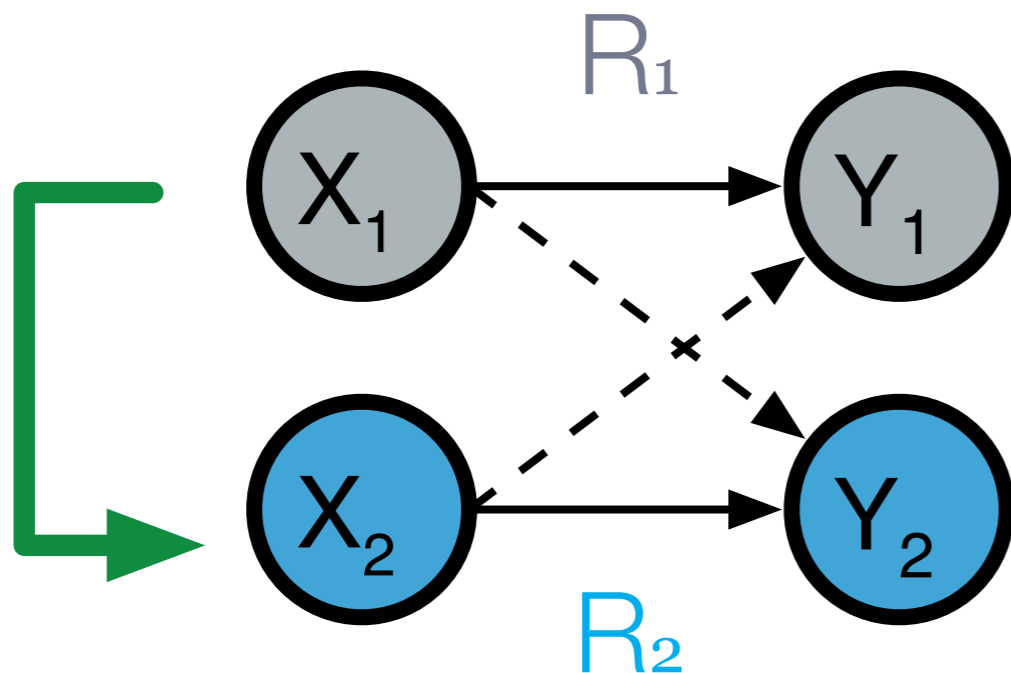


# Send the superposition

---

$$B_1 + B_2 \preceq \begin{bmatrix} P_1 & z \\ z & P_2 \end{bmatrix}$$

Per antenna power constraints

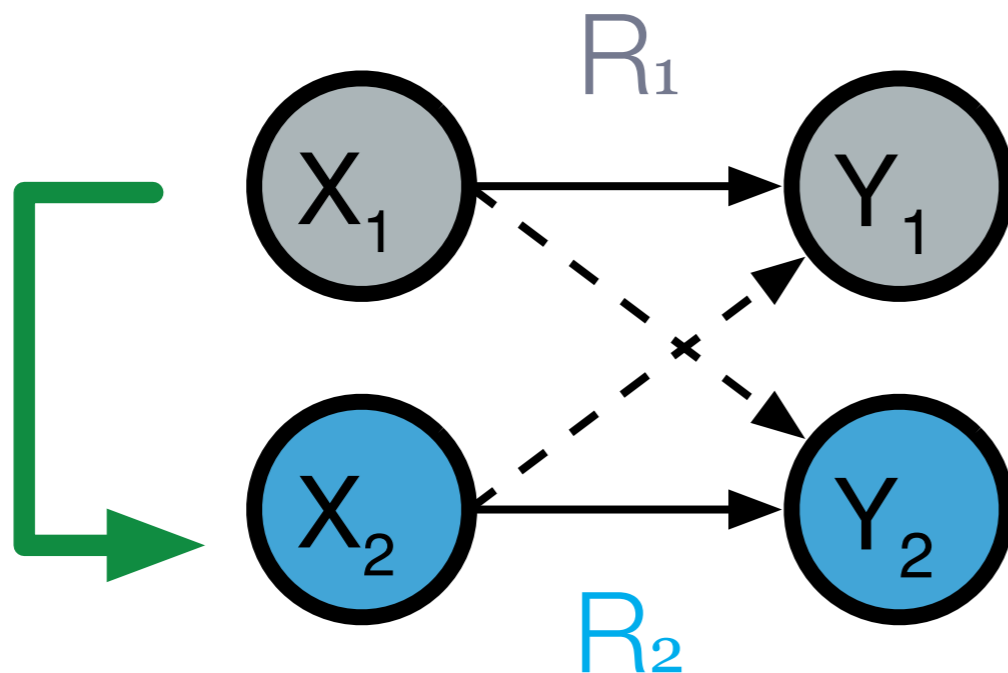


# Send the superposition

$$B_1 + B_2 \preceq \begin{bmatrix} P_1 & z \\ z & P_2 \end{bmatrix}, \quad z^2 \leq P_1 P_2$$

Correlation between  
two antennas

Ensures Tx covariance  
matrix is positive semi-  
definite

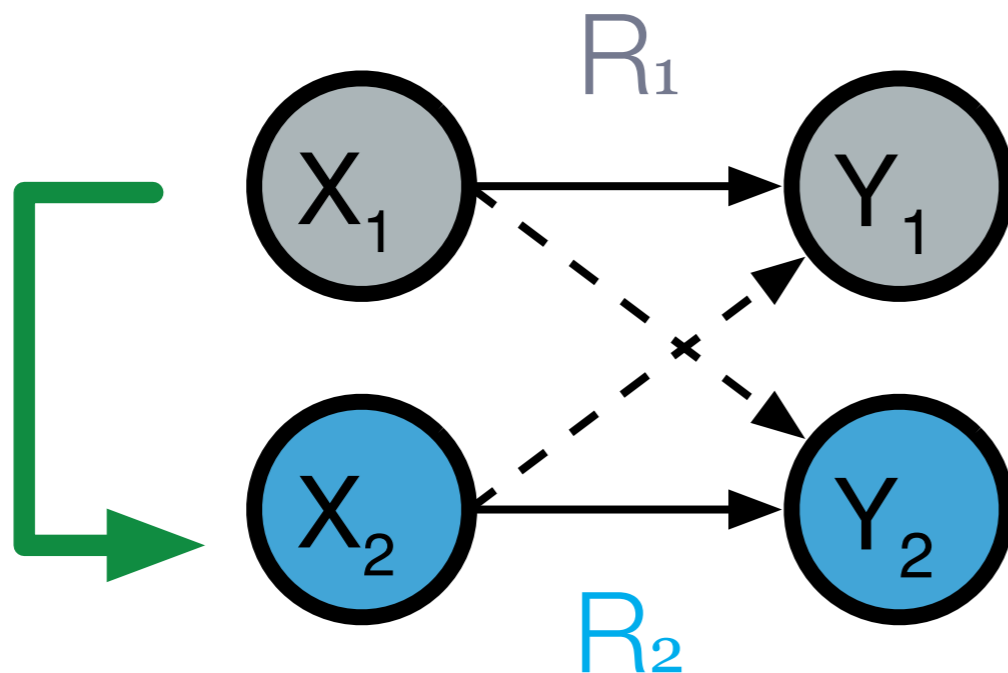


# Send the superposition

$$B_1 + B_2 \preceq \begin{bmatrix} P_1 & z \\ z & P_2 \end{bmatrix}, \quad z^2 \leq P_1 P_2$$

Correlation between  
two antennas

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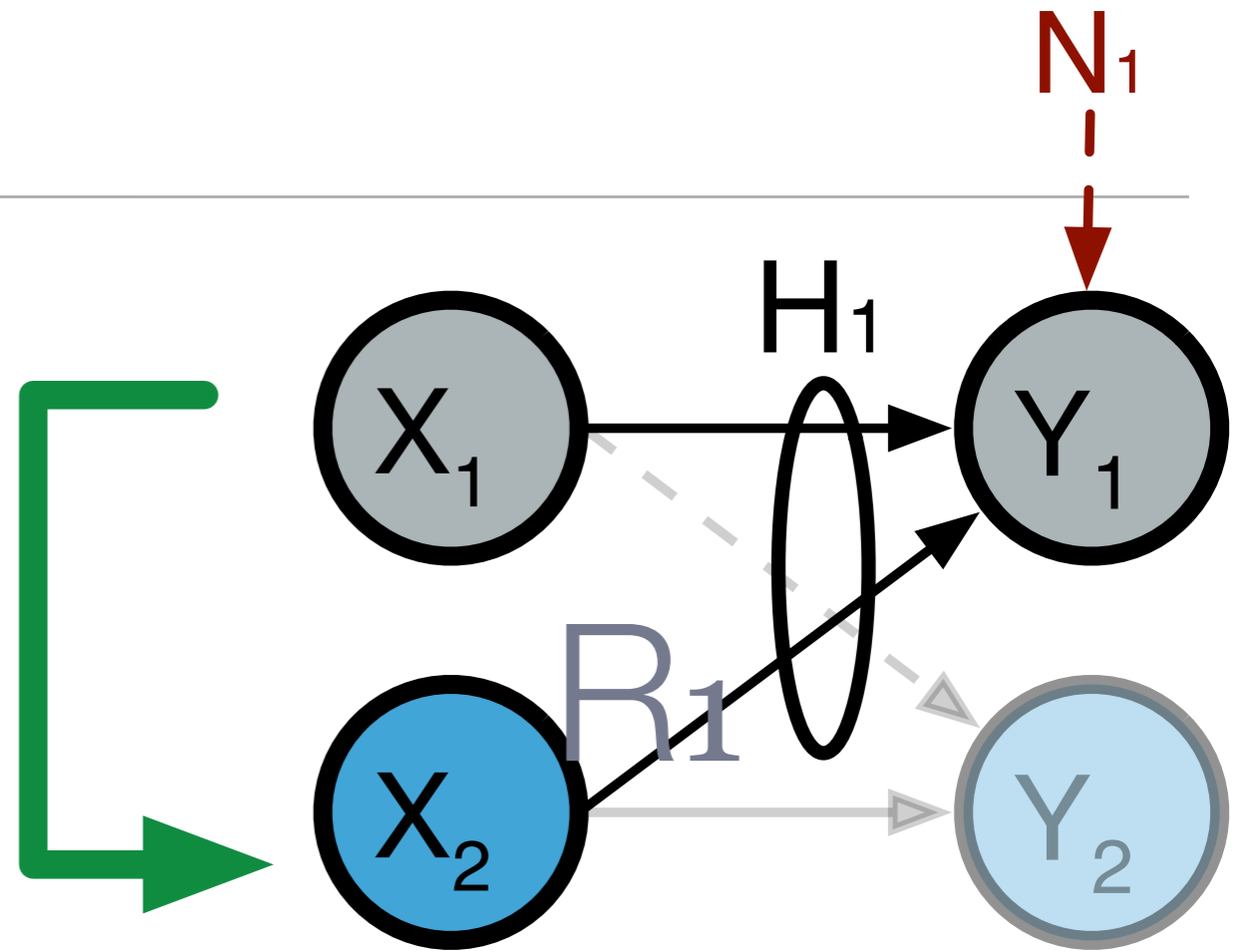


What rates  $R_1$ ,  $R_2$   
are achievable?

$R_1$ : Rate of message 1

---

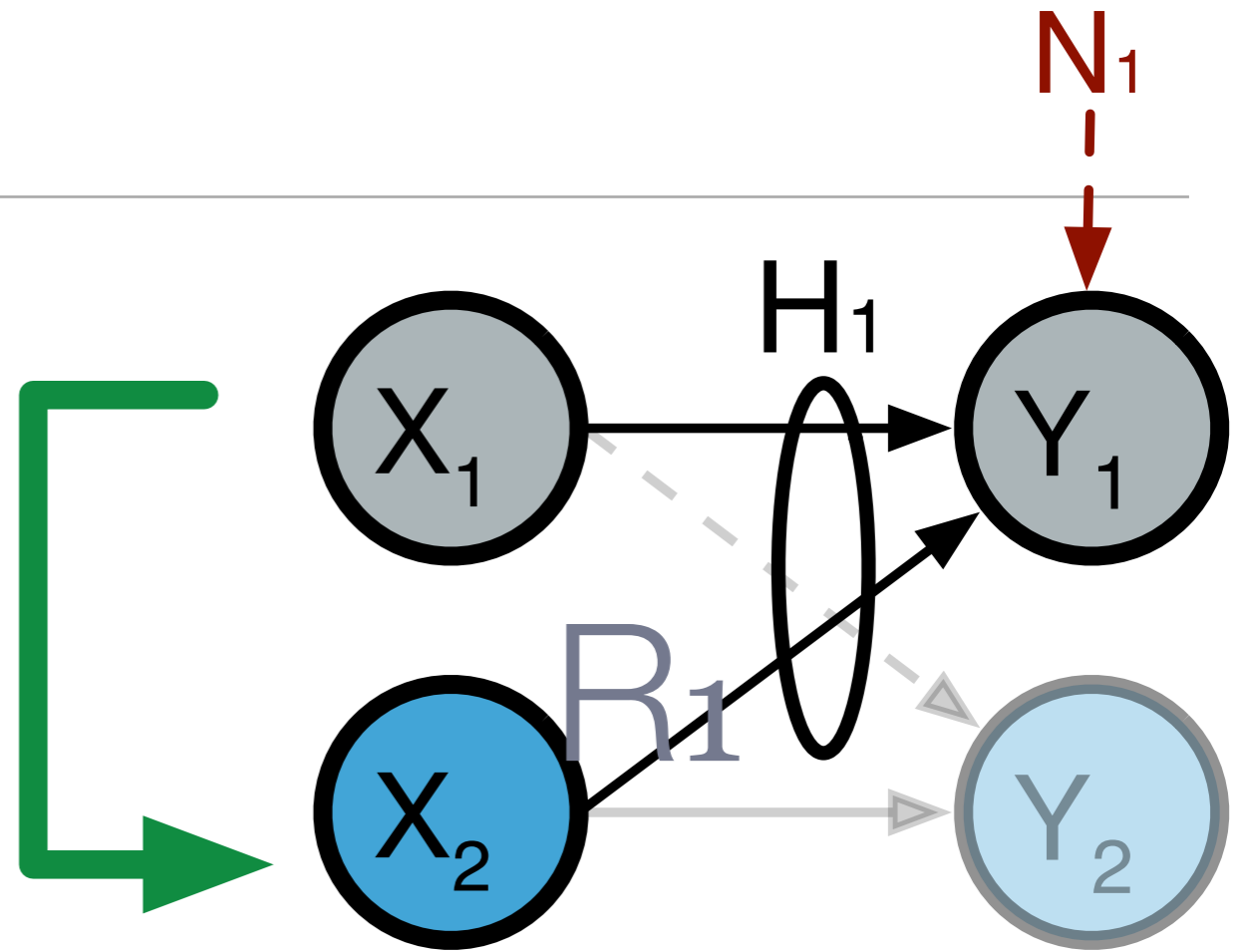
$$B = \boxed{B_1} + B_2$$



$$Y_1 = H_1 X + N_1$$

$R_1$ : Rate of message 1

$$B = \boxed{B_1} + B_2$$



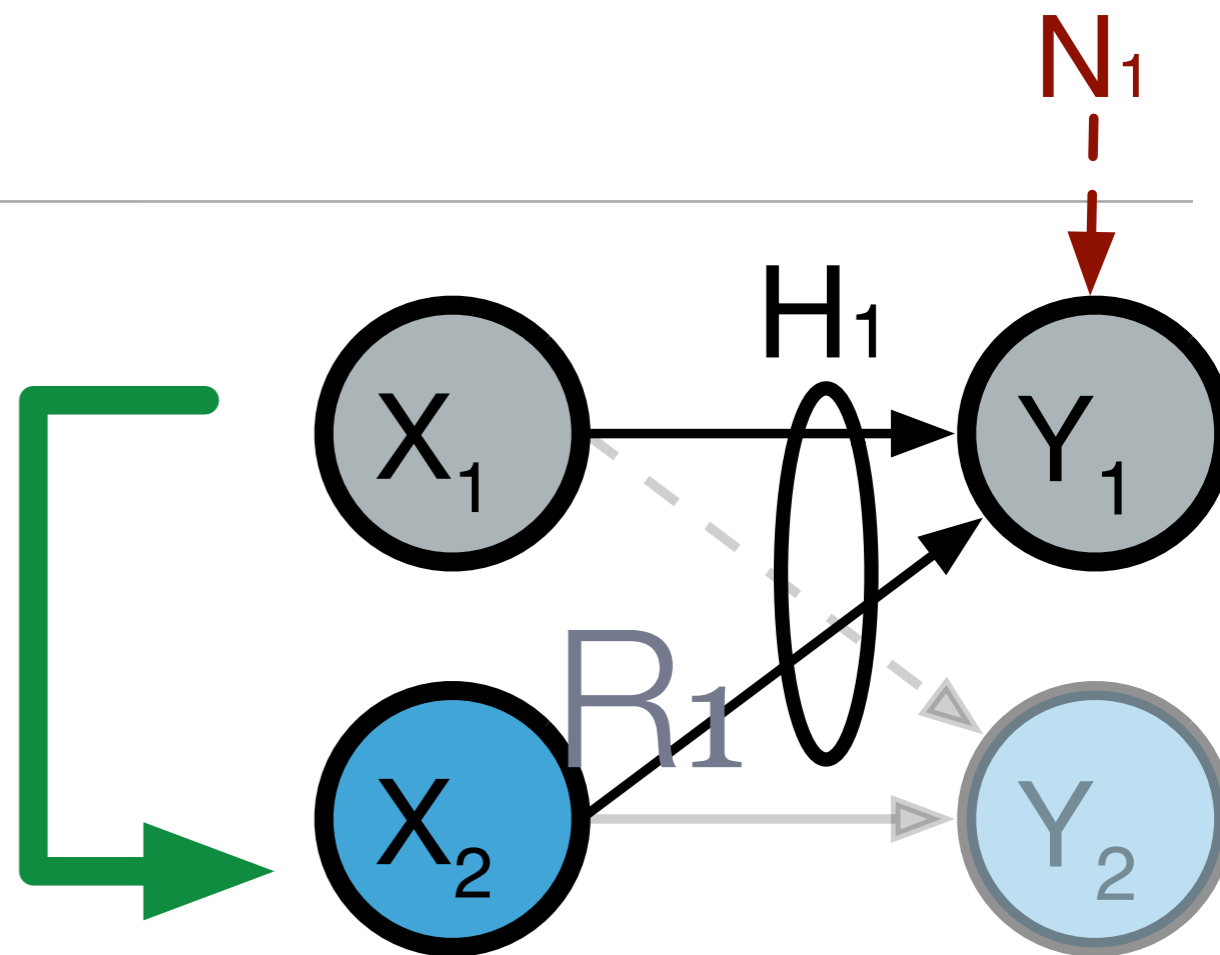
Signal power at  $Y_1$

$$R_1 \leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1 + B_2)H_1^\dagger + P_{N_1}}{H_1(B_2)H_1^\dagger + P_{N_1}} \right)$$

Interference + noise power

$R_1$ : Rate of message 1

$$B = \boxed{B_1} + B_2$$



Signal power at  $Y_1$

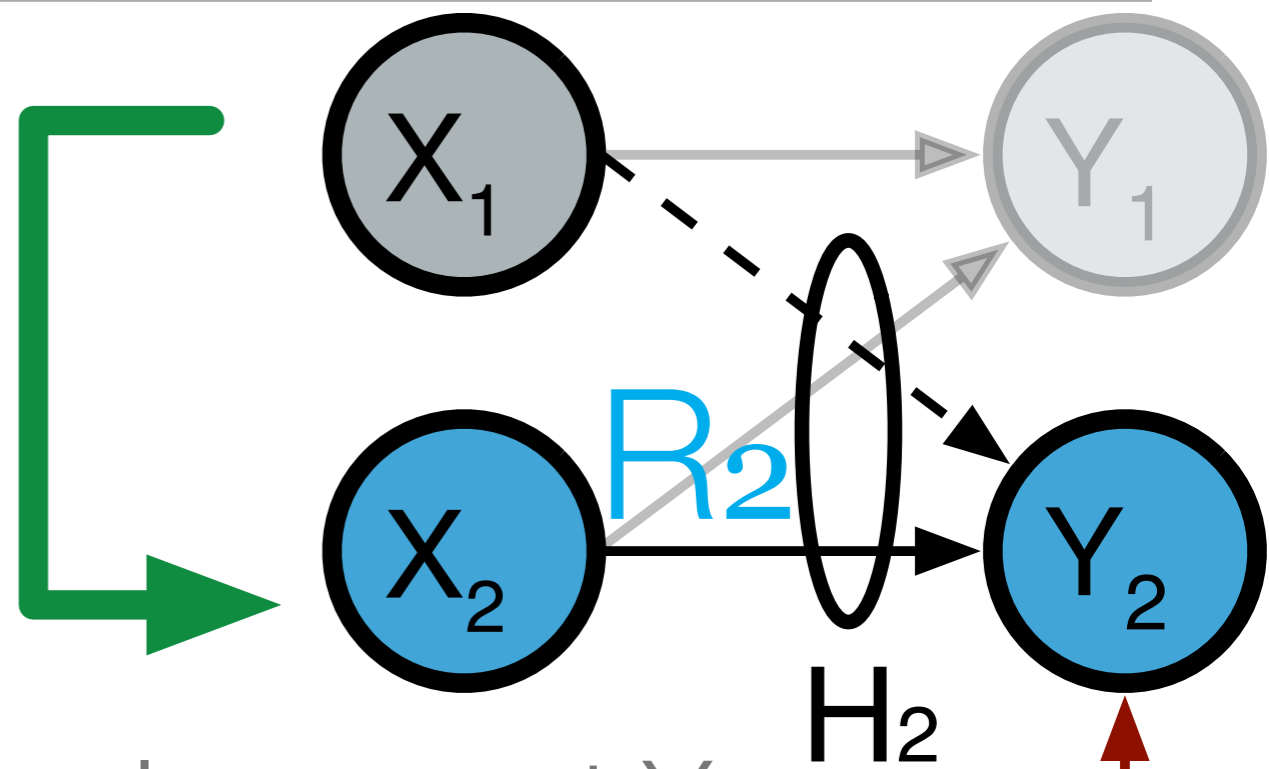
$$R_1 \leq \frac{1}{2} \log_2 \left( \frac{H_1 (B_1 + B_2) H_1^\dagger + P_{N_1}}{H_1 (B_2) H_1^\dagger + P_{N_1}} \right)$$

$$B_2 = \begin{bmatrix} 0 & 0 \\ 0 & P_2'' \end{bmatrix}$$

Interference + noise power

# $R_2$ : Rate of message 2

$$B = B_1 + B_2$$



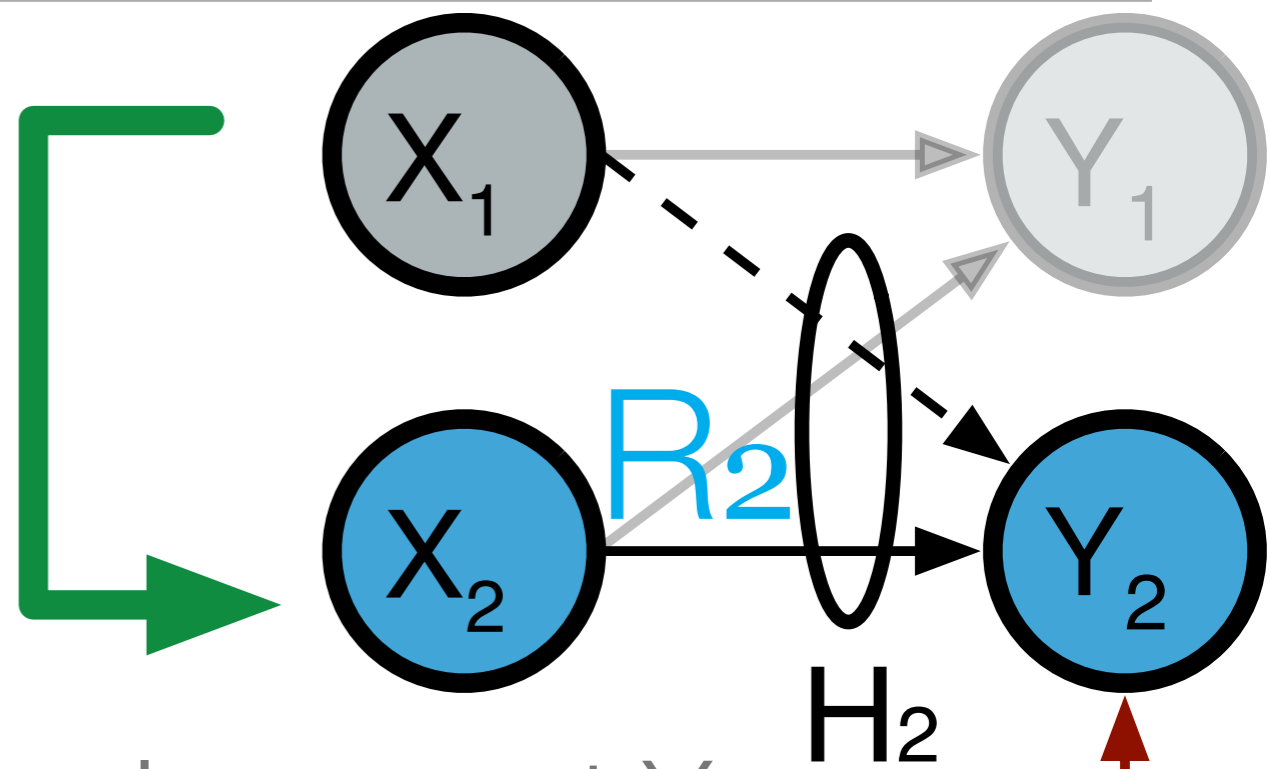
Signal power at  $Y_2$

$$R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_1 + B_2)H_2^\dagger + P_{N_2}}{H_2(B_1)H_2^\dagger + P_{N_2}} \right)$$

Interference + noise power

# $R_2$ : Rate of message 2

$$B = B_1 + B_2$$



Signal power at  $Y_2$

$$R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_1 + B_2)H_2^\dagger + P_{N_2}}{H_2(B_1)H_2^\dagger + P_{N_2}} \right)$$

$$B_1 = \begin{bmatrix} P'_1 & z \\ z & P'_2 \end{bmatrix}$$

Interference + noise power



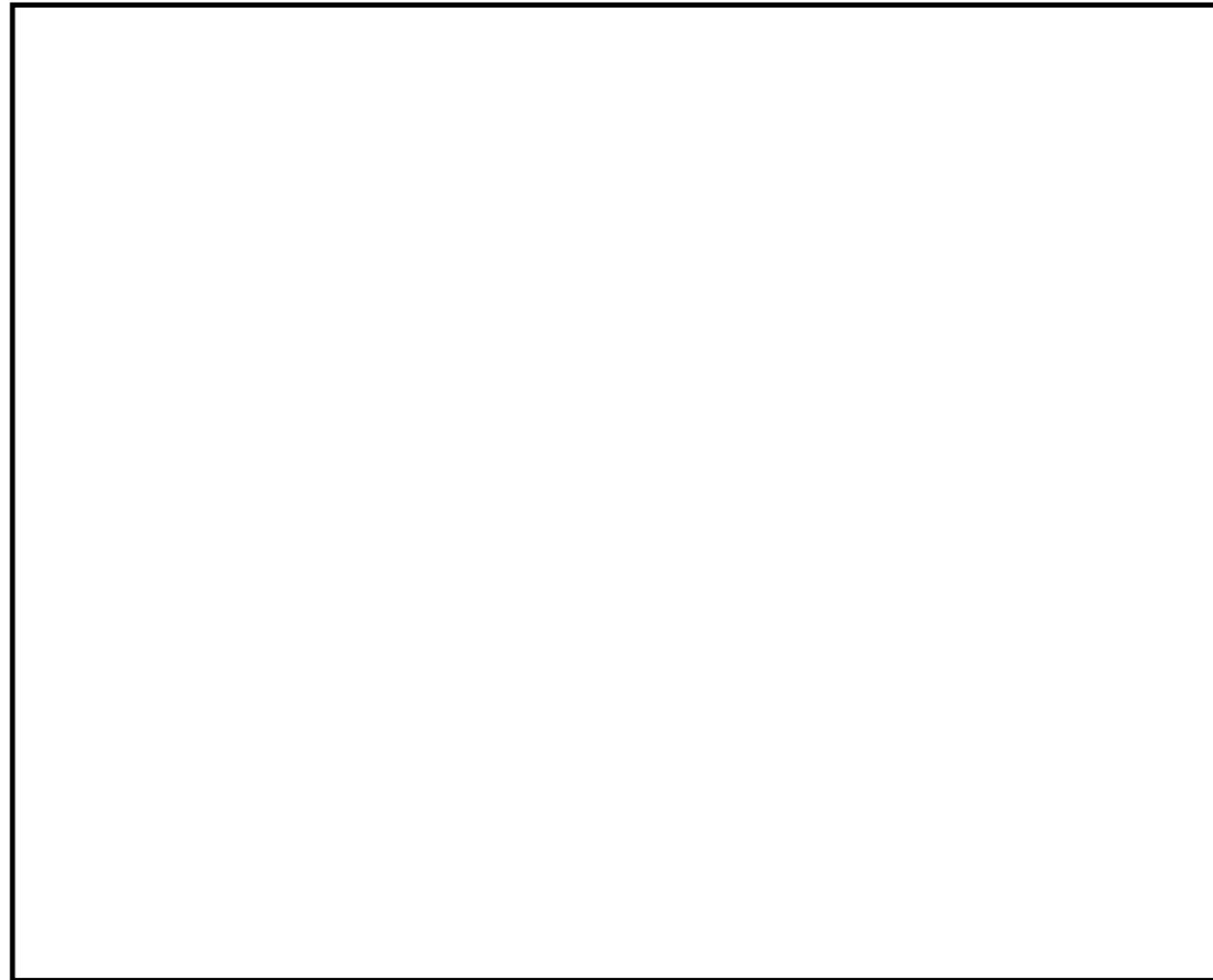
Since Tx 2 knows message 1,  
it can mitigate interference!

Since Tx 2 knows message 1,  
it can mitigate interference!

Dirty paper coding

# Dirty-paper coding

---



*[Gel'fand, Pinsker,  
1980]  
[Costa, 1983]*

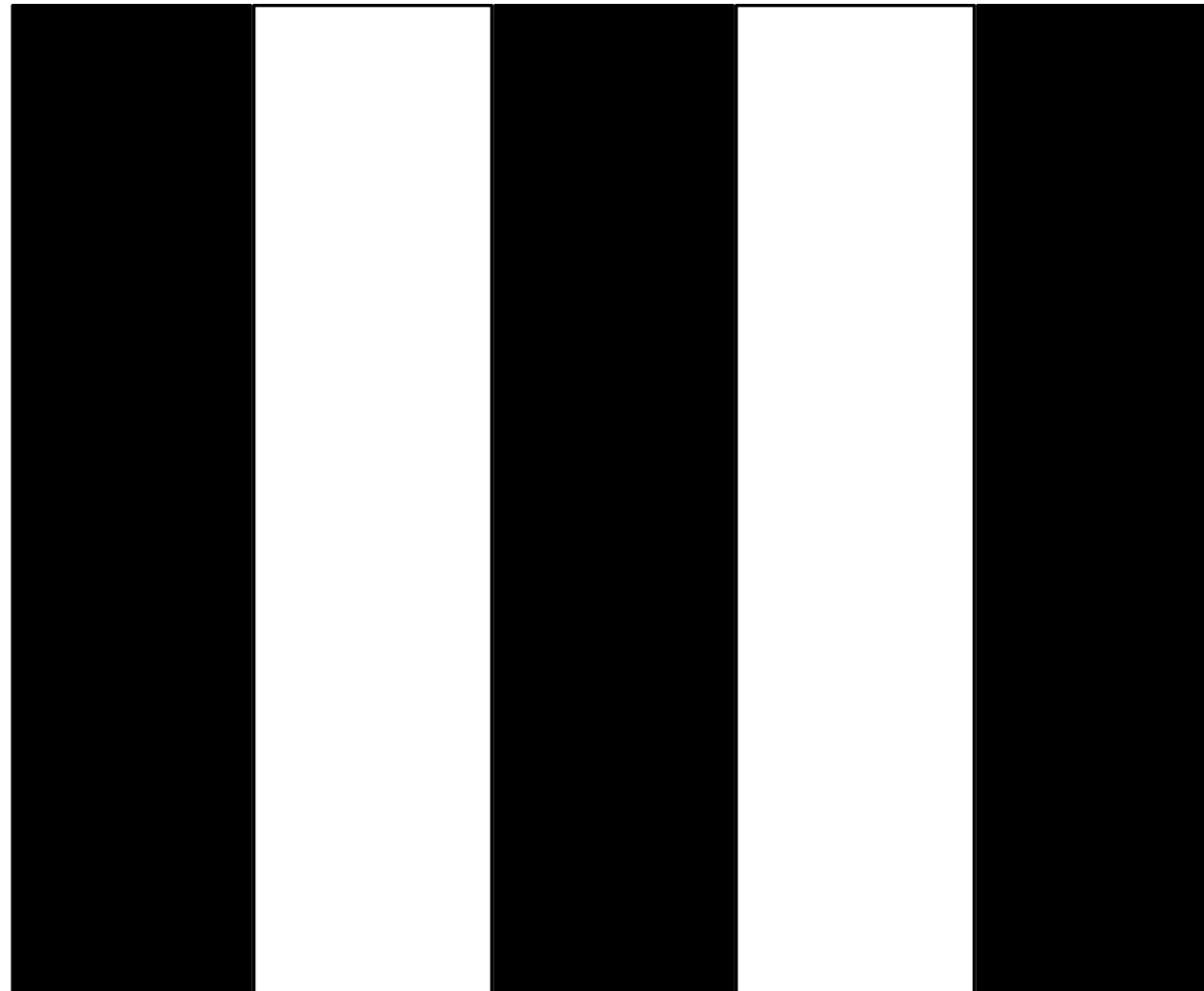
# Dirty-paper coding

---

DIRTY

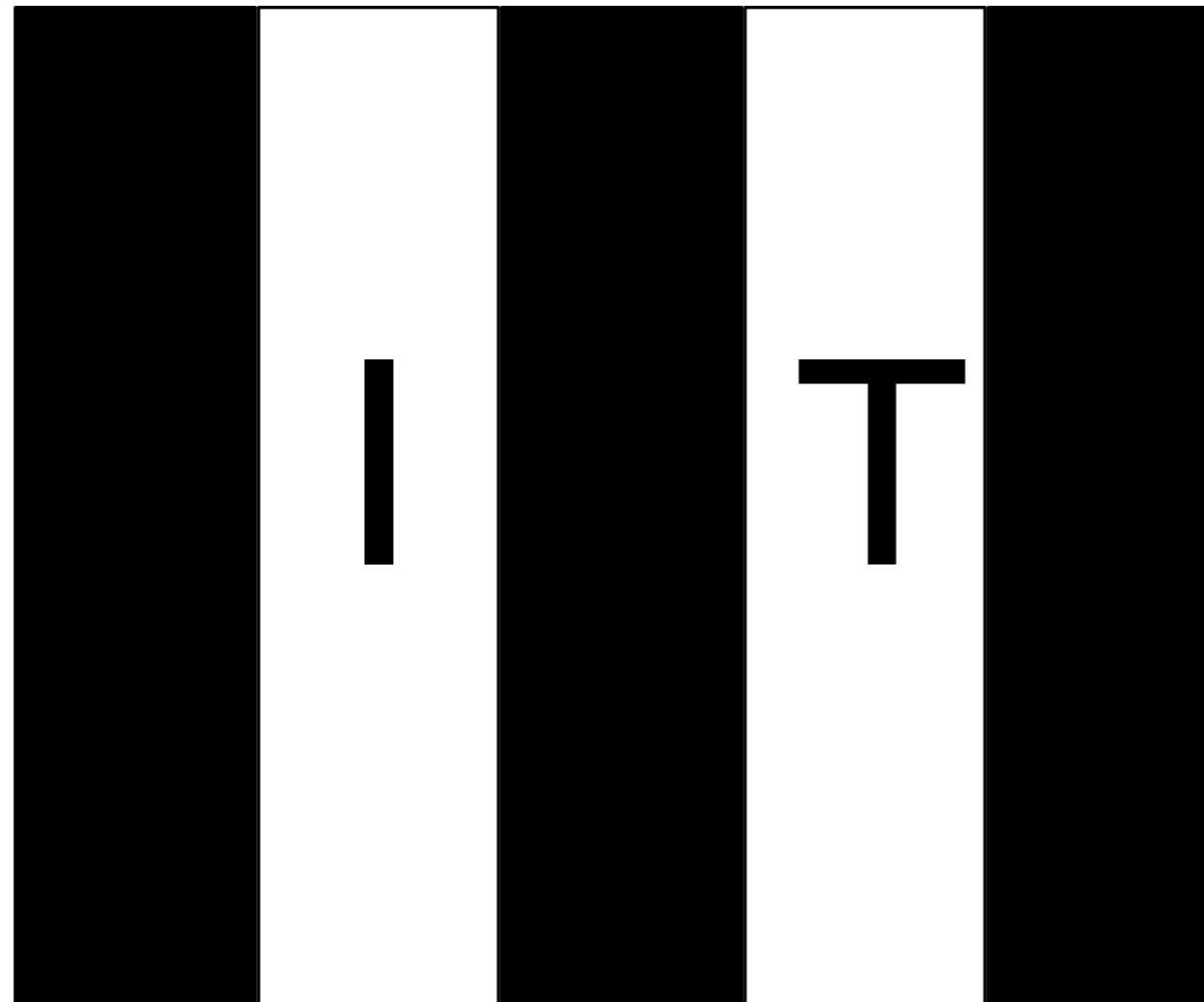
# Dirty-paper coding

---



# Dirty-paper coding

---



write in black ink?

# Dirty-paper coding

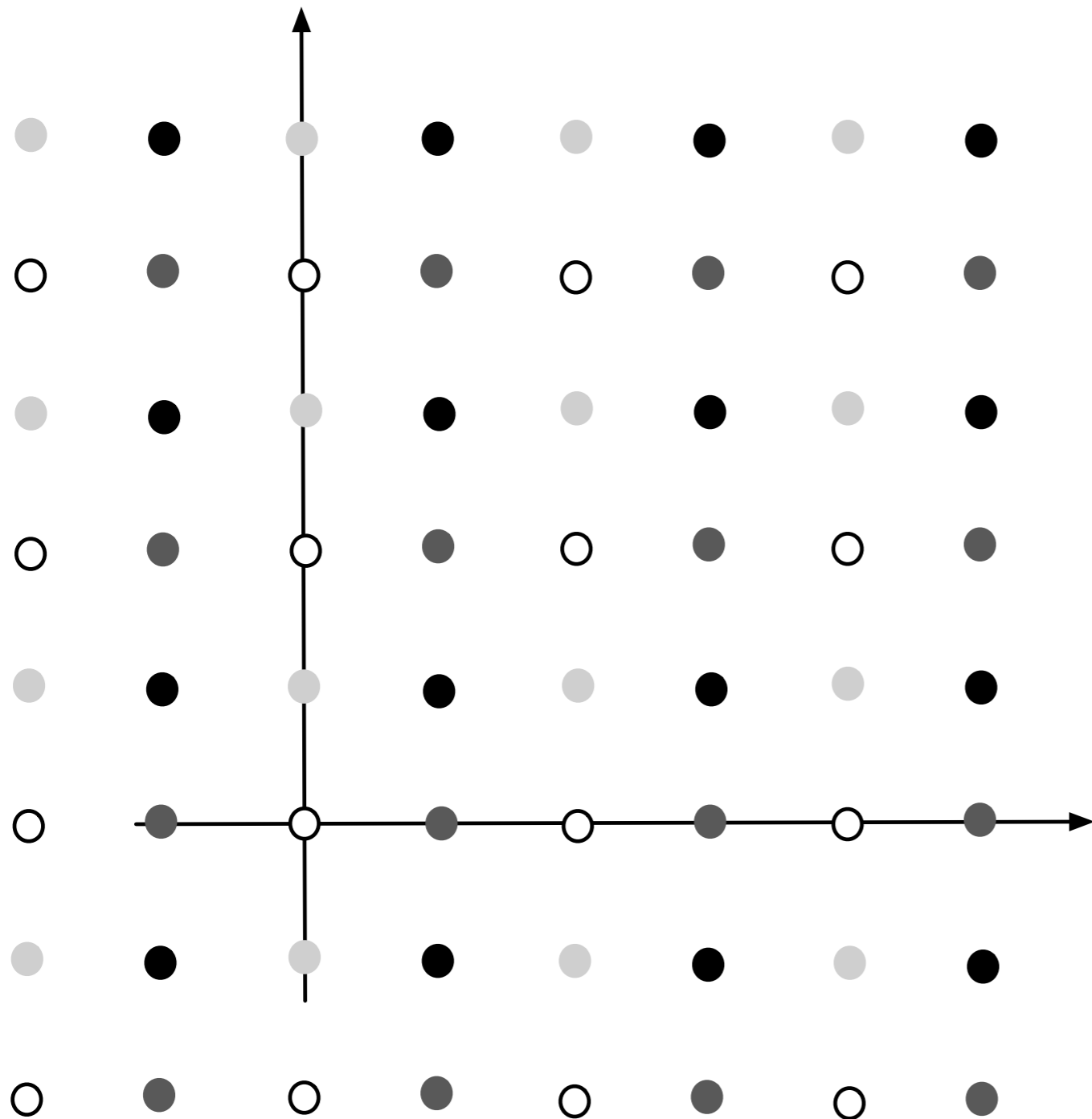
---



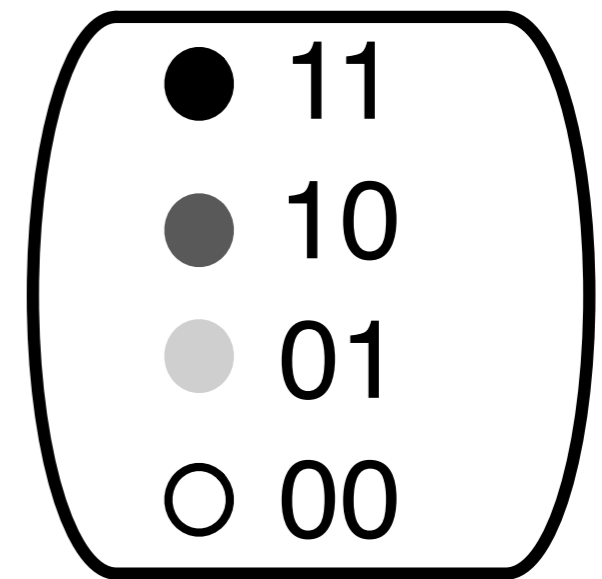
adjust your ink ✓

# Example of dirty-paper coding

---

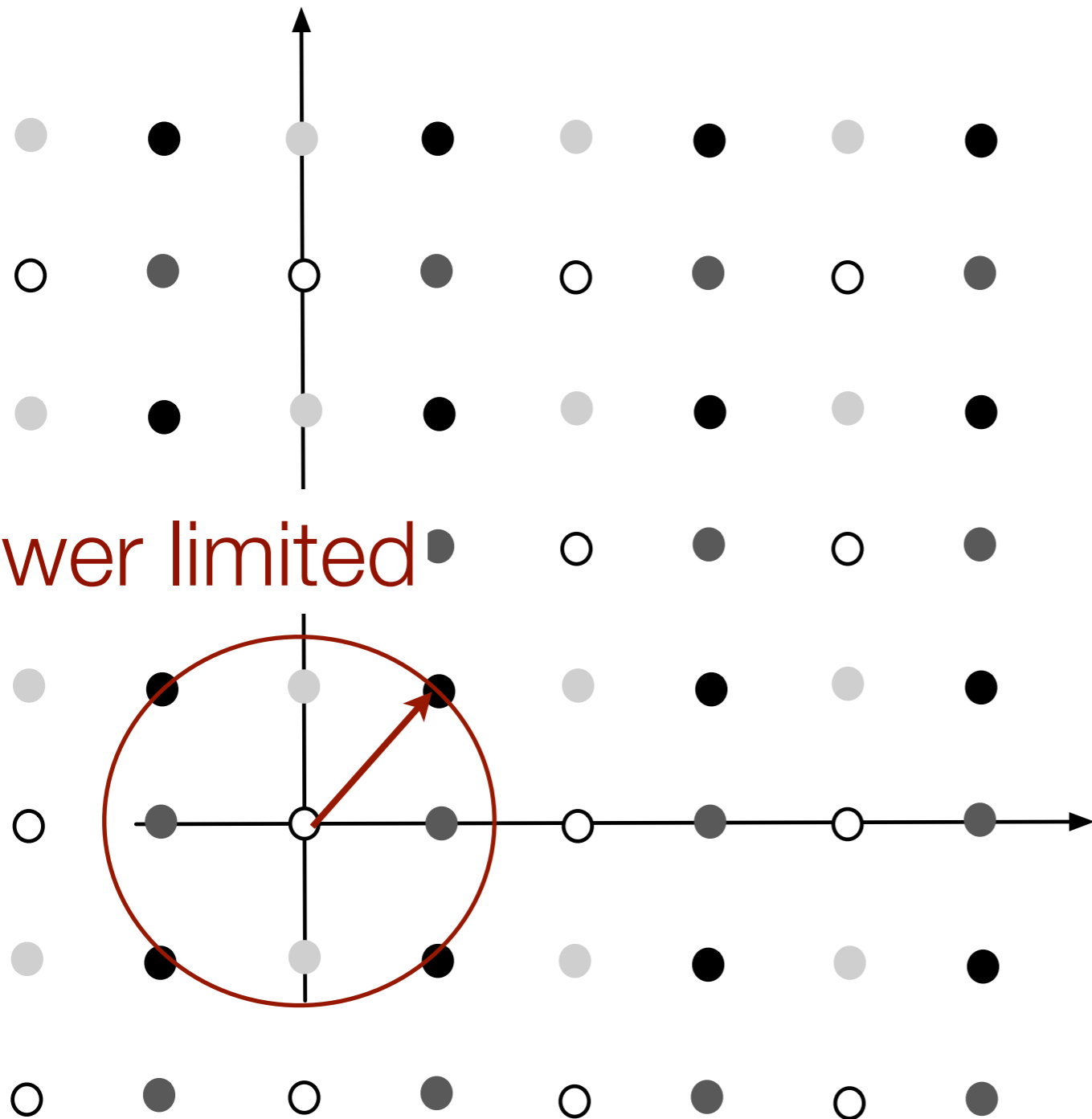


Send 2 bits:



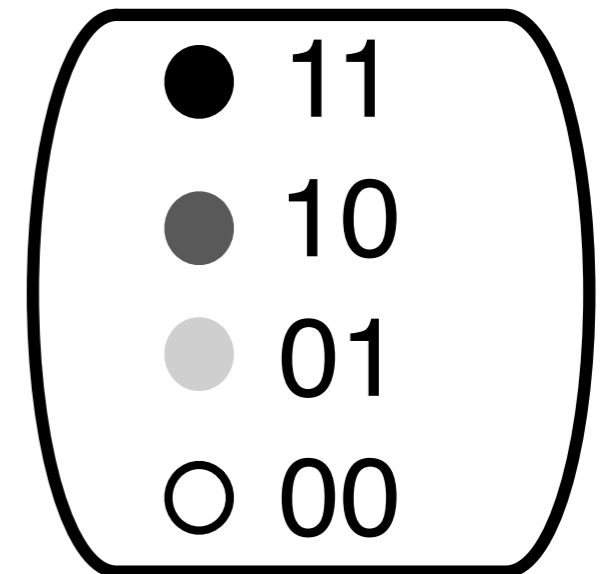


# Example of dirty-paper coding



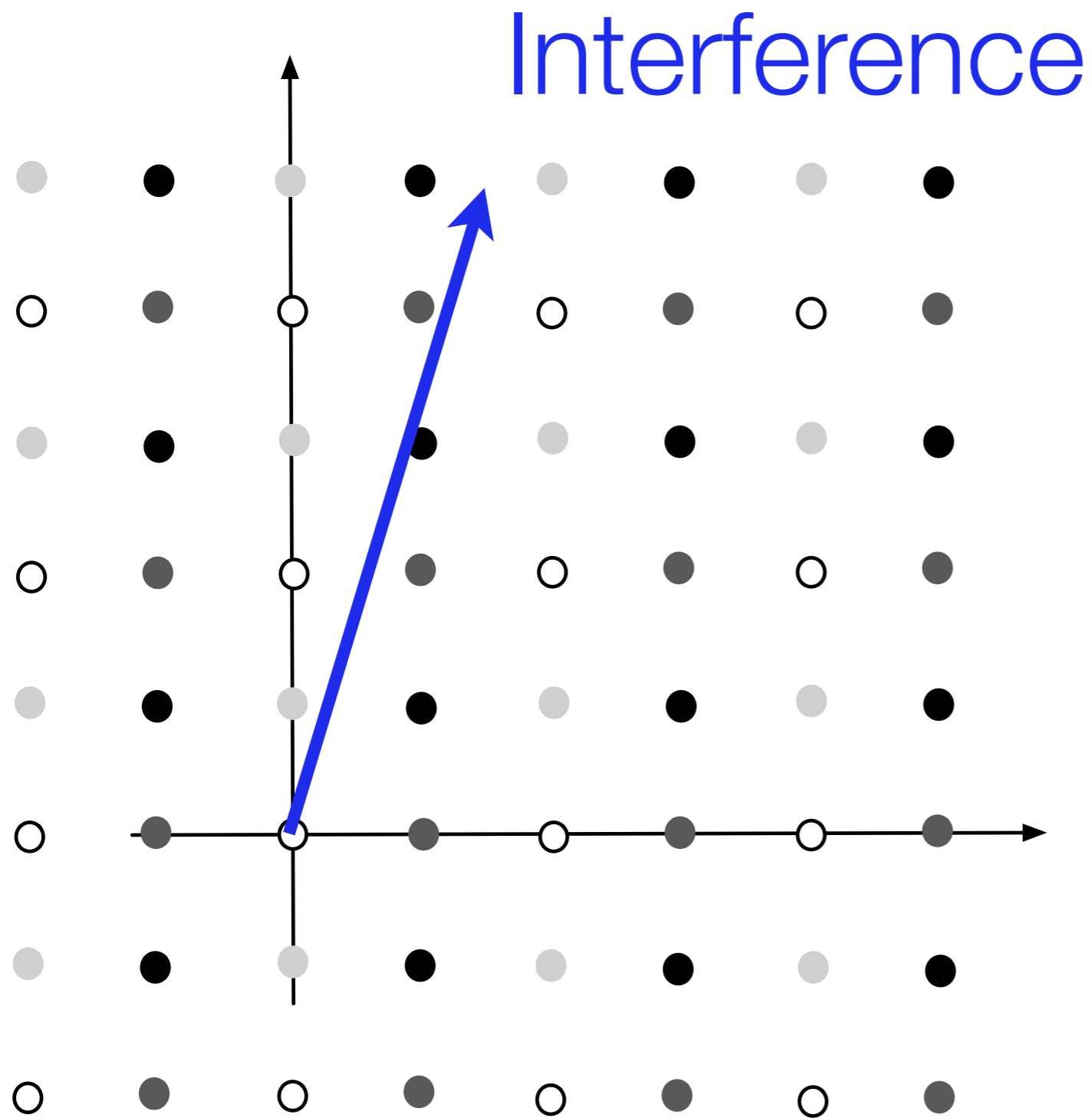
Power limited

Send 2 bits:

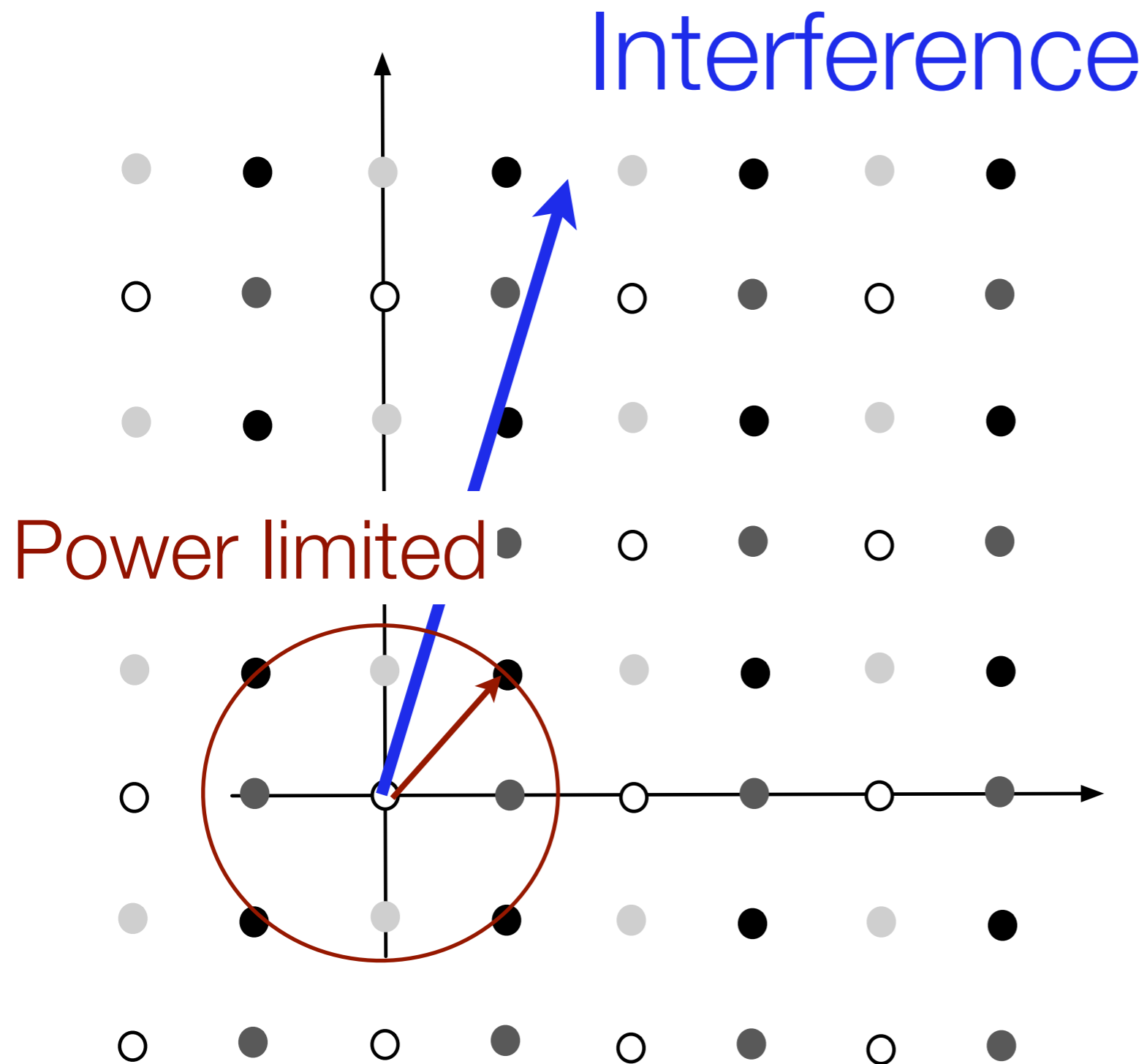


# Example of dirty-paper coding

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# Example of dirty-paper coding

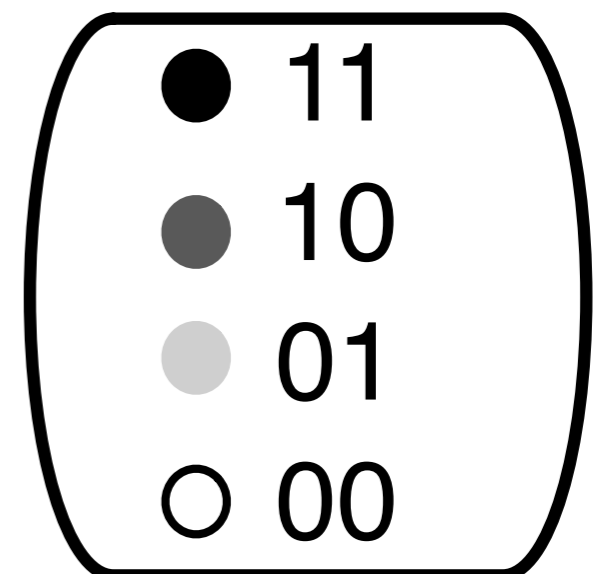
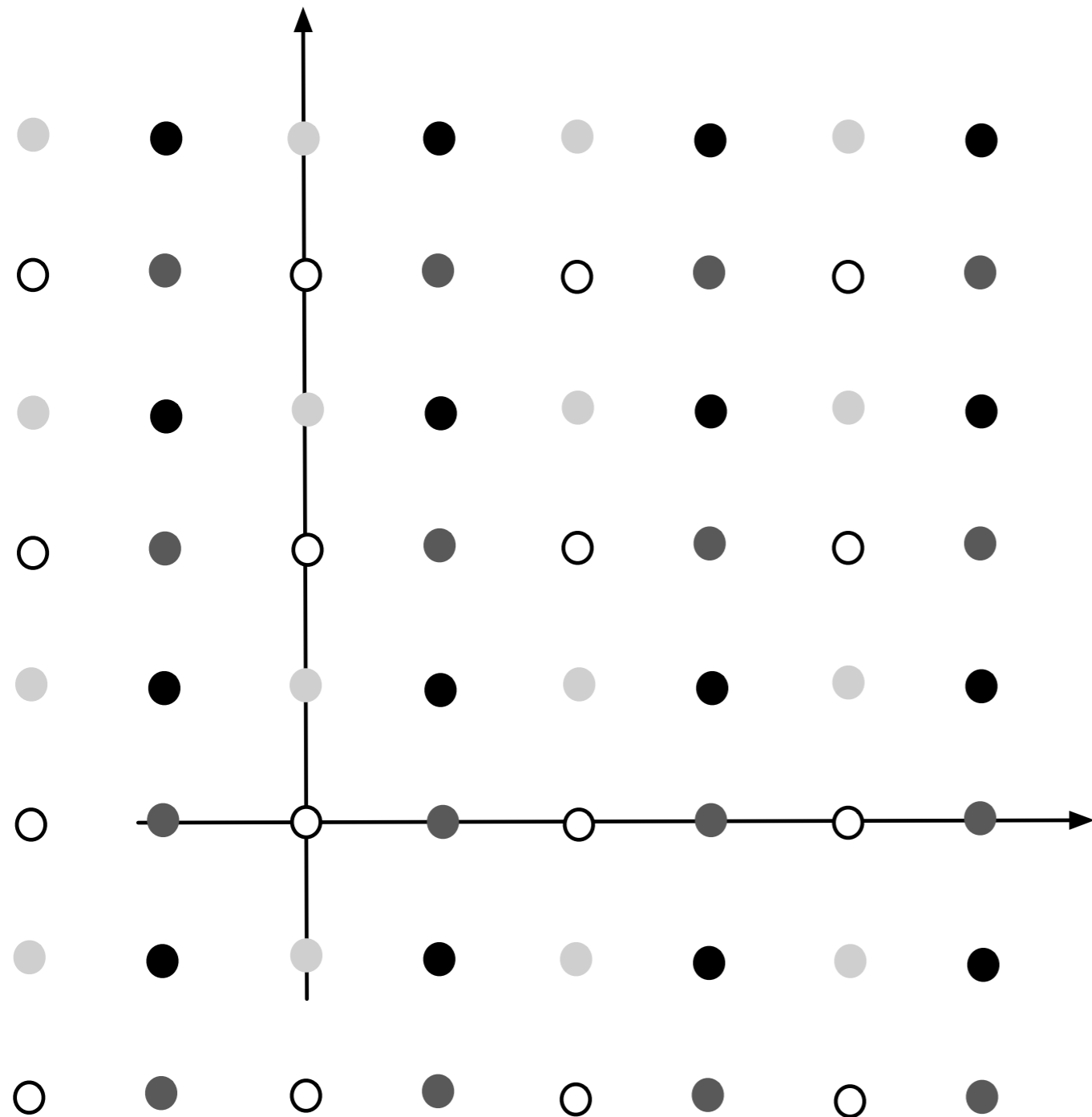


Do NOT have enough power to subtract off the interference!

# Example of dirty-paper coding

---

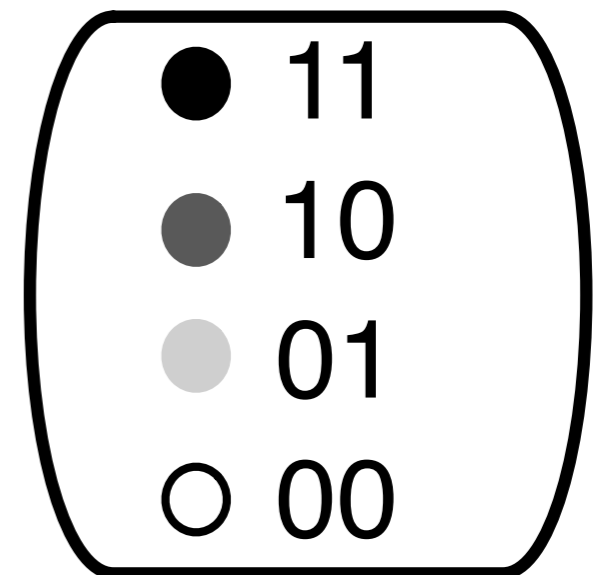
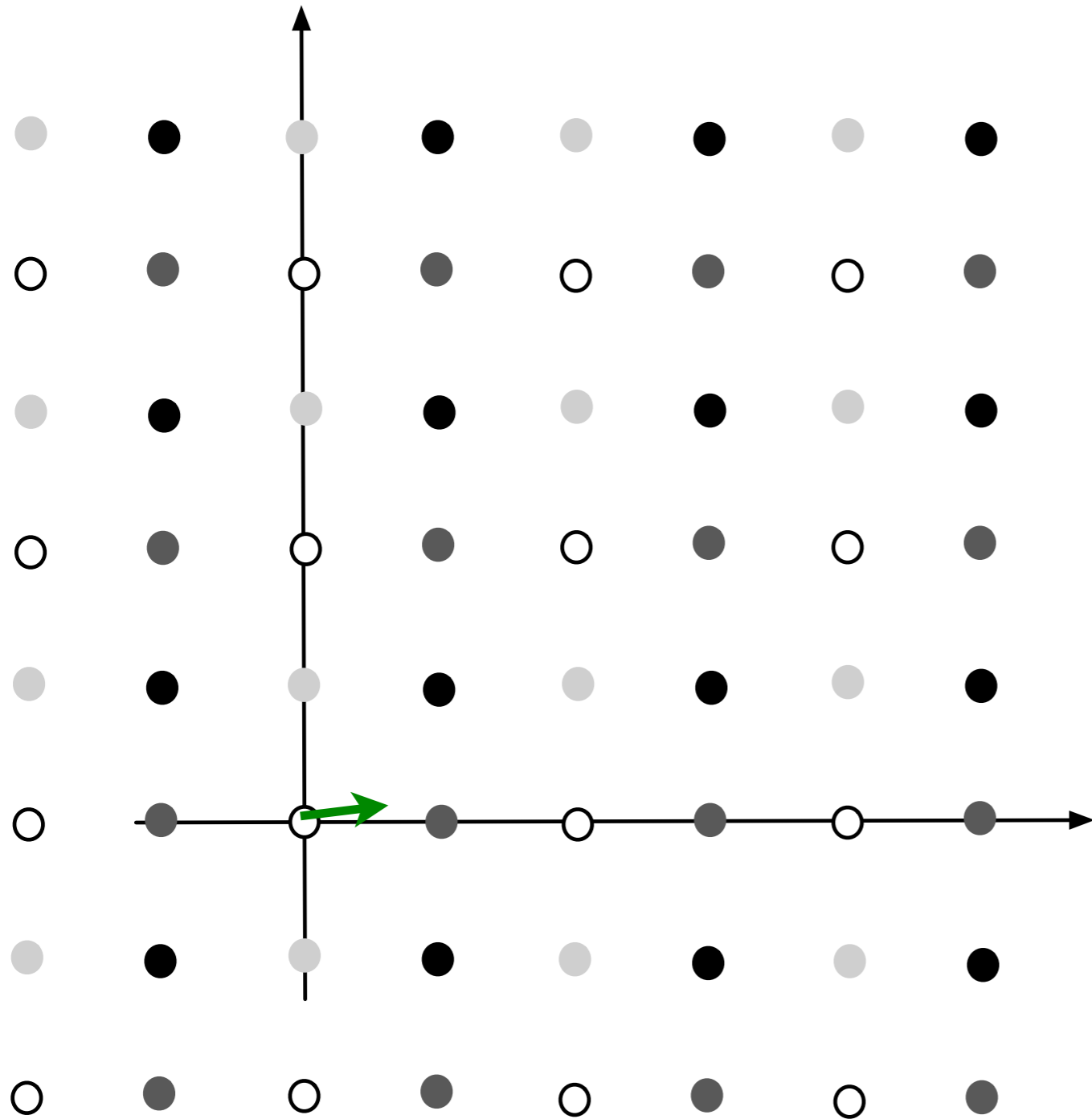
How to send 01?



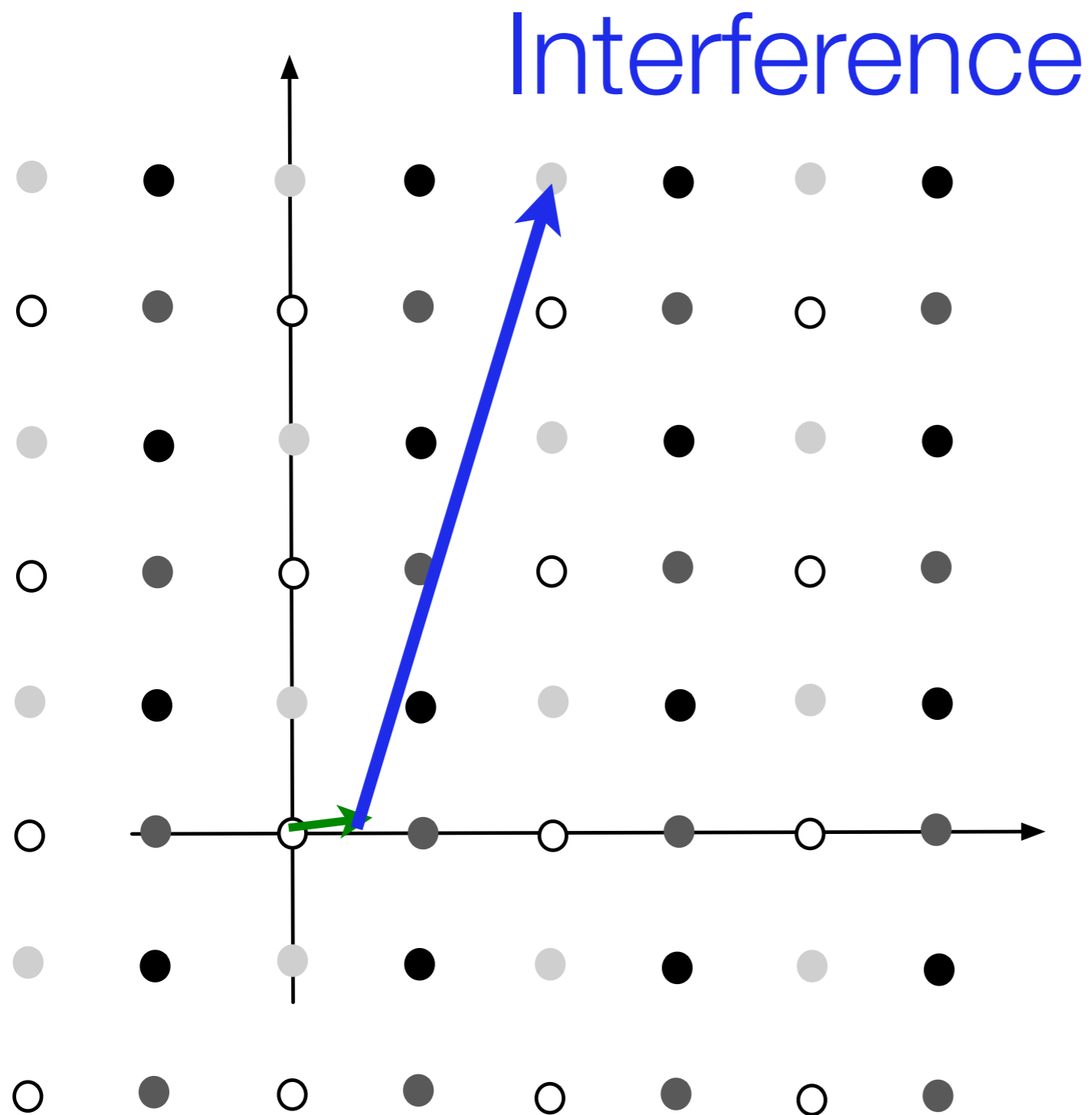
# Example of dirty-paper coding

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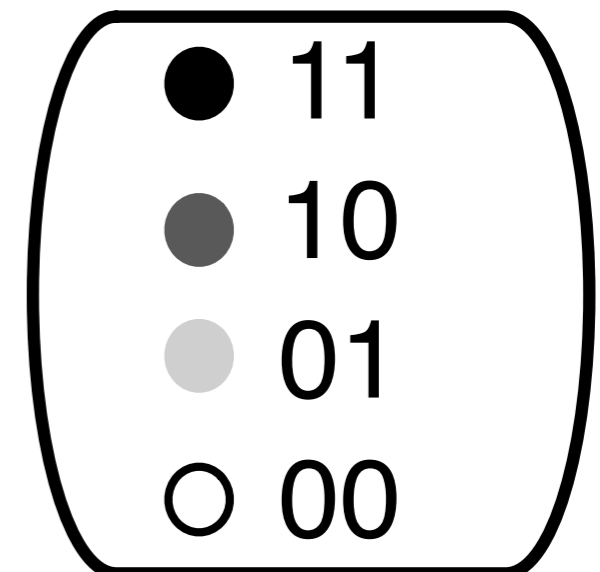
How to send 01?



# Example of dirty-paper coding



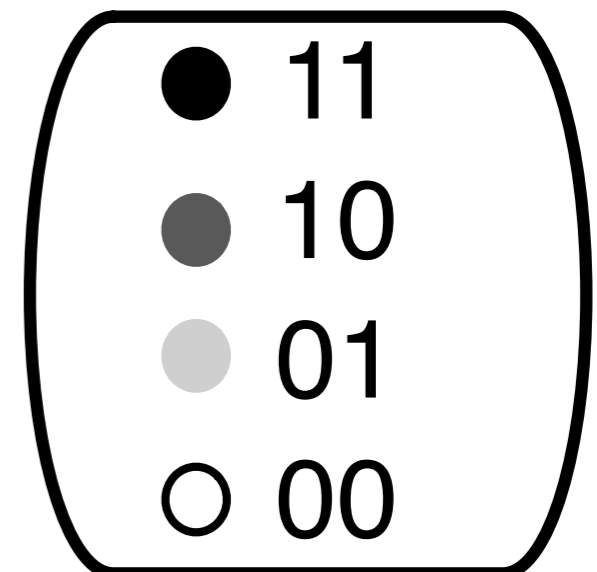
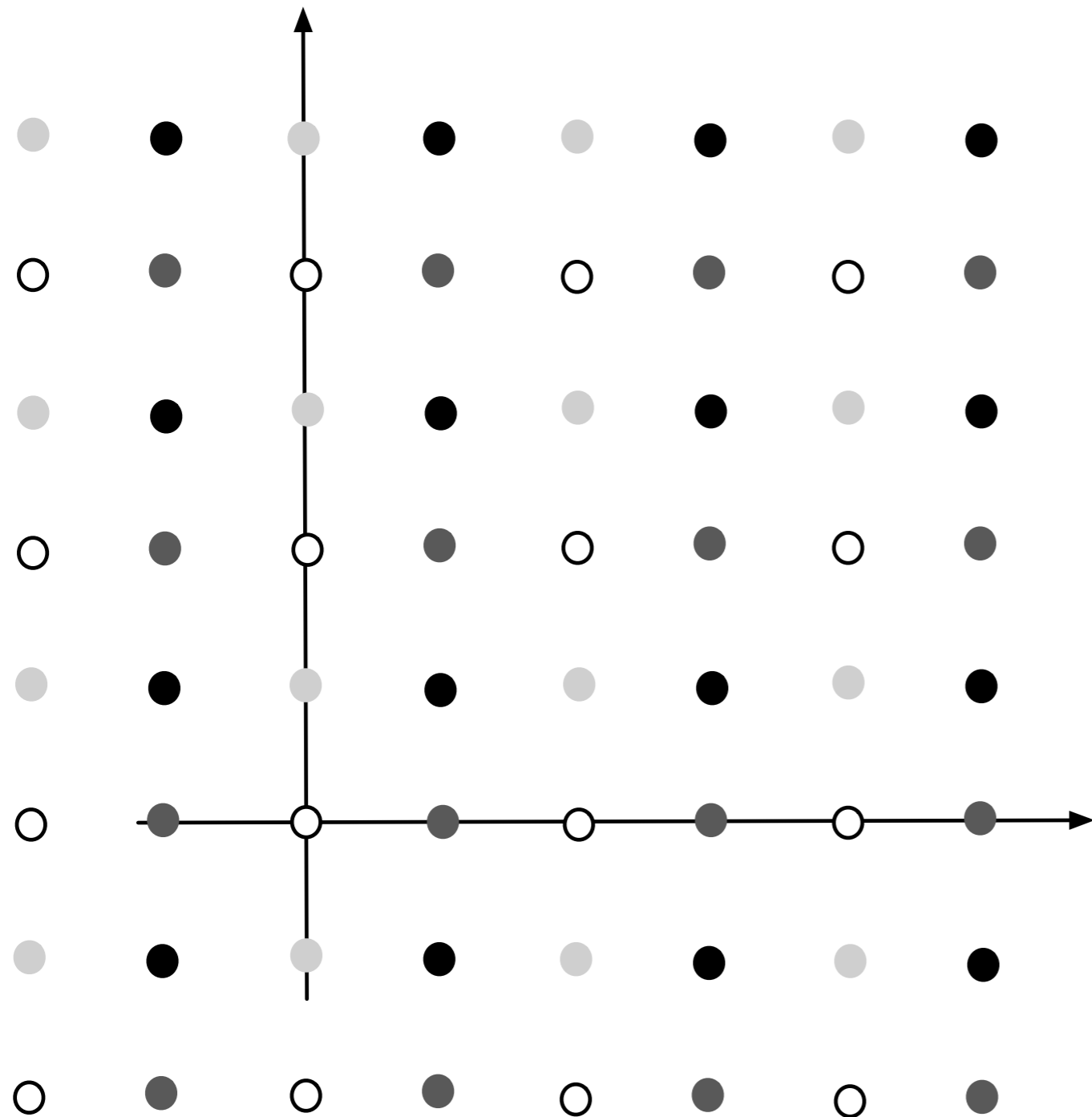
How to send 01?



# Example of dirty-paper coding

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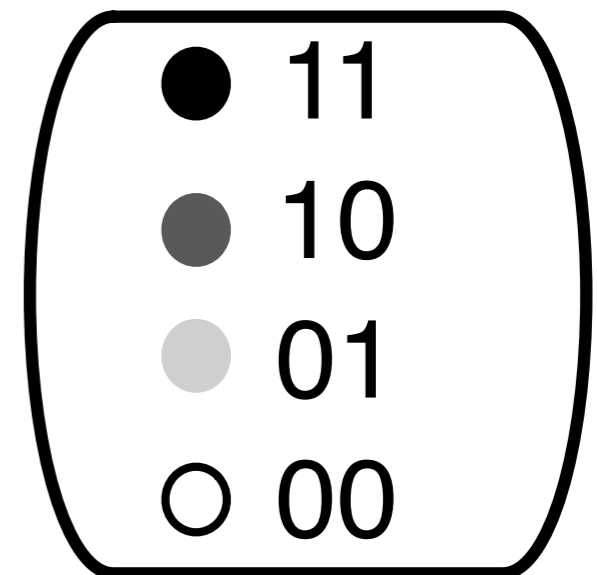
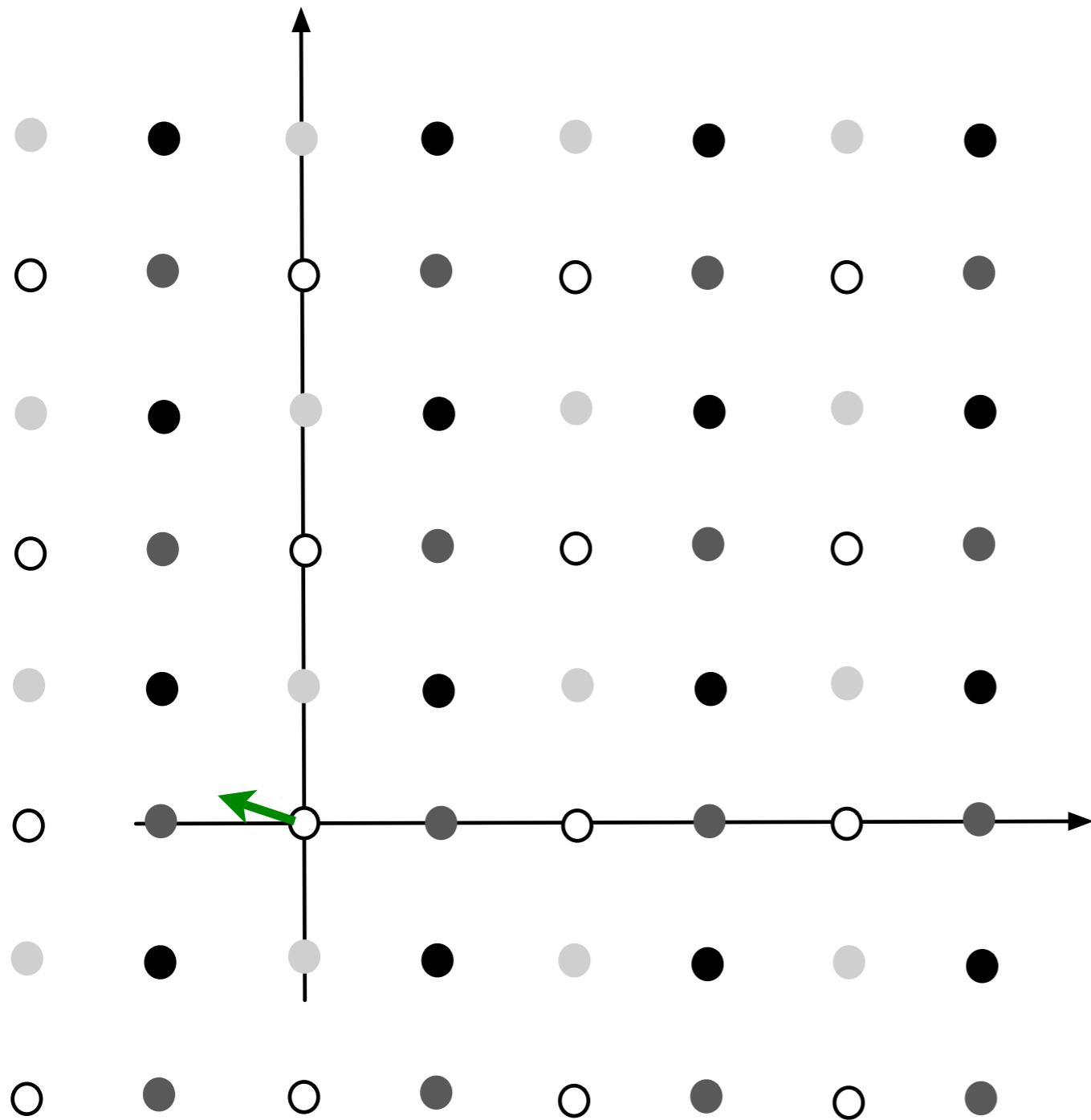
How to send 11?



# Example of dirty-paper coding

---

How to send 11?

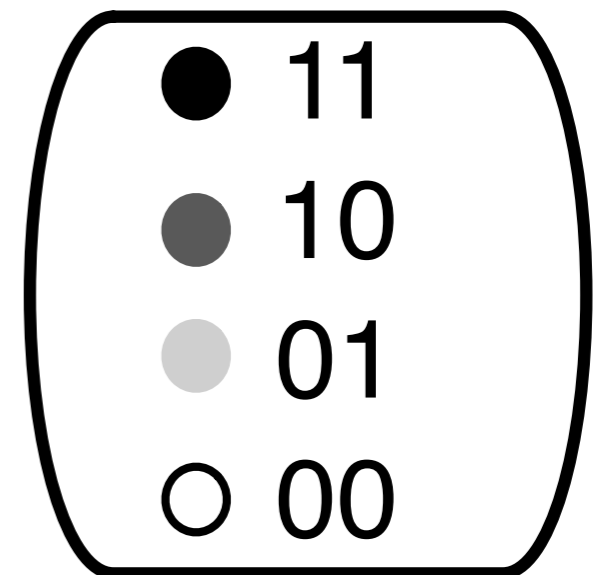
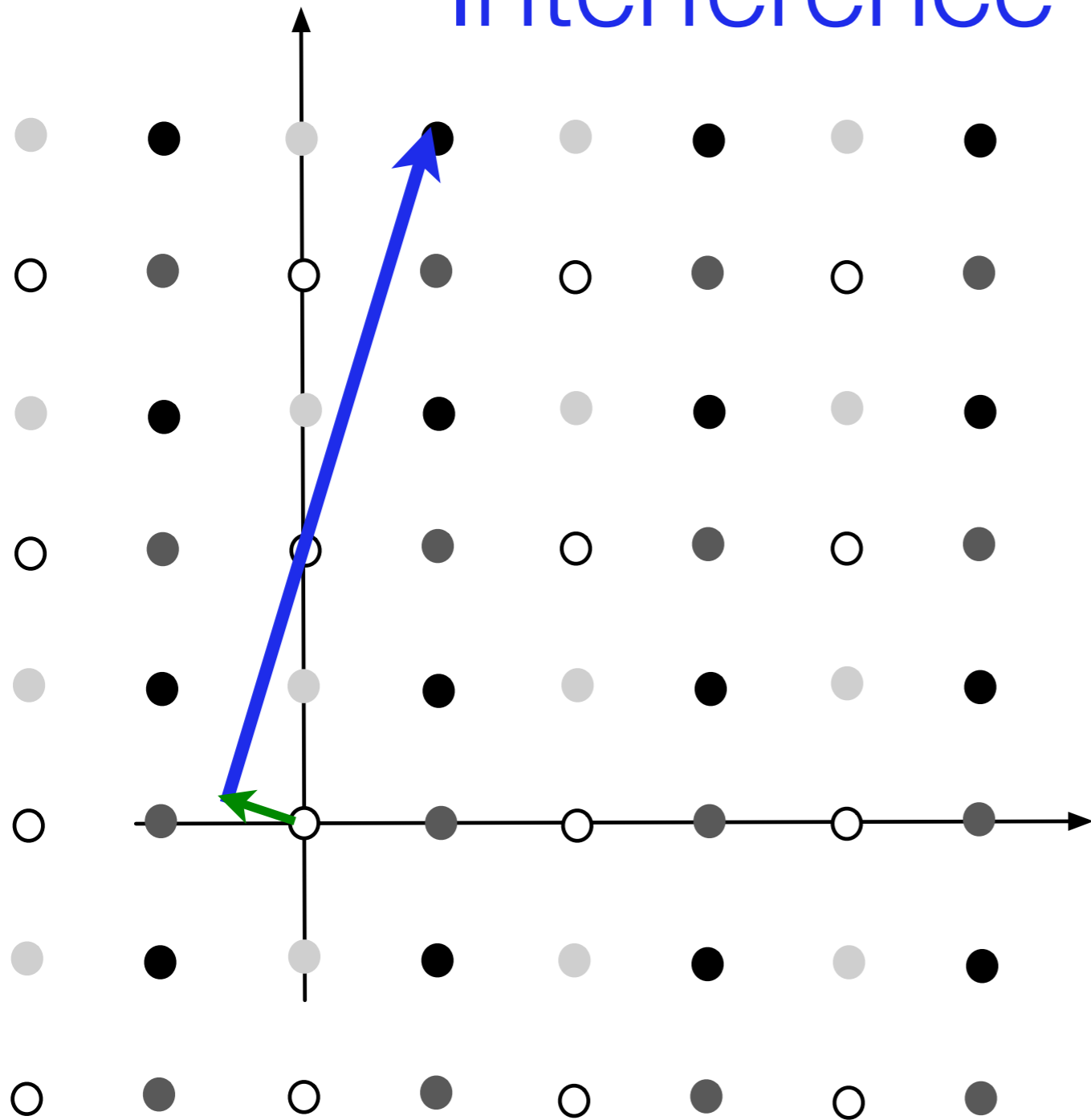




# Example of dirty-paper coding

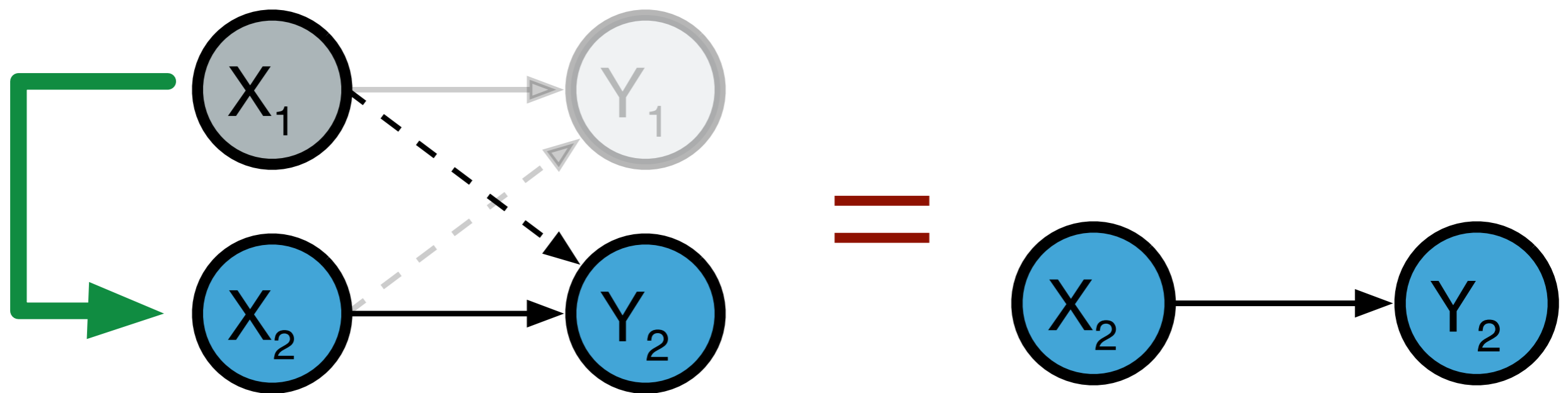
## Interference

How to send 11?



# Dirty-paper coding

---



NO power penalty!  
NOT subtracting off interference!

Rate of message 2:

**WITHOUT** and **WITH** dirty-paper coding

Signal power at  $Y_2$

**WITHOUT**

$$R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_1 + B_2)H_2^\dagger + P_{N_2}}{H_2(B_1)H_2^\dagger + P_{N_2}} \right)$$

Interference + noise power

Rate of message 2:

**WITHOUT** and **WITH** dirty-paper coding

**WITHOUT**

$$R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_1 + B_2)H_2^\dagger + P_{N_2}}{H_2(B_1)H_2^\dagger + P_{N_2}} \right)$$

**WITH**

Signal at  $Y_2$

$$R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_2)H_2^\dagger + P_{N_2}}{P_{N_2}} \right)$$

No interference + noise

# Gaussian **cognitive** channel

---

Cognitive region = Convex hull of

$(R_1, R_2) :$

$$R_1 \leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1+B_2)H_1^\dagger+Q_1}{H_1(B_2)H_1^\dagger+Q_1} \right) = R_1(\pi_{12})$$

$$R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_2)H_2^\dagger+Q_2}{Q_2} \right) = R_2(\pi_{12})$$

$$\left\{ \begin{array}{l} B_1, B_2 \succeq 0, \quad B_1 = \begin{bmatrix} P'_1 & z \\ z & P'_2 \end{bmatrix}, \quad B_2 = \begin{bmatrix} 0 & 0 \\ 0 & P''_2 \end{bmatrix}, \quad B_1 + B_2 \preceq \begin{bmatrix} P_1 & z \\ z & P_2 \end{bmatrix}, \quad z^2 \leq P_1 P_2 \end{array} \right.$$

Matrices with zeros



# Gaussian **broadcast** channel, multi-antenna

Permutation 1

$(R_1, R_2) :$

$$\begin{aligned} R_1 &\leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1+B_2)H_1^\dagger+Q_1}{H_1(B_2)H_1^\dagger+Q_1} \right) = R_1(\pi_{12}) \\ R_2 &\leq \frac{1}{2} \log_2 \left( \frac{H_2(B_2)H_2^\dagger+Q_2}{Q_2} \right) = R_2(\pi_{12}) \end{aligned}$$

$\cup$

$$\begin{aligned} R_1 &\leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1)H_1^\dagger+Q_1}{Q_1} \right) = R_1(\pi_{21}) \\ R_2 &\leq \frac{1}{2} \log_2 \left( \frac{H_2(B_1+B_2)H_2^\dagger+Q_2}{H_2(B_1)H_2^\dagger+Q_2} \right) = R_2(\pi_{21}) \end{aligned}$$

$$B_1, B_2 \succeq 0, \quad B_1 = \begin{bmatrix} b_{11} & b_{12} \\ b_{12} & b_{22} \end{bmatrix}, \quad B_2 = \begin{bmatrix} c_{11} & c_{12} \\ c_{12} & c_{22} \end{bmatrix}, \quad B_1 + B_2 \preceq S$$

# Gaussian **broadcast** channel, multi-antenna

Permutation 2

$$\left( R_1, R_2 \right) : \left\{ \begin{array}{l} R_1 \leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1+B_2)H_1^\dagger+Q_1}{H_1(B_2)H_1^\dagger+Q_1} \right) = R_1(\pi_{12}) \\ R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_2)H_2^\dagger+Q_2}{Q_2} \right) = R_2(\pi_{12}) \end{array} \right. \cup \left\{ \begin{array}{l} R_1 \leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1)H_1^\dagger+Q_1}{Q_1} \right) = R_1(\pi_{21}) \\ R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_1+B_2)H_2^\dagger+Q_2}{H_2(B_1)H_2^\dagger+Q_2} \right) = R_2(\pi_{21}) \end{array} \right.$$

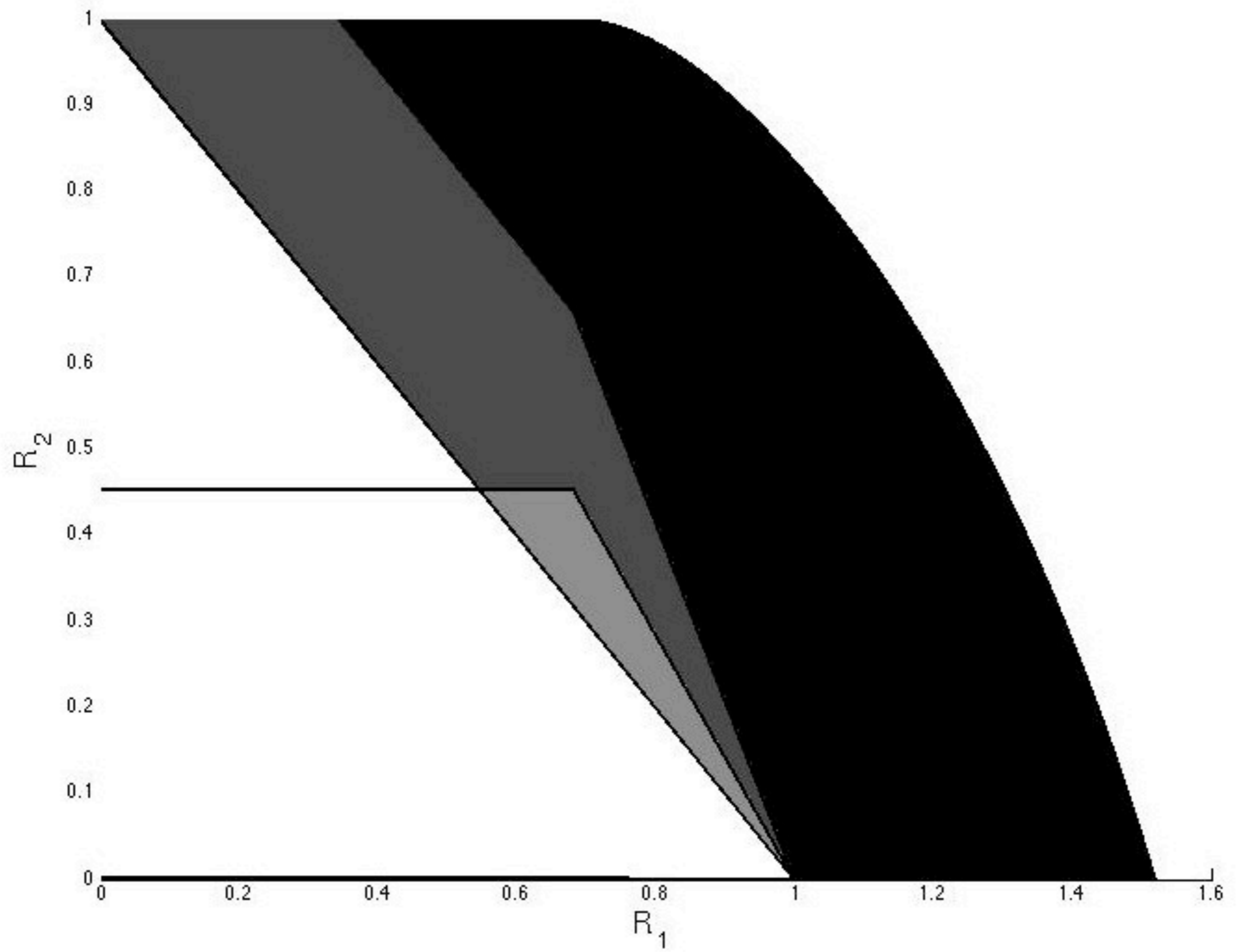
$$B_1, B_2 \succeq 0, \quad B_1 = \begin{bmatrix} b_{11} & b_{12} \\ b_{12} & b_{22} \end{bmatrix}, \quad B_2 = \begin{bmatrix} c_{11} & c_{12} \\ c_{12} & c_{22} \end{bmatrix}, \quad B_1 + B_2 \preceq S$$

# Gaussian **broadcast** channel, multi-antenna

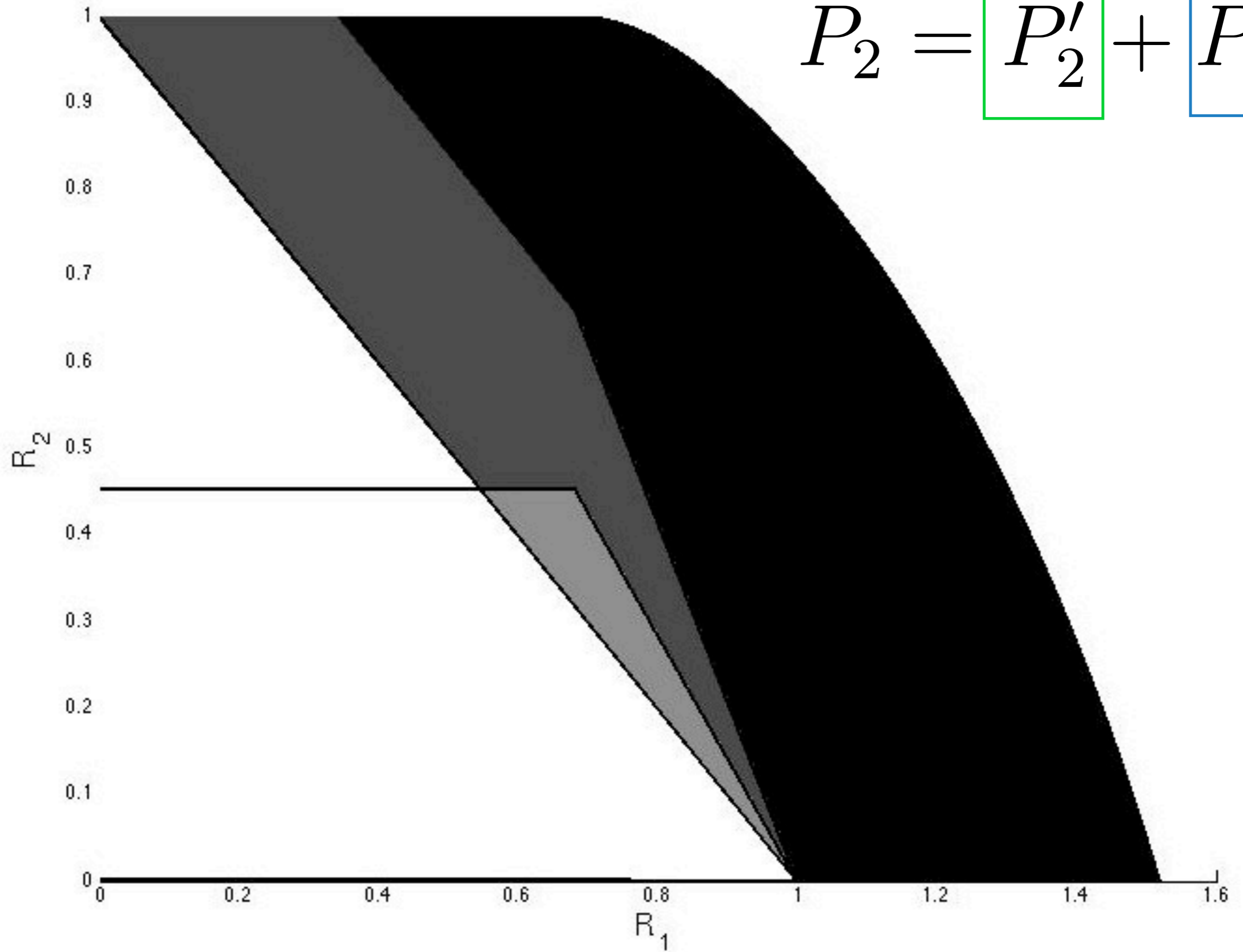
$$\left\{ \begin{array}{l} (R_1, R_2) : \\ R_1 \leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1+B_2)H_1^\dagger + Q_1}{H_1(B_2)H_1^\dagger + Q_1} \right) = R_1(\pi_{12}) \quad \cup \quad R_1 \leq \frac{1}{2} \log_2 \left( \frac{H_1(B_1)H_1^\dagger + Q_1}{Q_1} \right) = R_1(\pi_{21}) \\ R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_2)H_2^\dagger + Q_2}{Q_2} \right) = R_2(\pi_{12}) \quad \cup \quad R_2 \leq \frac{1}{2} \log_2 \left( \frac{H_2(B_1+B_2)H_2^\dagger + Q_2}{H_2(B_1)H_2^\dagger + Q_2} \right) = R_2(\pi_{21}) \\ \\ B_1, B_2 \succeq 0, \quad B_1 = \begin{bmatrix} b_{11} & b_{12} \\ b_{12} & b_{22} \end{bmatrix}, \quad B_2 = \begin{bmatrix} c_{11} & c_{12} \\ c_{12} & c_{22} \end{bmatrix}, \quad B_1 + B_2 \preceq S \end{array} \right.$$

No zeros!



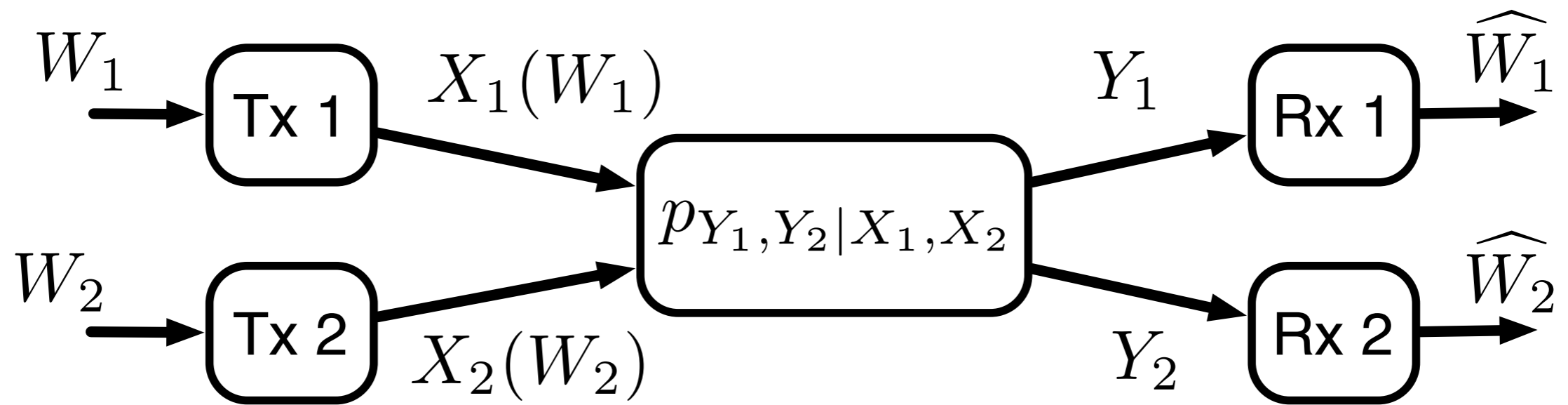


$$P_2 = \boxed{P'_2} + \boxed{P''_2}$$

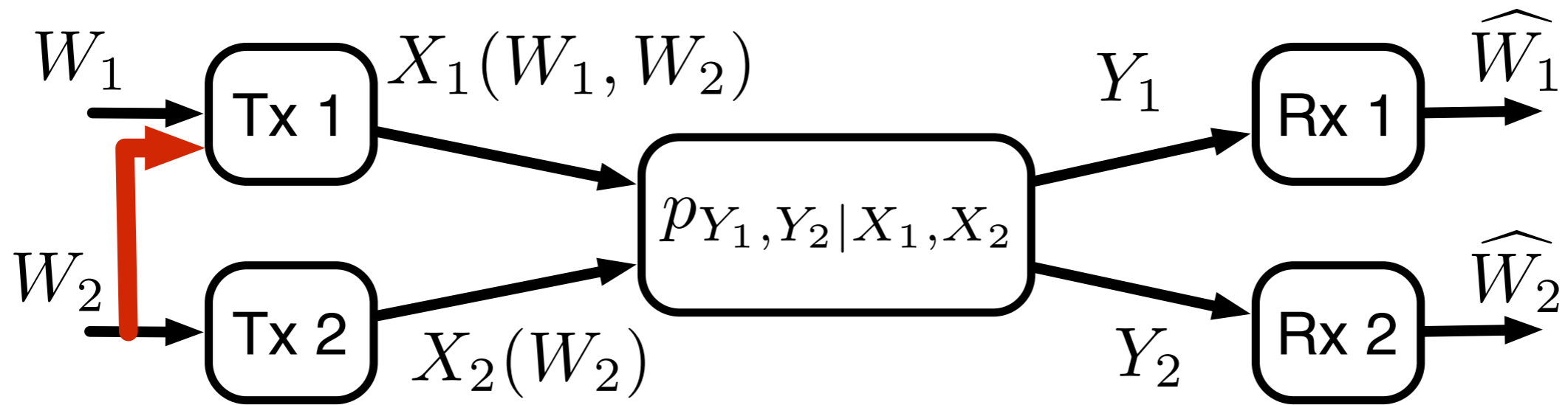


# Information theoretic abstraction

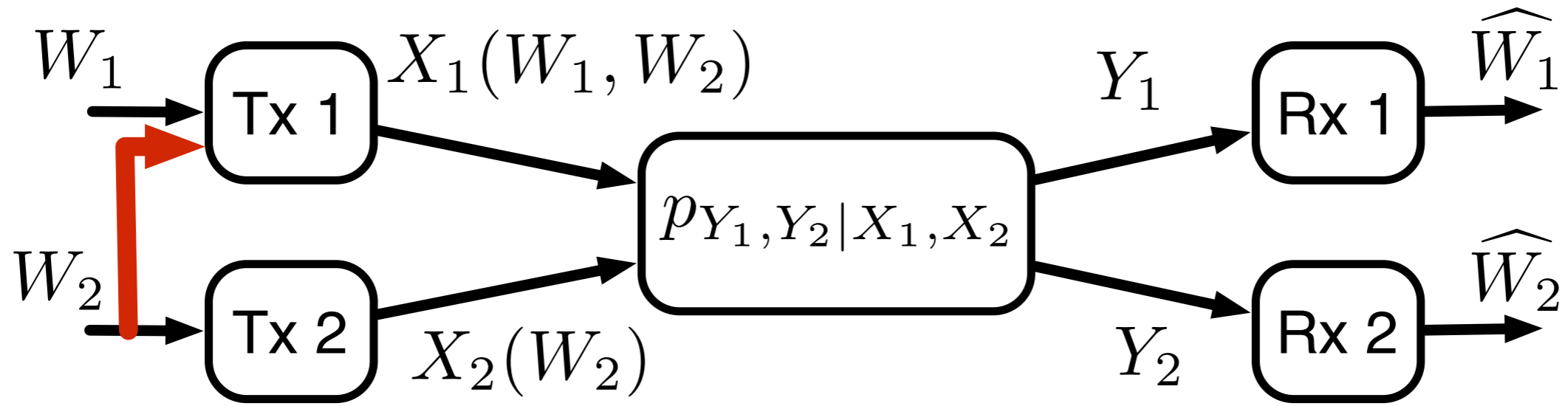
# Interference channel



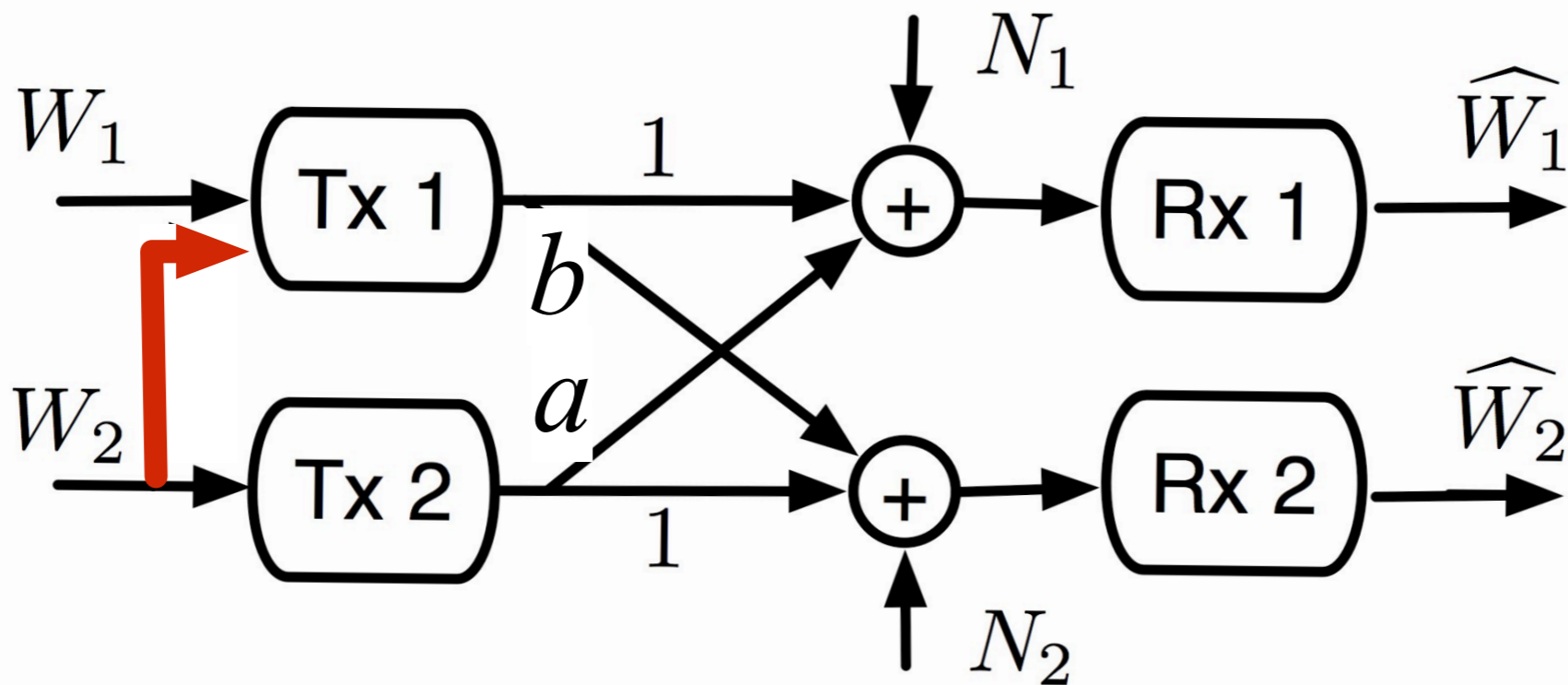
# DM Cognitive interference channel



# DM Cognitive interference channel



# Gaussian Cognitive interference channel



# Introduction

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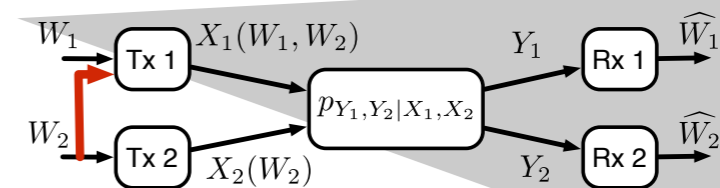
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# Broadcast channel is contained



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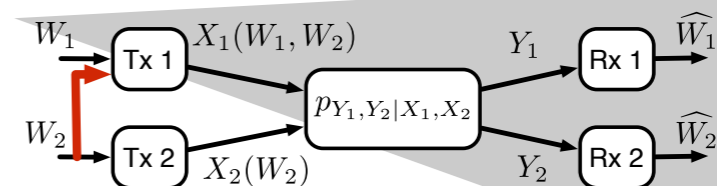
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# Interference channel with cognitive relay

J. Jiang, I. Maric, A. Goldsmith and S. Cui, “Achievable Rate Regions for Broadcast Channels with Cognitive Radios,” *IEEE Information Theory Workshop (ITW)*, Taormina, Italy, Oct. 2009.

# Causal cognitive interference channel

S. H. Seyedmehdi, Y. Xin, J. Jiang, and X. Wang, "An improved achievable rate region for the causal cognitive radio," in *Proc. IEEE Int. Symp. Inf. Theory*, June 2009.

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# Cognitive interference channels with secrecy

O. Simeone and A. Yener, “The cognitive multiple access wire-tap channel,” in *Proc. Conf. on Information Sciences and Systems (CISS)*, Mar. 2009.

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# Degrees of Freedom of Cognitive Channels

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# Wyner-type cognitive networks

A. Lapidoth, N. Levy, S. Shamai (Shitz), and M. A. Wigger, "A Cognitive Network with Clustered Decoding", in *Proc. ISIT 2009*, Seoul, Korea, June 28-July 3, 2009.  
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# Interference channel with cognitive relay

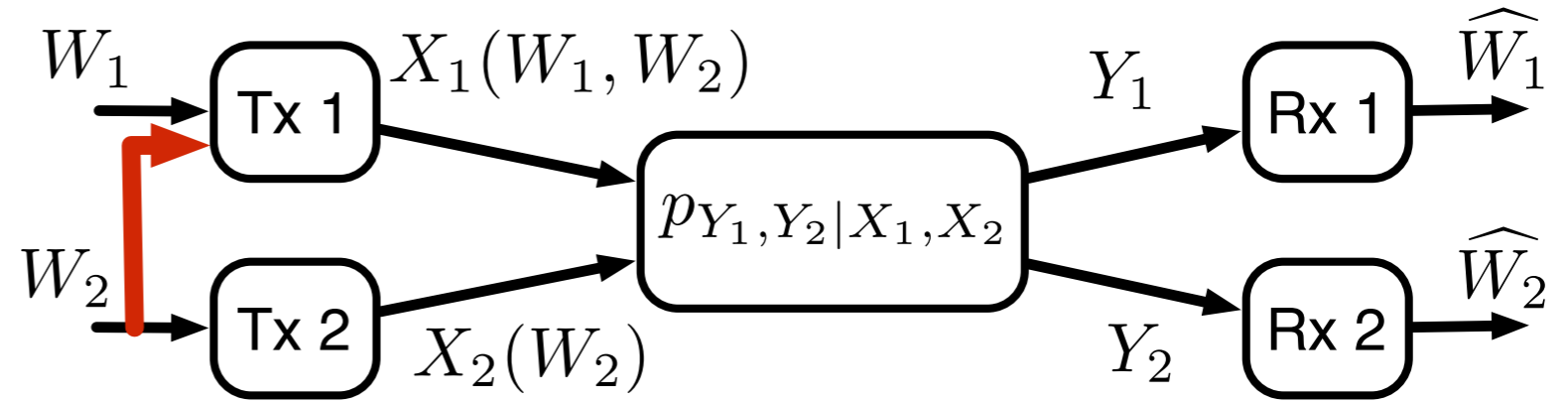
O. Sahin and E. Erkip, "Achievable rates for the gaussian interference relay channel," in *Proc. of IEEE Globecom*, Washington D.C., Nov. 2007.  
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J. Jiang, I. Maric, A. Goldsmith, and S. Cui, "Achievable rate regions for broadcast channels with cognitive radios," *Proc. of IEEE Information Theory Workshop (ITW)*, Oct. 2009.  
S. Sridharan, S. Vishwanath, S. Jafar, and S. Shamai, "On the capacity of cognitive relay assisted gaussian interference channel," in *Proc. IEEE Int. Symp. Information Theory, Toronto, Canada*, 2008, pp. 549–553.

# Cognition



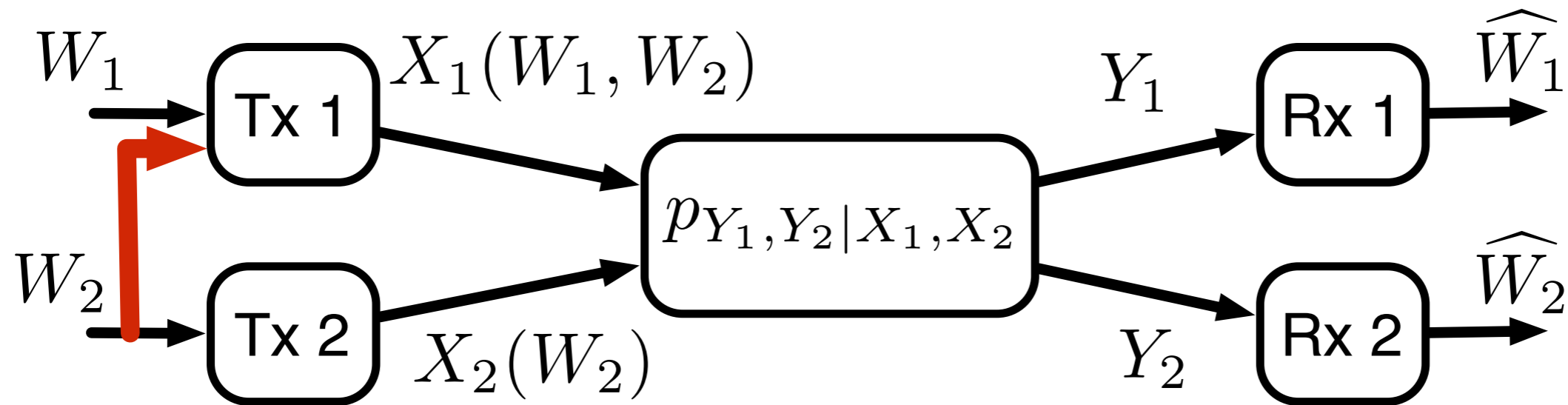
# Non-causal side information at Tx/Rxs

# Contributions



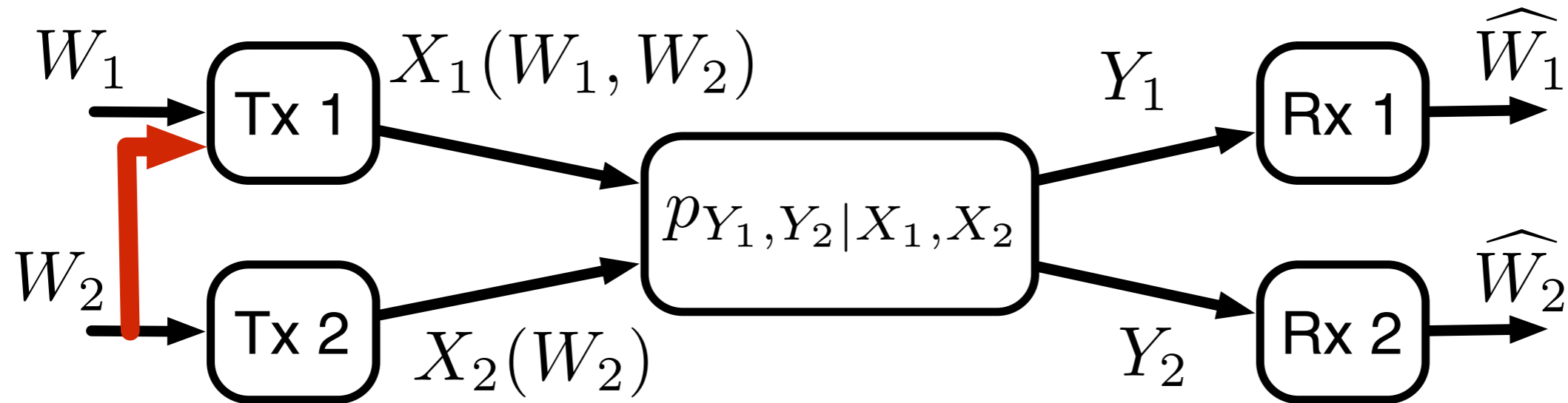
- new inner bound (*largest region*)
- new outer bound (*not tightest, but computable*)
- capacity for deterministic channels (*also semi-deterministic*)
- 1.8 bit gap result for Gaussian channels (*preliminary simulations show smaller gap*)

# Achievable scheme (inner bound)

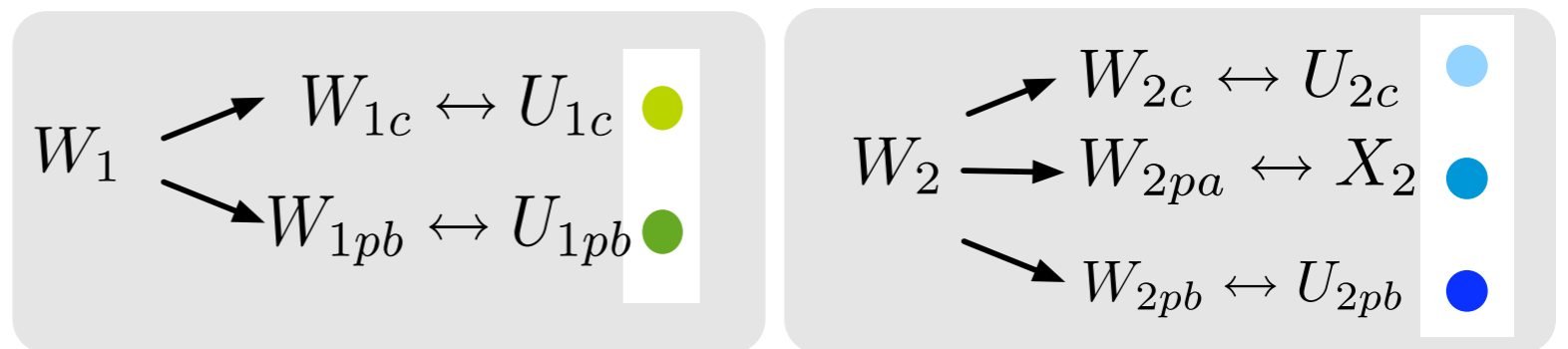


- rate-splitting
- Gel'fand-Pinkser binning
- superposition coding

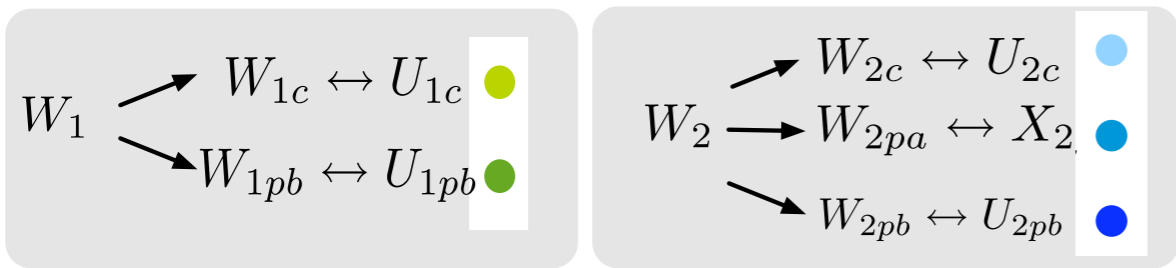
# Achievable scheme (inner bound)



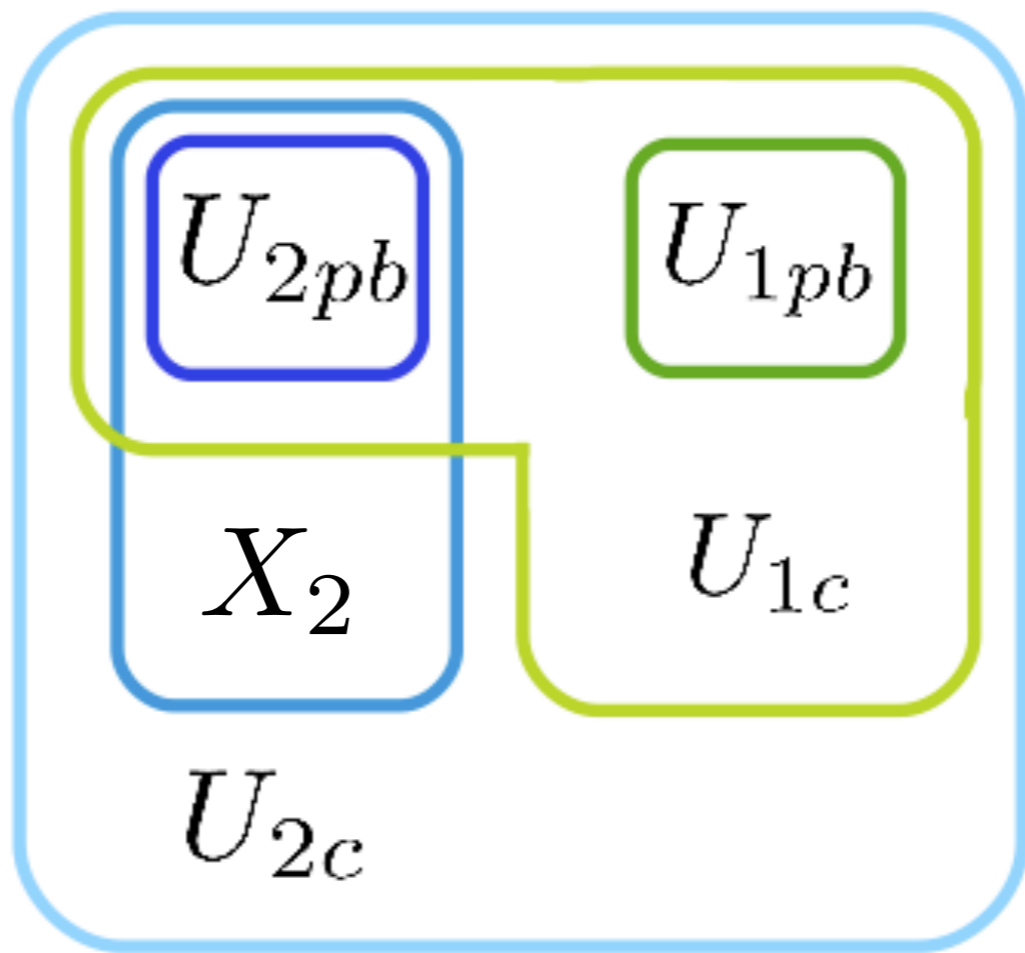
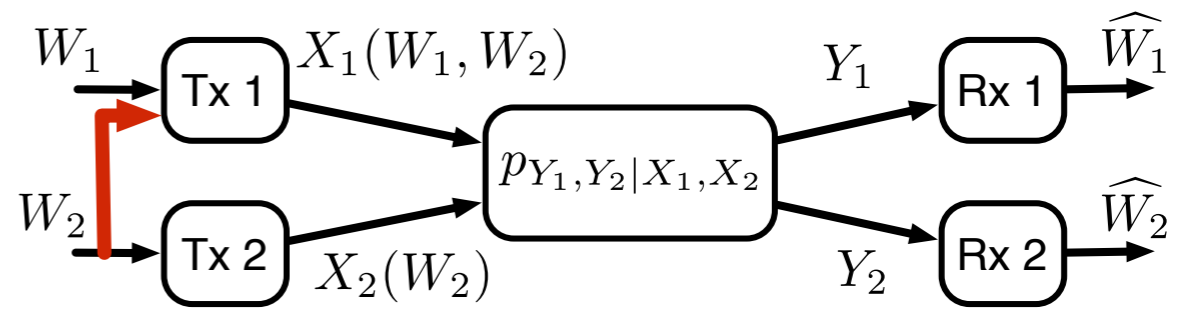
- rate-splitting
- Gel'fand-Pinkser binning
- superposition coding



$c = \text{common}, p = \text{private},$   
 $a = \text{alone}, b = \text{broadcast}$



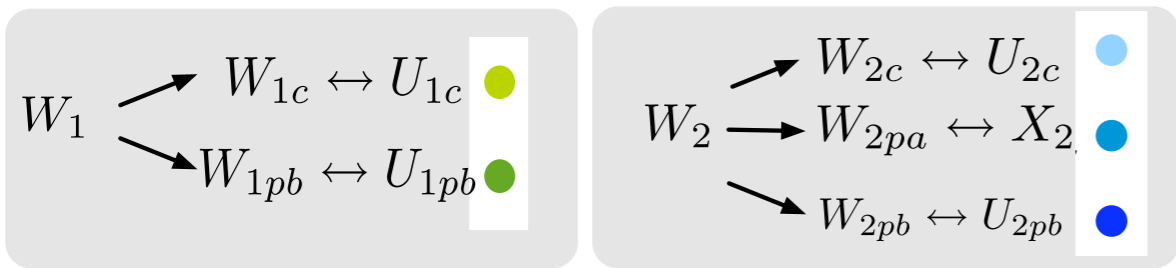
*c = common, p = private, a = alone, b = broadcast*



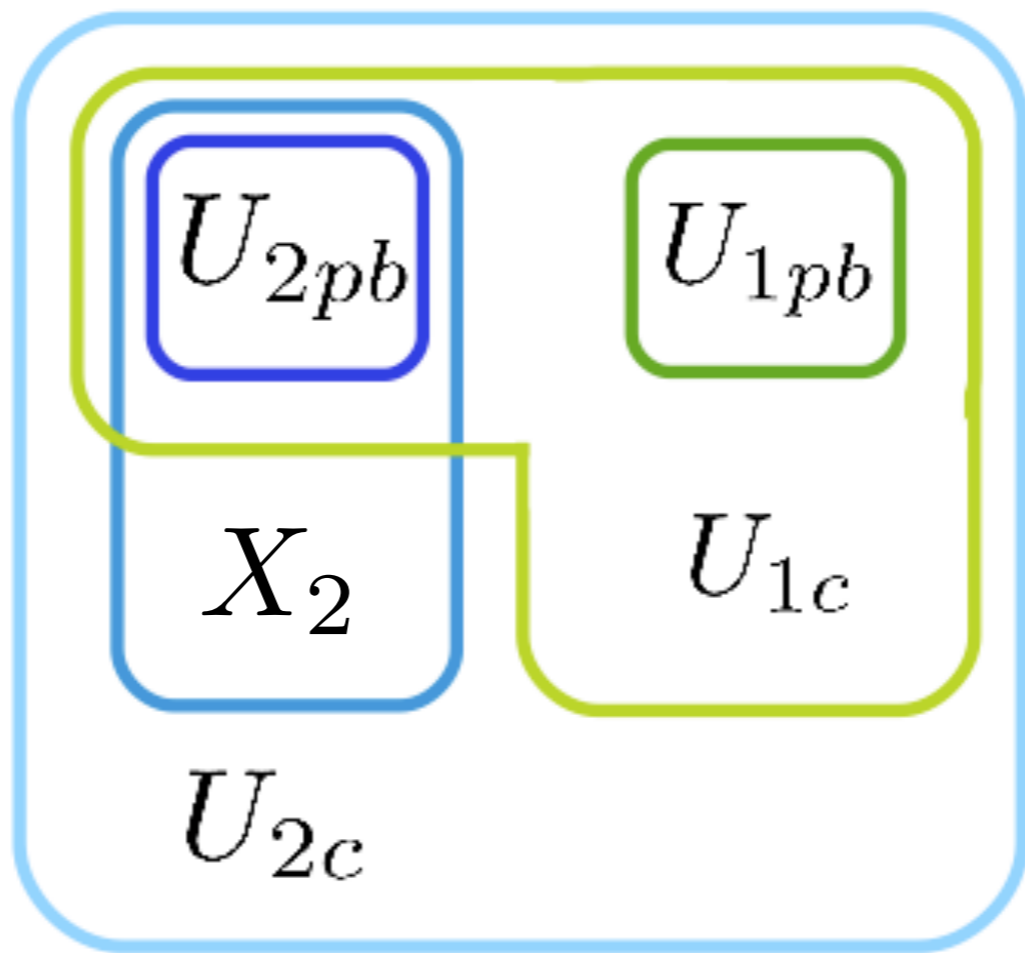
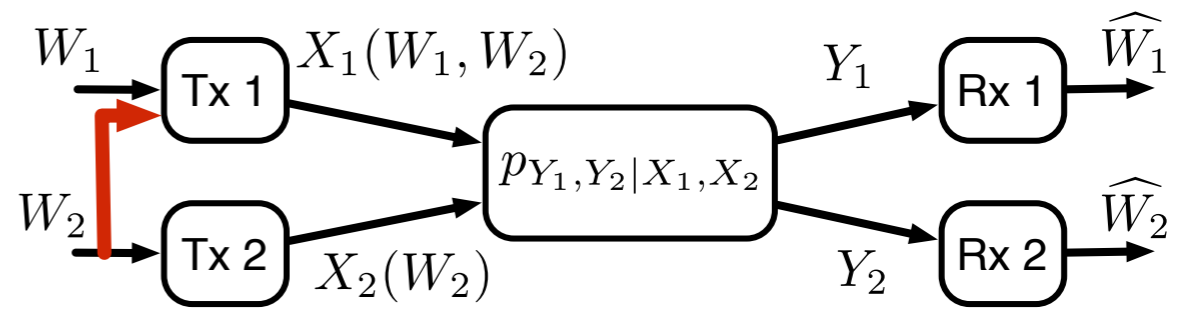
Superposition







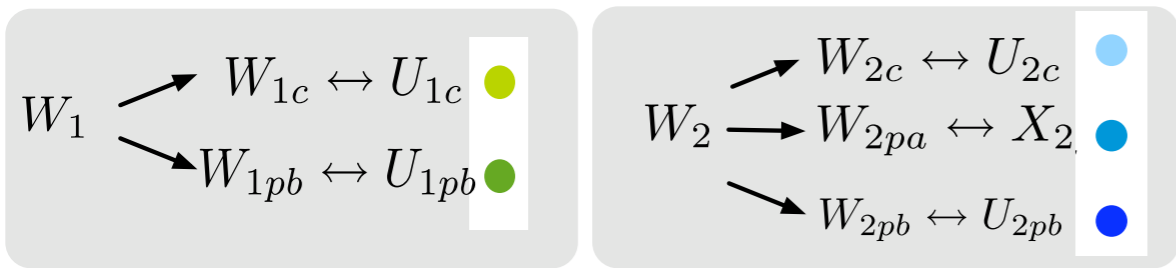
*c = common, p = private, a = alone, b = broadcast*



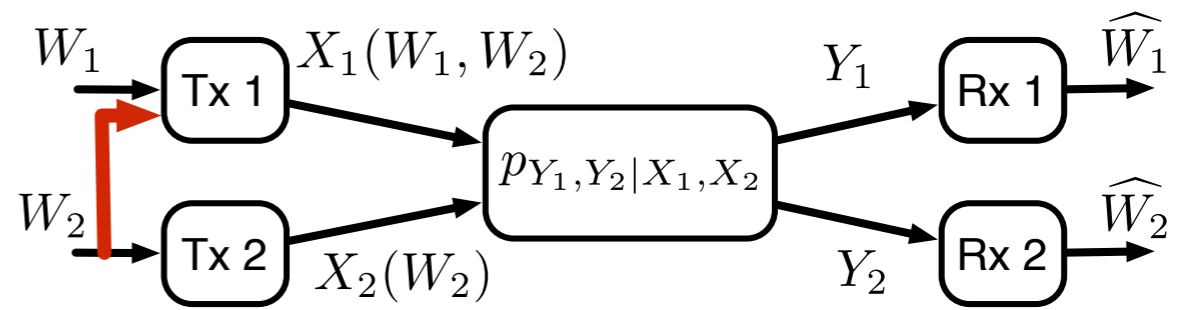
$p_{u_{2c}}$

Superposition



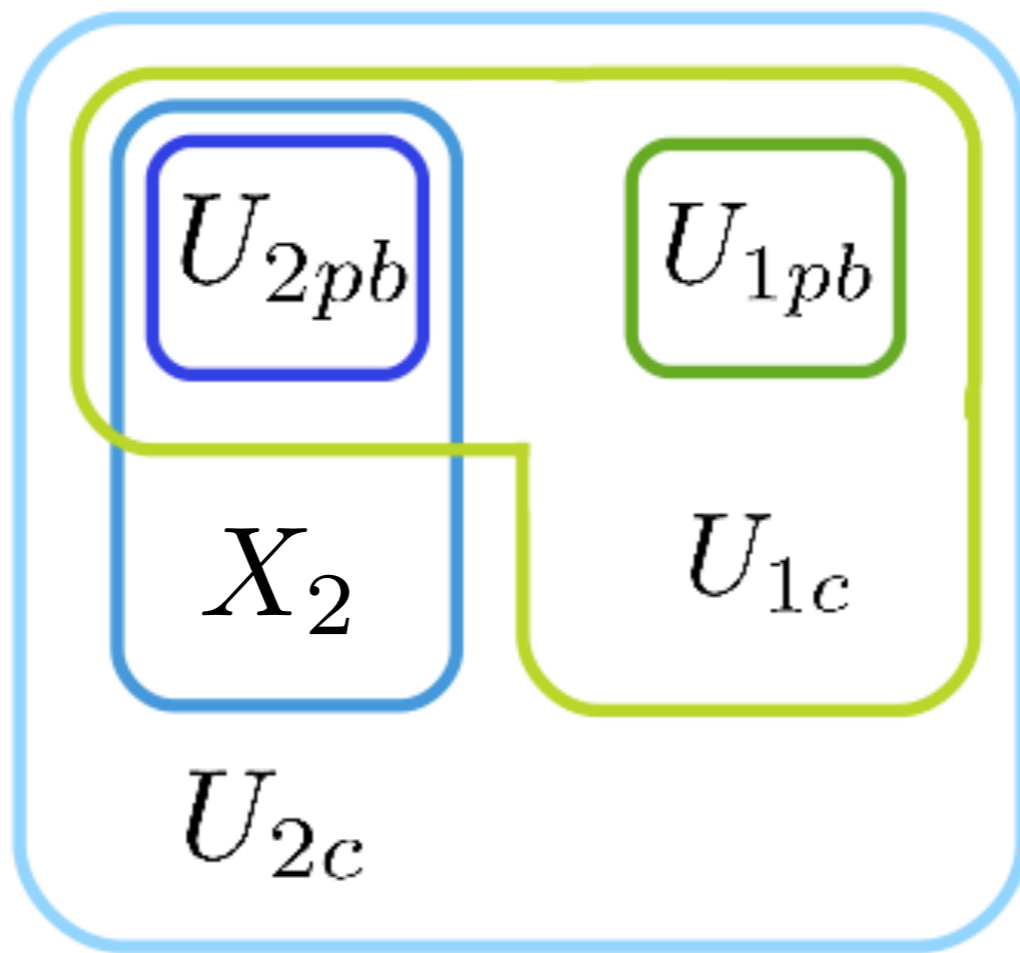


*c = common, p = private, a = alone, b = broadcast*



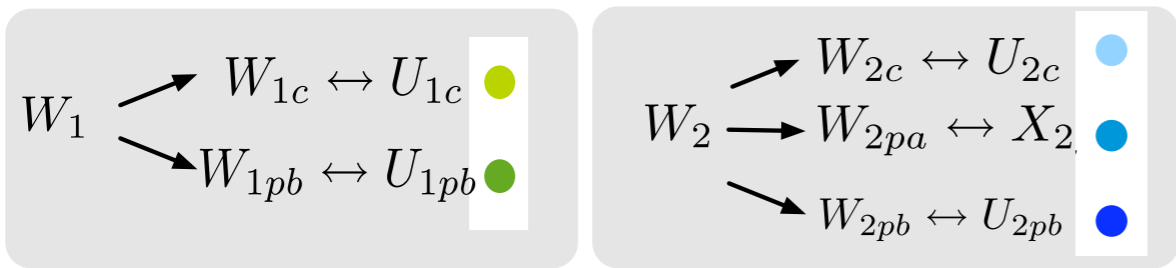
$p_{x_2 | u_{2c}}$

$p_{u_{2c}}$

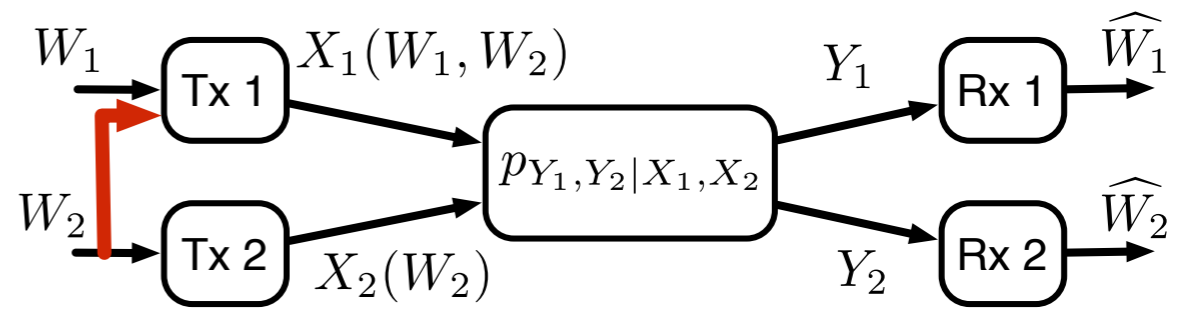


Superposition





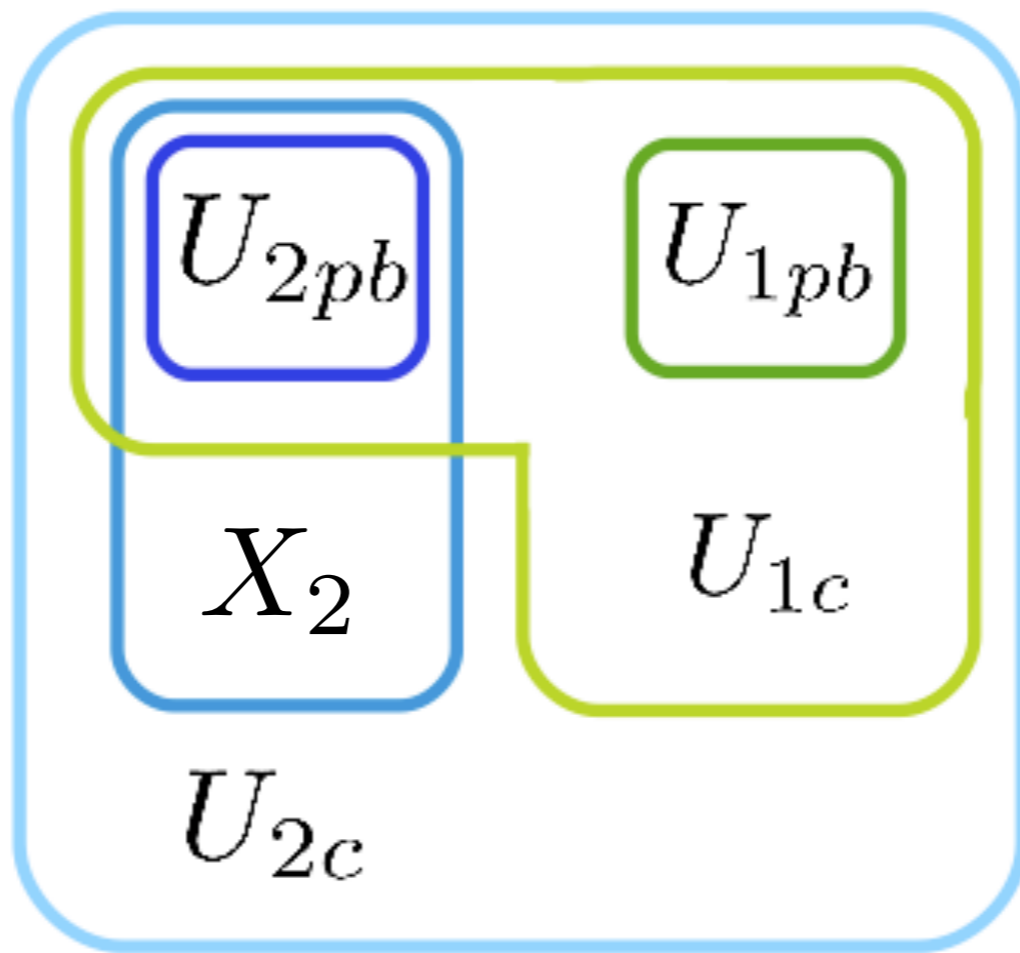
$c = \text{common}, p = \text{private},$   
 $a = \text{alone}, b = \text{broadcast}$



$$p_{u_{2pb} | u_{1c}, u_{2c}, x_2}$$

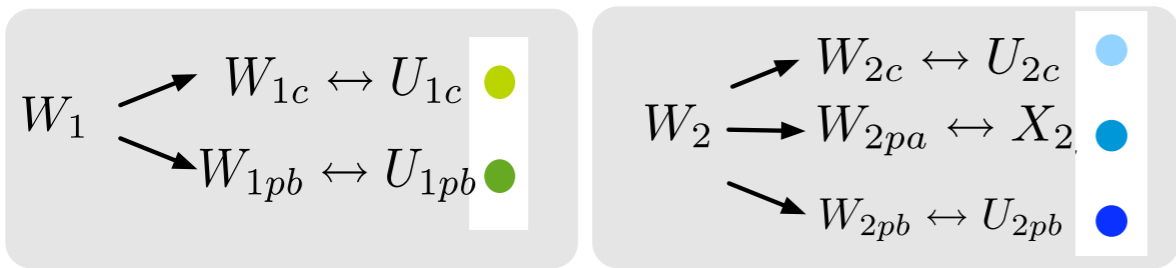
$$p_{x_2 | u_{2c}}$$

$$p_{u_{2c}}$$

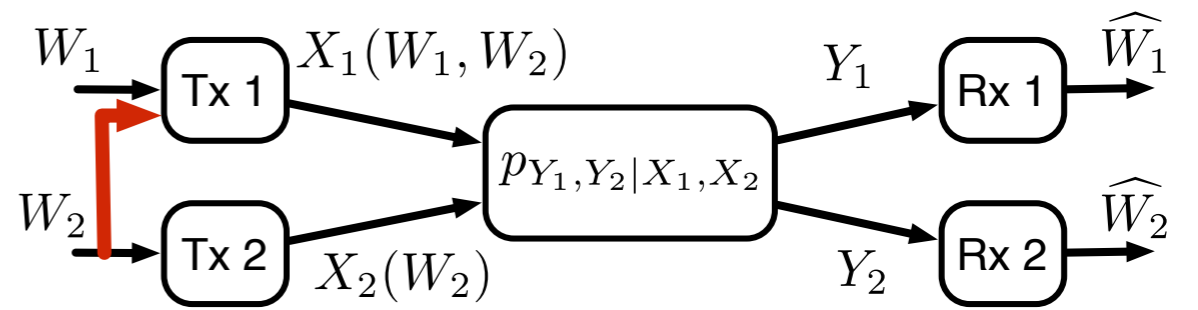


Superposition





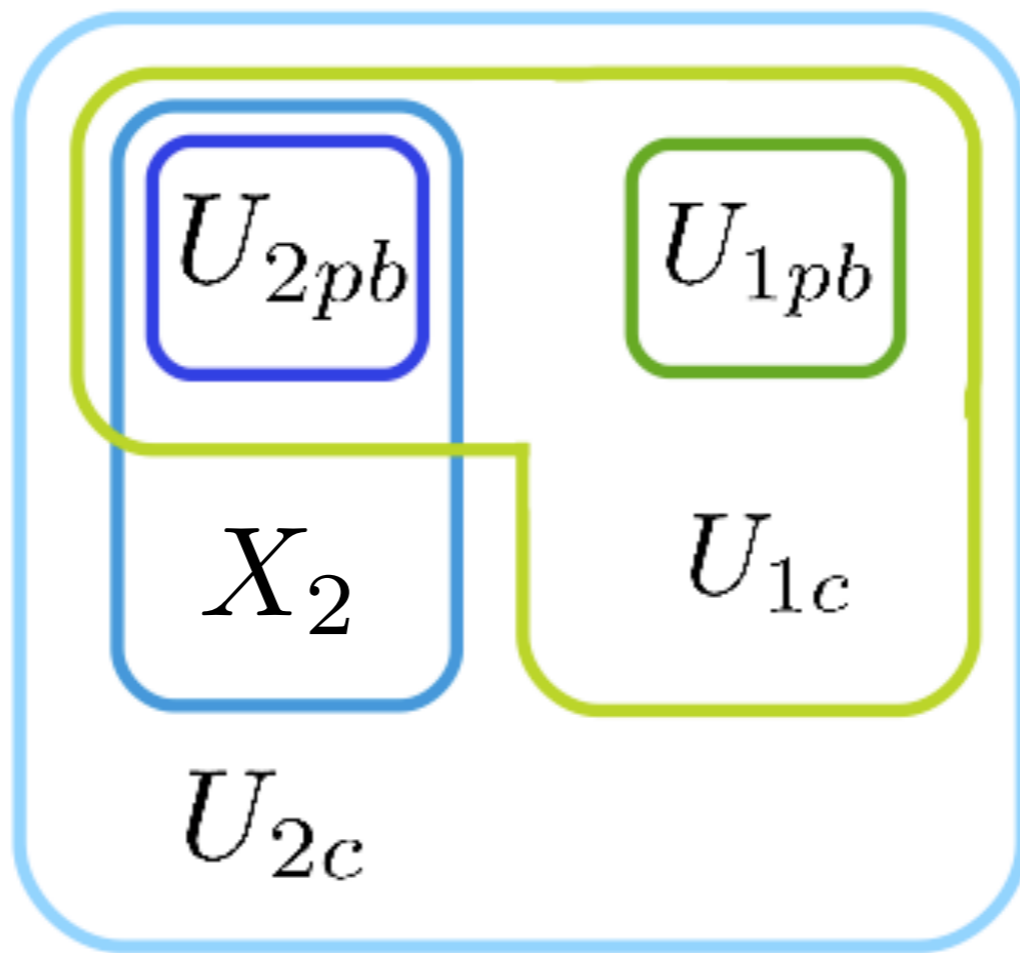
*c = common, p = private, a = alone, b = broadcast*



$$p_{u_{2pb} | u_{1c}, u_{2c}, x_2}$$

$$p_{x_2 | u_{2c}}$$

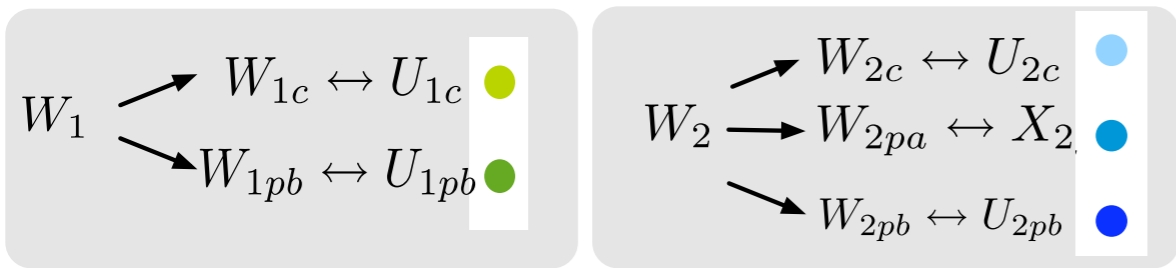
$$p_{u_{2c}}$$



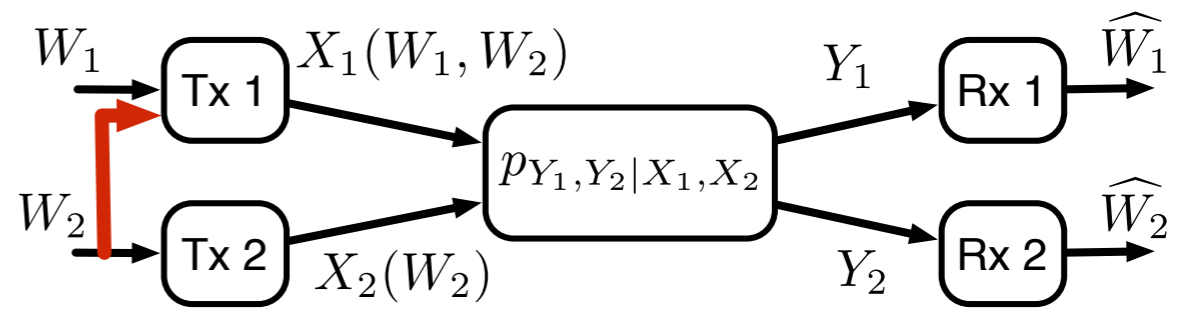
$$p_{u_{1c} | u_{2c}}$$

Superposition





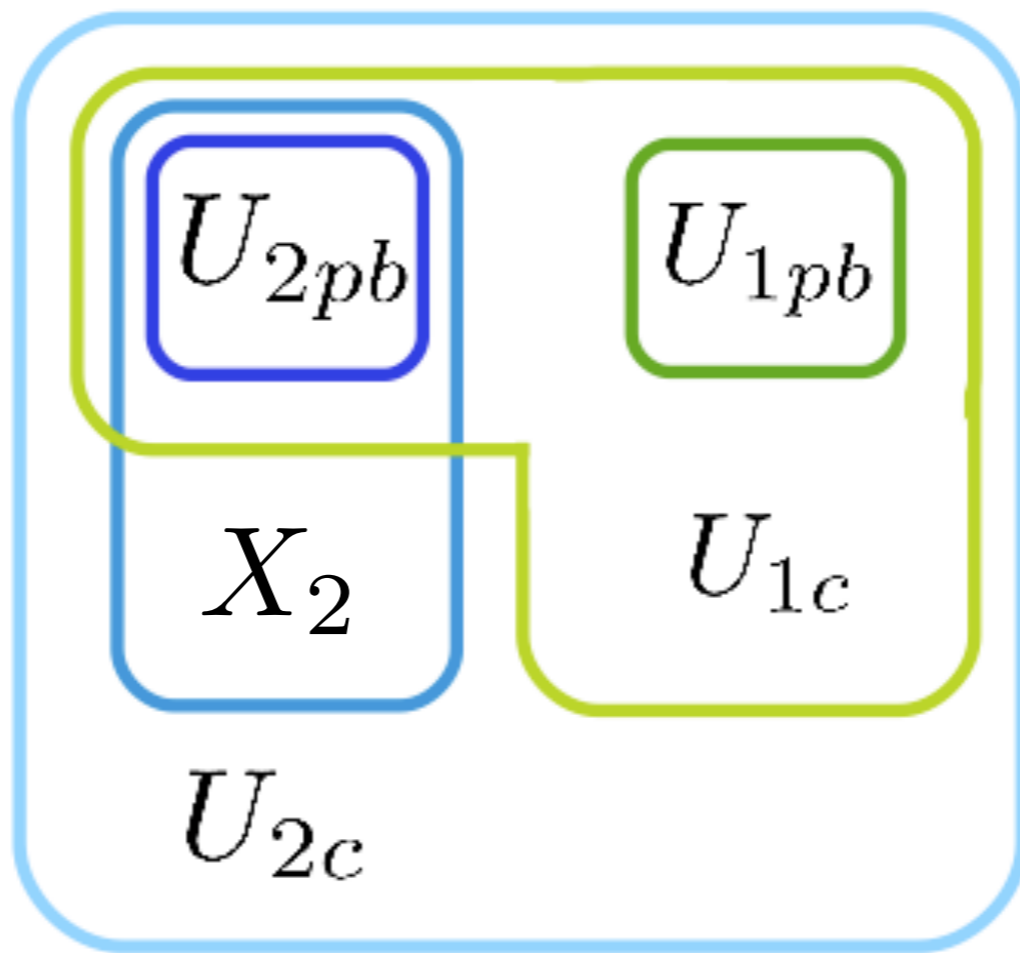
*c = common, p = private, a = alone, b = broadcast*



$$p_{u_{2pb} | u_{1c}, u_{2c}, x_2}$$

$$p_{x_2 | u_{2c}}$$

$$p_{u_{2c}}$$

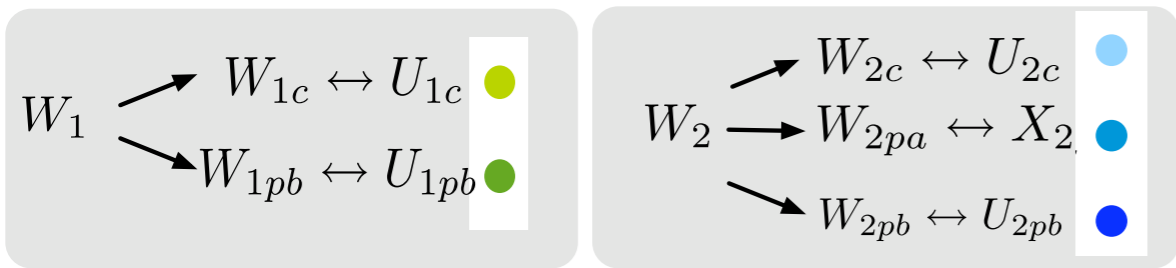


$$p_{u_{1pb} | u_{1c}, u_{2c}}$$

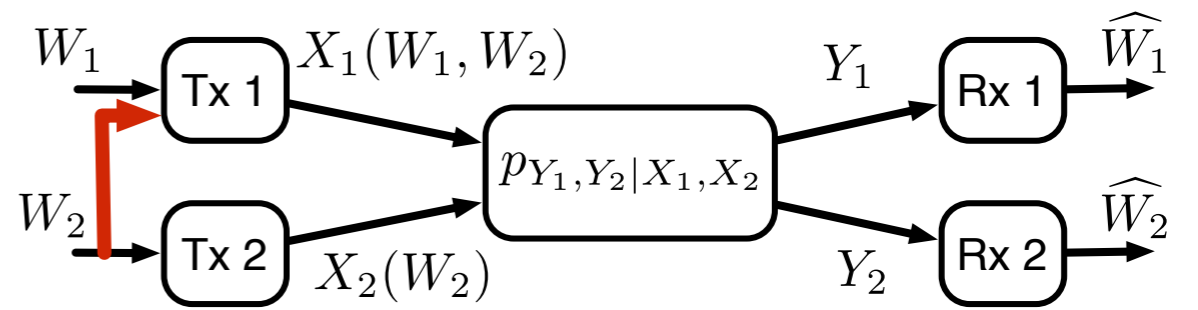
$$p_{u_{1c} | u_{2c}}$$

Superposition





*c = common, p = private, a = alone, b = broadcast*

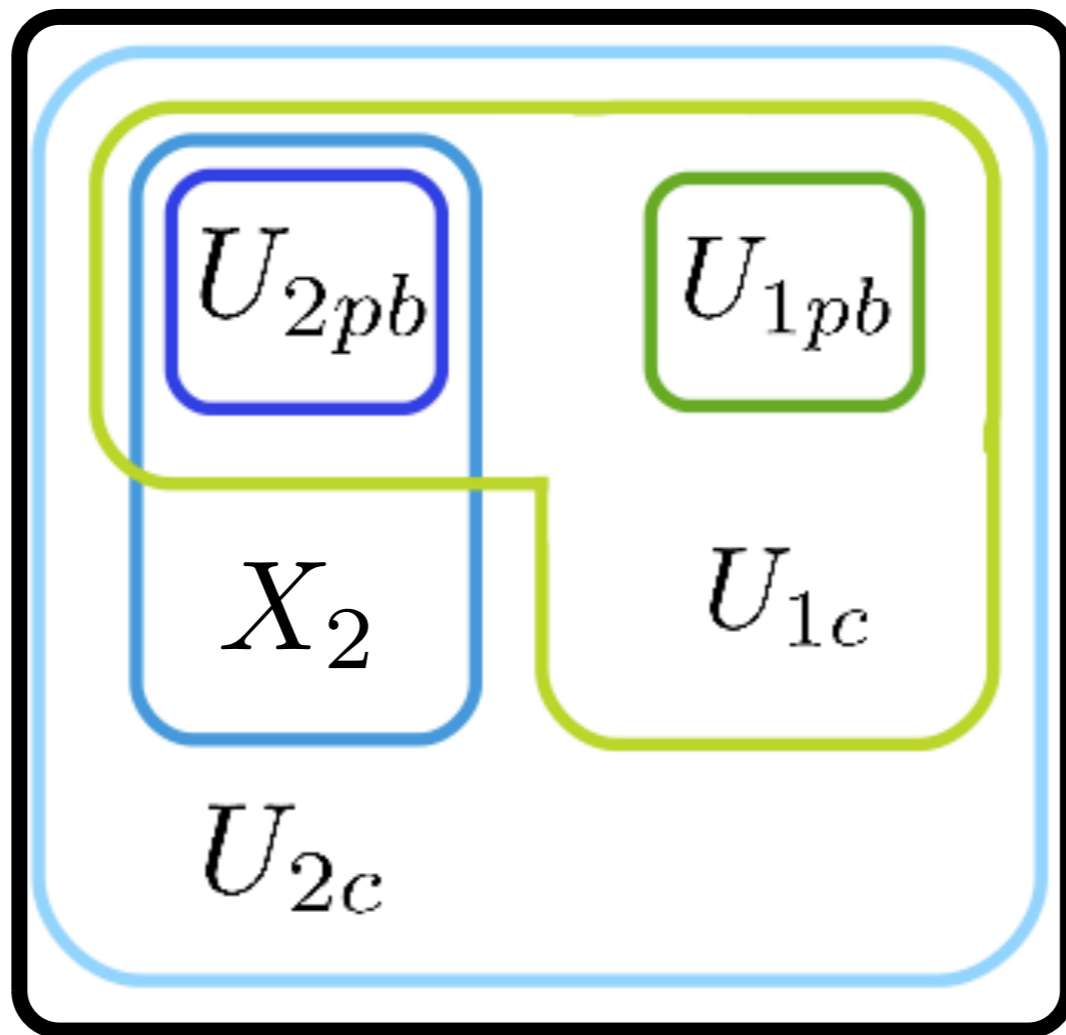


$$p_{x_1 | u_{2pb}, u_{1pb}, u_{1c}, u_{2c}, x_2}$$

$$p_{u_{2pb} | u_{1c}, u_{2c}, x_2}$$

$$p_{x_2 | u_{2c}}$$

$$p_{u_{2c}}$$



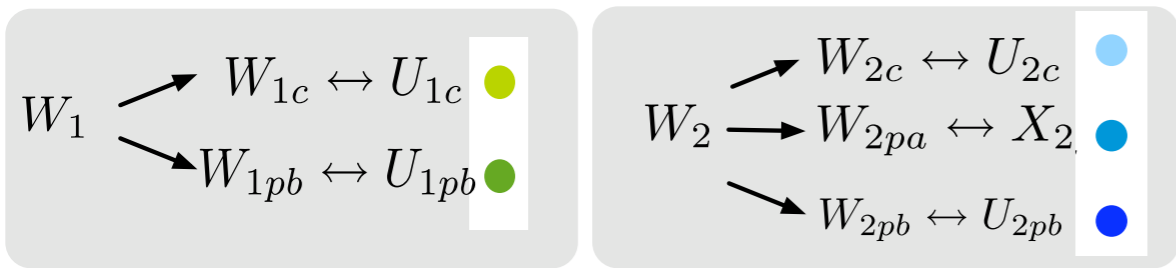
$$X_1$$

$$p_{u_{1pb} | u_{1c}, u_{2c}}$$

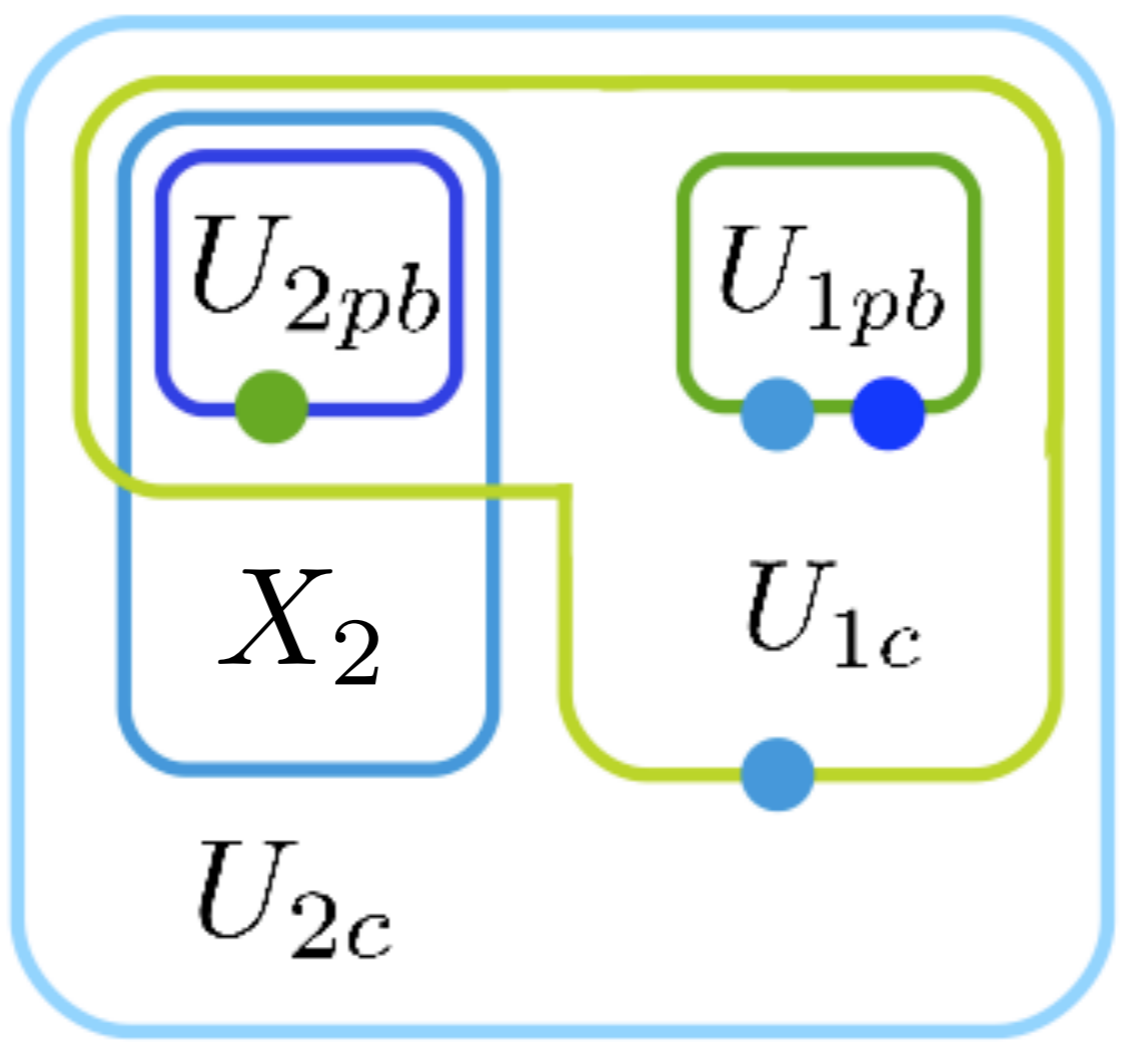
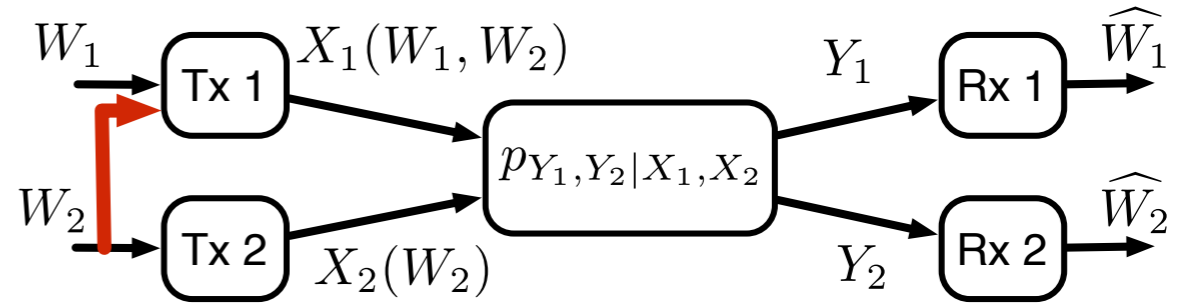
$$p_{u_{1c} | u_{2c}}$$

Superposition

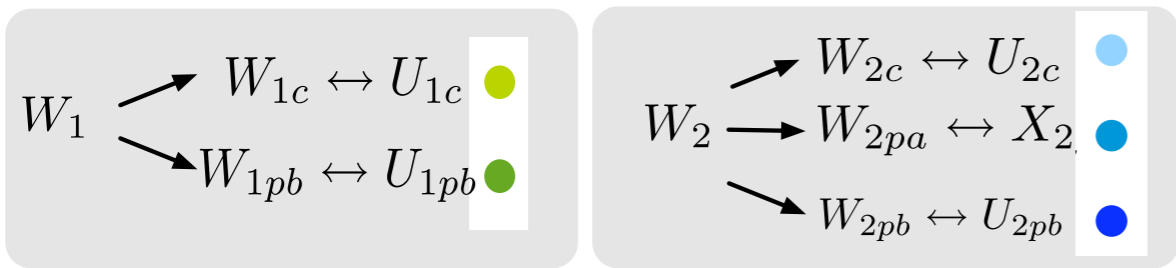




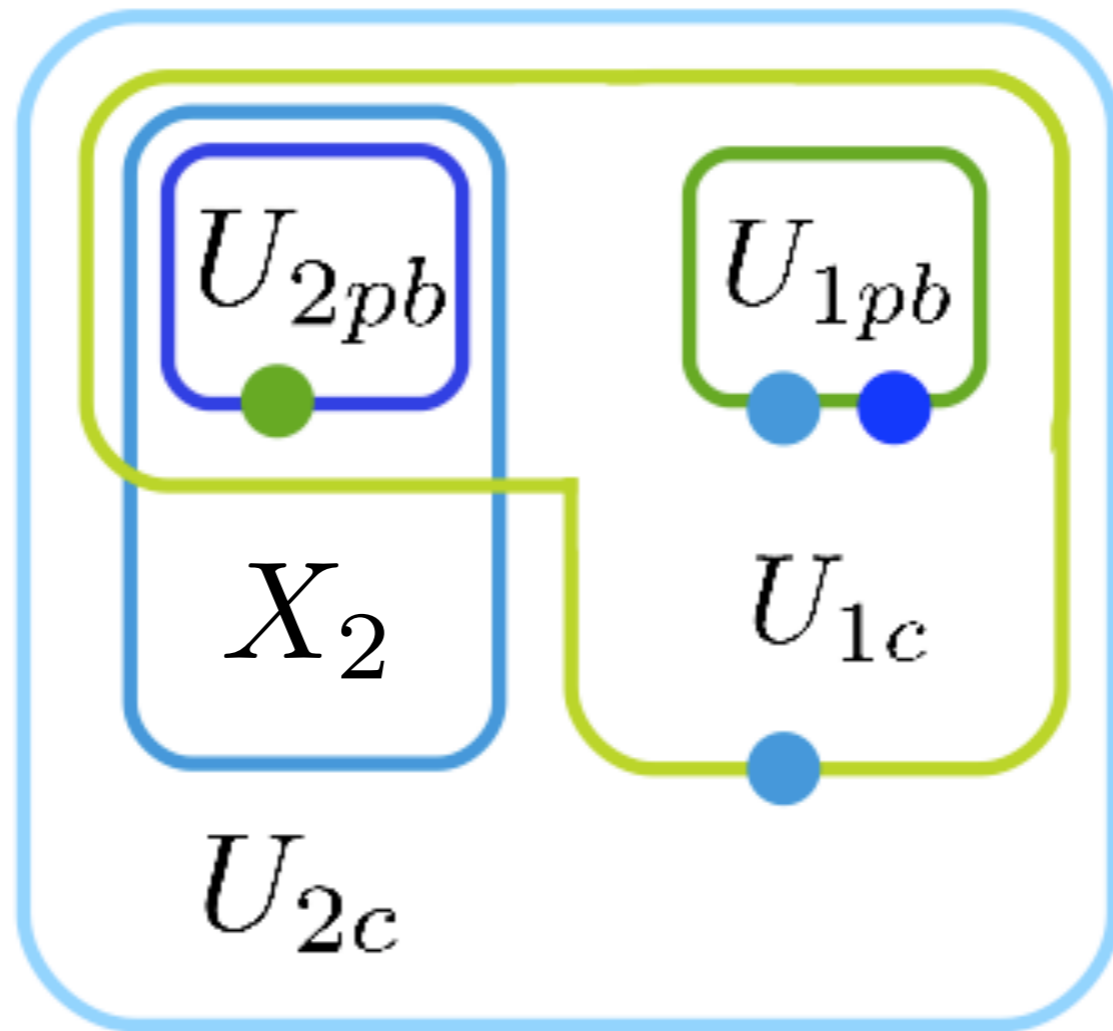
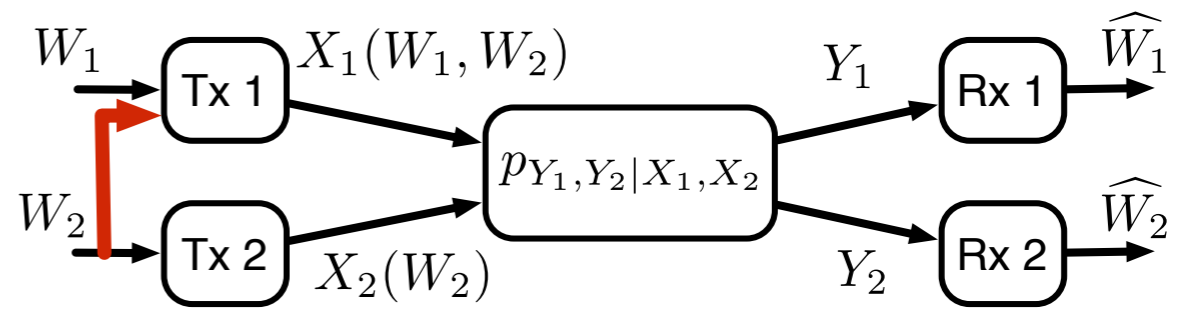
*c = common, p = private,  
 a = alone, b = broadcast*



Binning

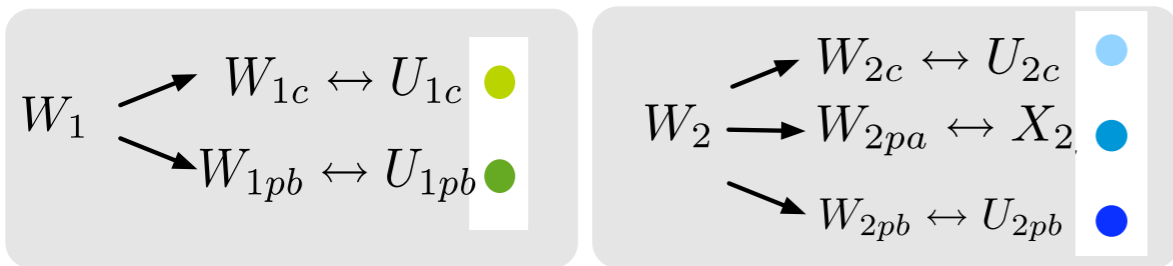


*c = common, p = private, a = alone, b = broadcast*

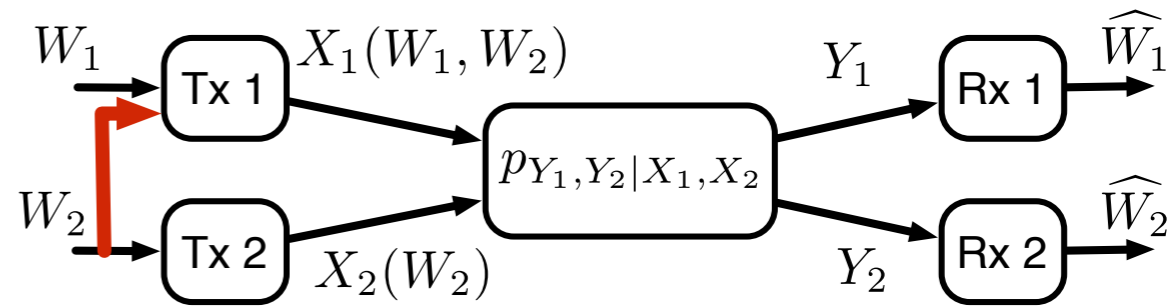


Binning ~ **Dirty paper coding!**





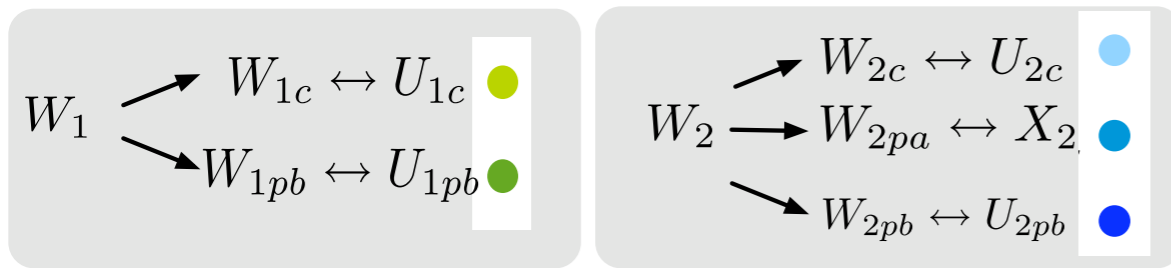
$c = \text{common}, p = \text{private},$   
 $a = \text{alone}, b = \text{broadcast}$



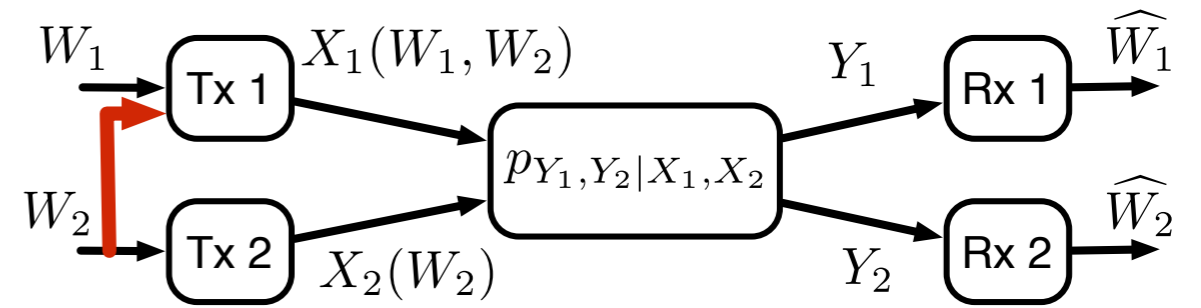
$$\begin{aligned}
 R'_{1c} &\geq I(U_{1c}; X_2 | U_{2c}) \\
 R'_{1c} + R'_{1pb} &\geq I(U_{1pb}, U_{1c}; X_2 | U_{2c}) \\
 R'_{1c} + R'_{1pb} + R'_{2pb} &\geq I(U_{1pb}, U_{1c}; X_2 | U_{2c}) + I(U_{2pb}; U_{1pb} | U_{1c}, U_{2c}, X_2) \\
 R_{2c} + R_{2pa} + (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c}, X_2, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 R_{2pa} + (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c}, X_2 | U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 R_{2pa} + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, X_2 | U_{1c}, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c} | X_2, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb} | U_{1c}, X_2, U_{2c}) \\
 R_{2c} + (R_{1c} + R'_{1c}) + (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb}, U_{1c}, U_{2c}), \\
 (R_{1c} + R'_{1c}) + (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb}, U_{1c} | U_{2c}), \\
 (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb} | U_{1c}, U_{2c}),
 \end{aligned}$$

for some input distribution

$$p_{Y_1, Y_2, X_1, X_2, U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} = p_{U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} p_{X_1, X_2 | U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} p_{Y_1, Y_2 | X_1, X_2}.$$



$c = \text{common}, p = \text{private},$   
 $a = \text{alone}, b = \text{broadcast}$

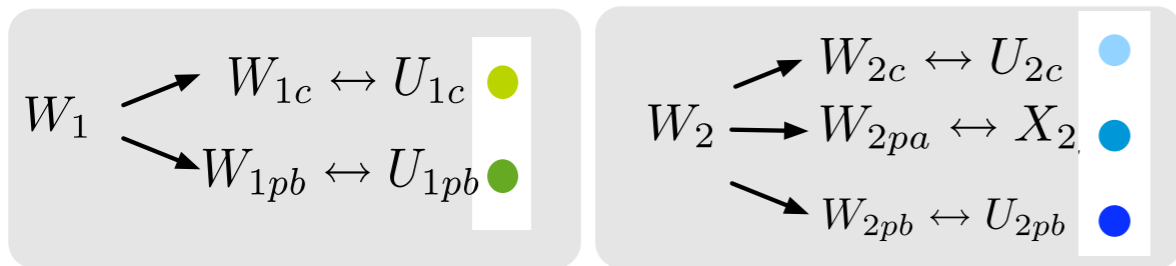


# Analytically shown to be largest known region

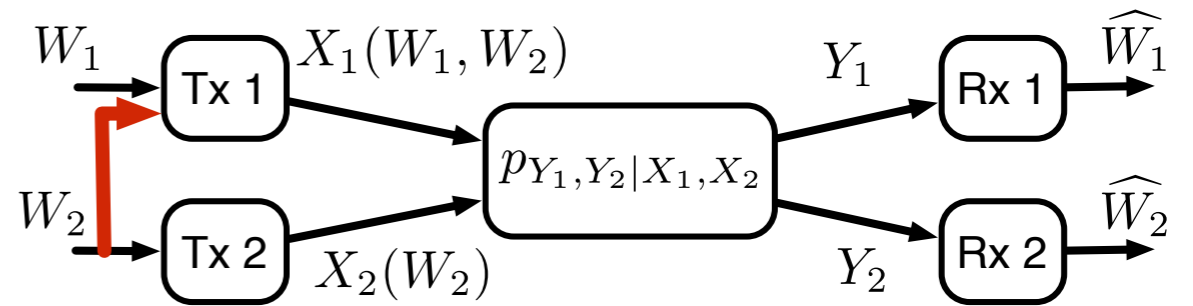
$$\begin{aligned}
 R'_{1c} &\geq I(U_{1c}; X_2 | U_{2c}) \\
 R'_{1c} + R'_{1pb} &\geq I(U_{1pb}, U_{1c}; X_2 | U_{2c}) \\
 R'_{1c} + R'_{1pb} + R'_{2pb} &\geq I(U_{1pb}, U_{1c}; X_2 | U_{2c}) + I(U_{2pb}; U_{1pb} | U_{1c}, U_{2c}, X_2) \\
 R_{2c} + R_{2pa} + (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c}, X_2, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 R_{2pa} + (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c}, X_2 | U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 R_{2pa} + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, X_2 | U_{1c}, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c} | X_2, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb} | U_{1c}, X_2, U_{2c}) \\
 R_{2c} + (R_{1c} + R'_{1c}) + (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb}, U_{1c}, U_{2c}), \\
 (R_{1c} + R'_{1c}) + (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb}, U_{1c} | U_{2c}), \\
 (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb} | U_{1c}, U_{2c}),
 \end{aligned}$$

for some input distribution

$$p_{Y_1, Y_2, X_1, X_2, U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} = p_{U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} p_{X_1, X_2 | U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} p_{Y_1, Y_2 | X_1, X_2}.$$



$c = \text{common}, p = \text{private},$   
 $a = \text{alone}, b = \text{broadcast}$



# Analytically shown to be largest known region

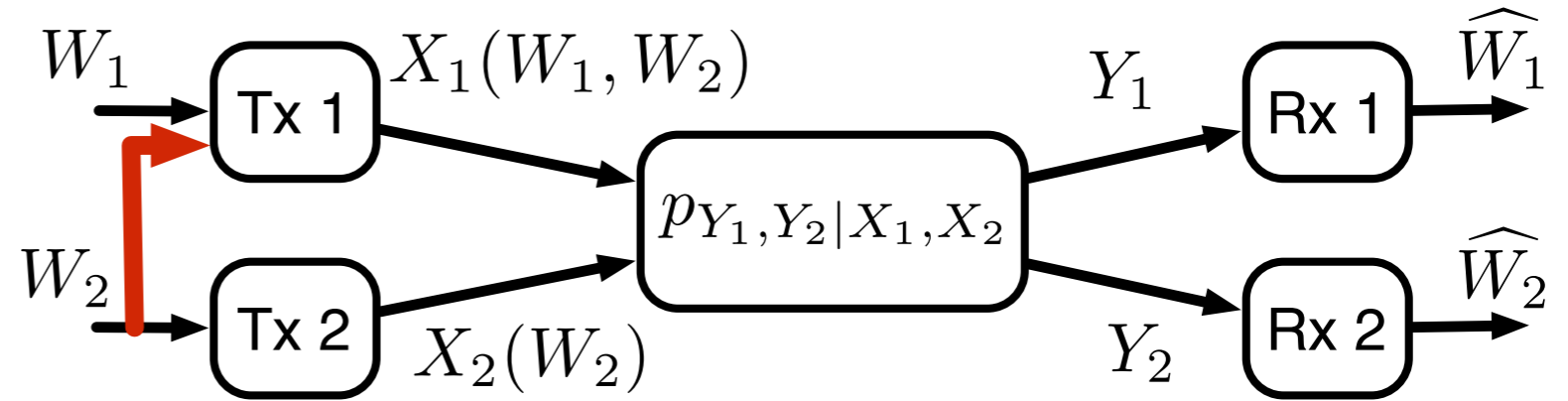
$$\begin{aligned}
 R'_{1c} &\geq I(U_{1c}; X_2 | U_{2c}) \\
 R'_{1c} + R'_{1pb} &\geq I(U_{1pb}, U_{1c}; X_2 | U_{2c}) \\
 R'_{1c} + R'_{1pb} + R'_{2pb} &\geq I(U_{1pb}, U_{1c}; X_2 | U_{2c}) + I(U_{2pb}; U_{1pb} | U_{1c}, U_{2c}, X_2) \\
 R_{2c} + R_{2pa} + (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c}, X_2, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 R_{2pa} + (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c}, X_2 | U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 R_{2pa} + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, X_2 | U_{1c}, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 (R_{1c} + R'_{1c}) + (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb}, U_{1c} | X_2, U_{2c}) + I(U_{1c}; X_2 | U_{2c}) \\
 (R_{2pb} + R'_{2pb}) &\leq I(Y_2; U_{2pb} | U_{1c}, X_2, U_{2c}) \\
 R_{2c} + (R_{1c} + R'_{1c}) + (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb}, U_{1c}, U_{2c}), \\
 (R_{1c} + R'_{1c}) + (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb}, U_{1c} | U_{2c}), \\
 (R_{1pb} + R'_{1pb}) &\leq I(Y_1; U_{1pb} | U_{1c}, U_{2c}),
 \end{aligned}$$

for some input distribution

$$p_{Y_1, Y_2, X_1, X_2, U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} = p_{U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} p_{X_1, X_2 | U_{1c}, U_{2c}, U_{1pb}, U_{2pb}} p_{Y_1, Y_2 | X_1, X_2}$$

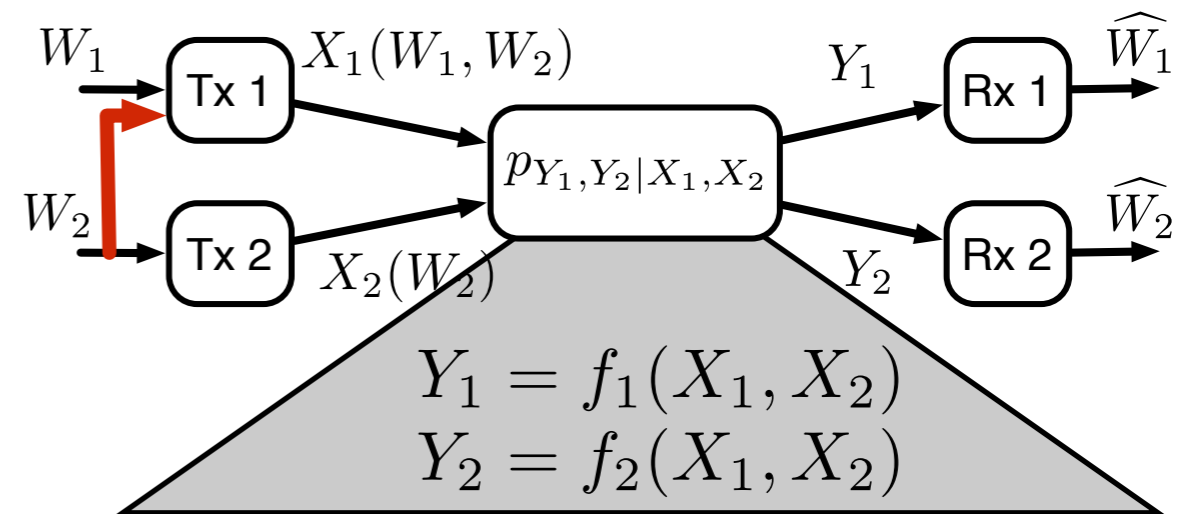
# Reduces to capacity whenever it is known

# Contributions

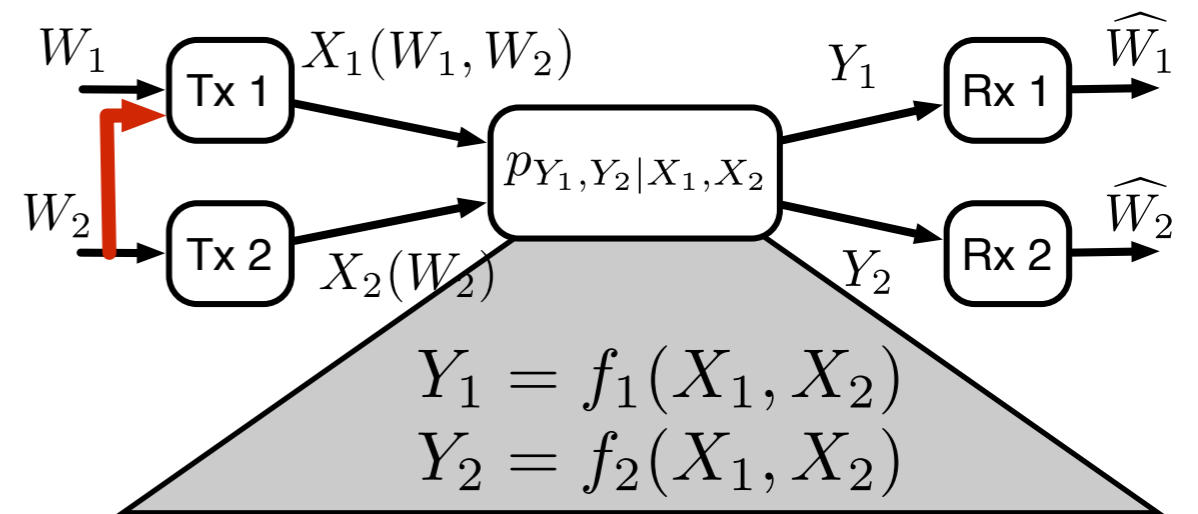


- new inner bound (*largest region*)
- new outer bound (*not tightest, but computable*)
- capacity for deterministic channels (*also semi-deterministic*)
- 1.8 bit gap result for Gaussian channels (*preliminary simulations show smaller gap*)

# Capacity region for deterministic channels



# Capacity region for deterministic channels



Capacity region is

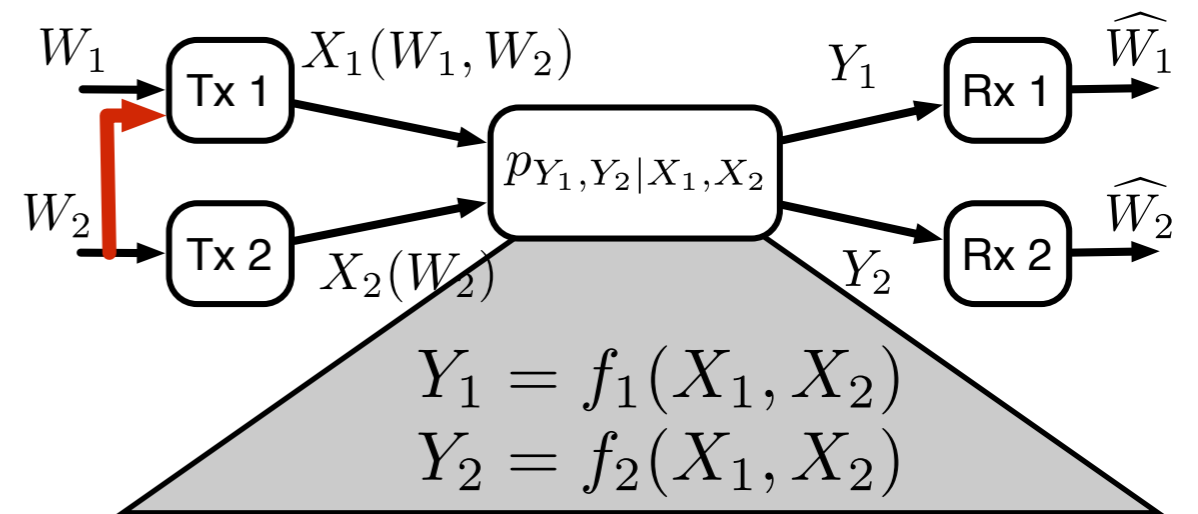
$$R_1 \leq H(Y_1 | X_2)$$

$$R_2 \leq H(Y_2)$$

$$R_1 + R_2 \leq H(Y_2) + H(Y_1 | X_2, Y_2)$$

for the deterministic *(semi-deterministic, linear high SNR deterministic)* channel

# Capacity region for deterministic channels



Capacity region is

$$R_1 \leq H(Y_1 | X_2)$$

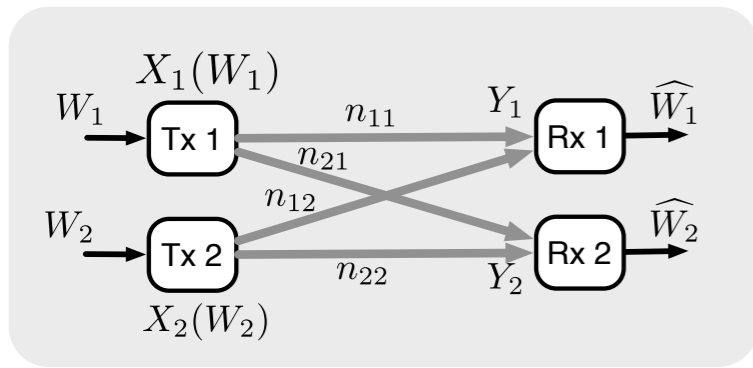
$$R_2 \leq H(Y_2)$$

$$R_1 + R_2 \leq H(Y_2) + H(Y_1 | X_2, Y_2)$$

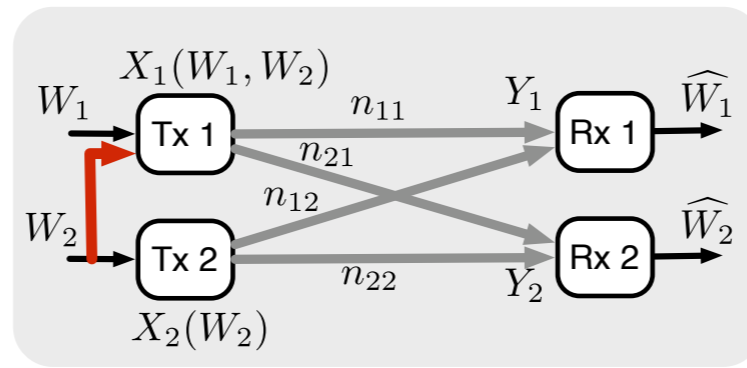
for the deterministic *(semi-deterministic, linear high SNR deterministic)* channel

**We have capacity!**

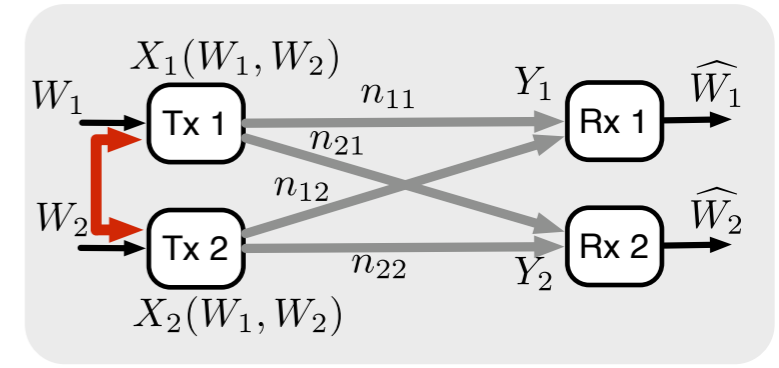
# High-SNR linear deterministic cognitive interference channel



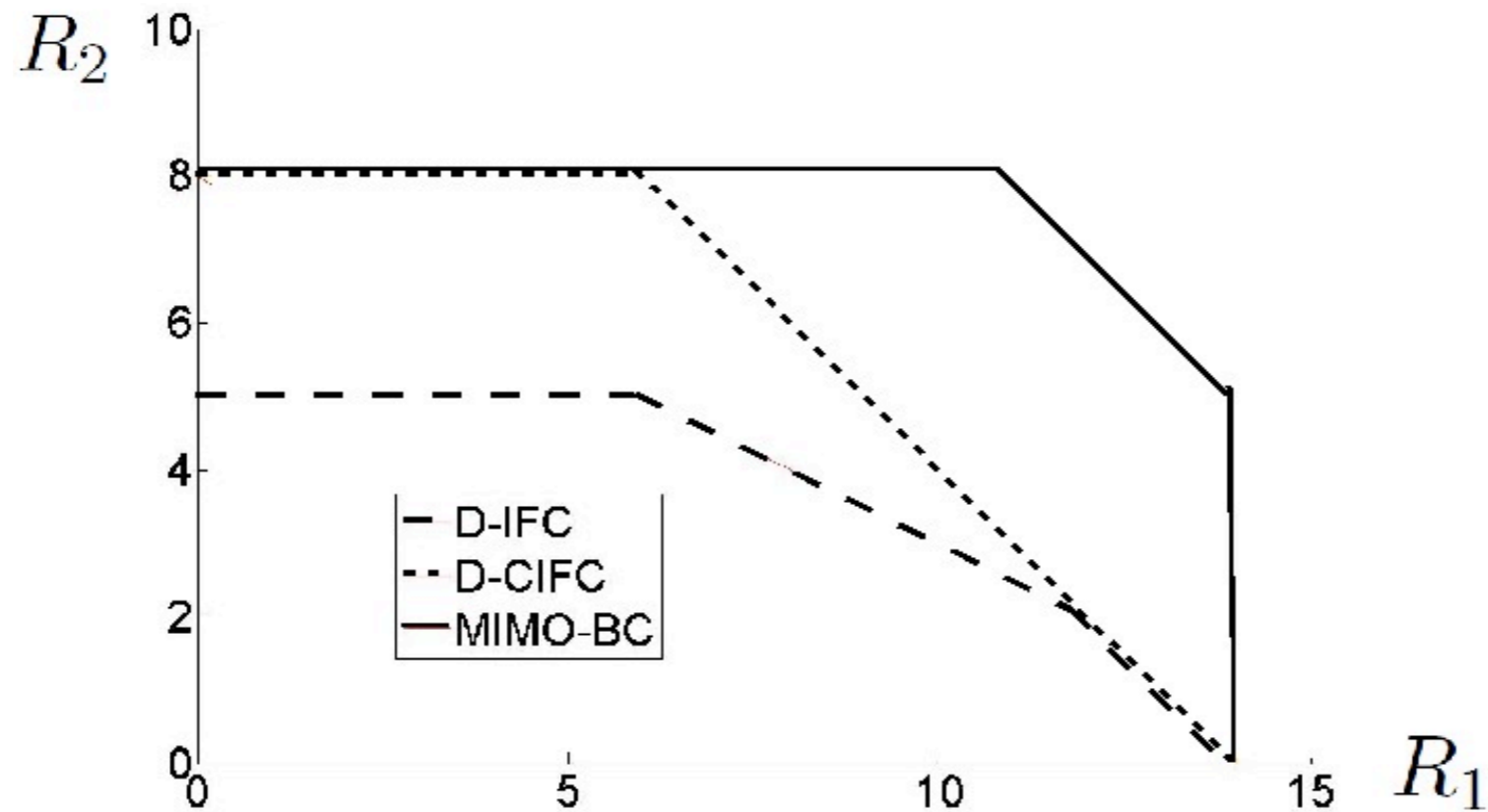
D-IFC



D-CIFC

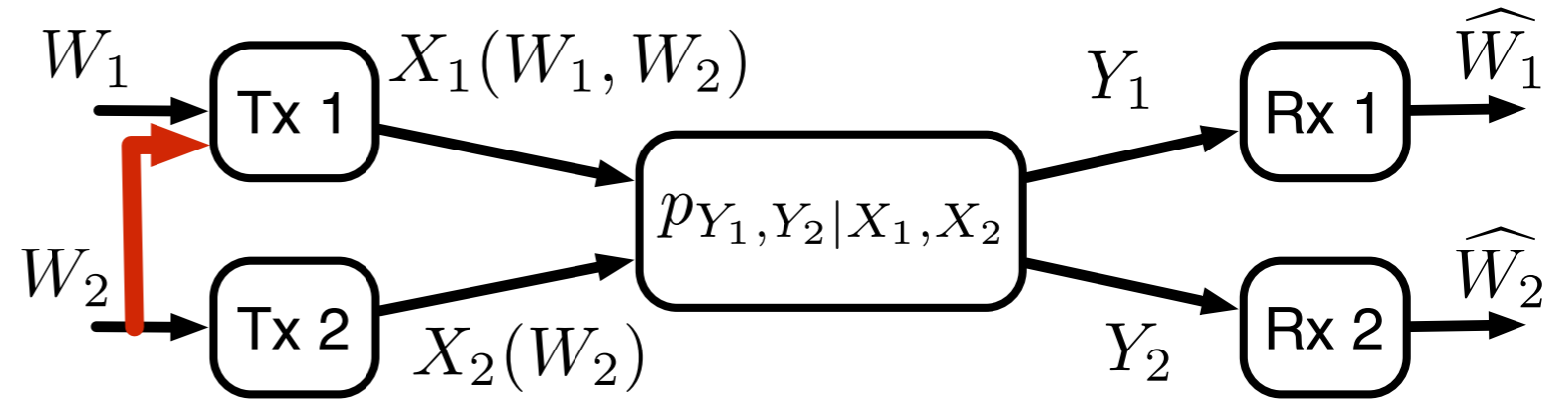


D-MIMO-BC



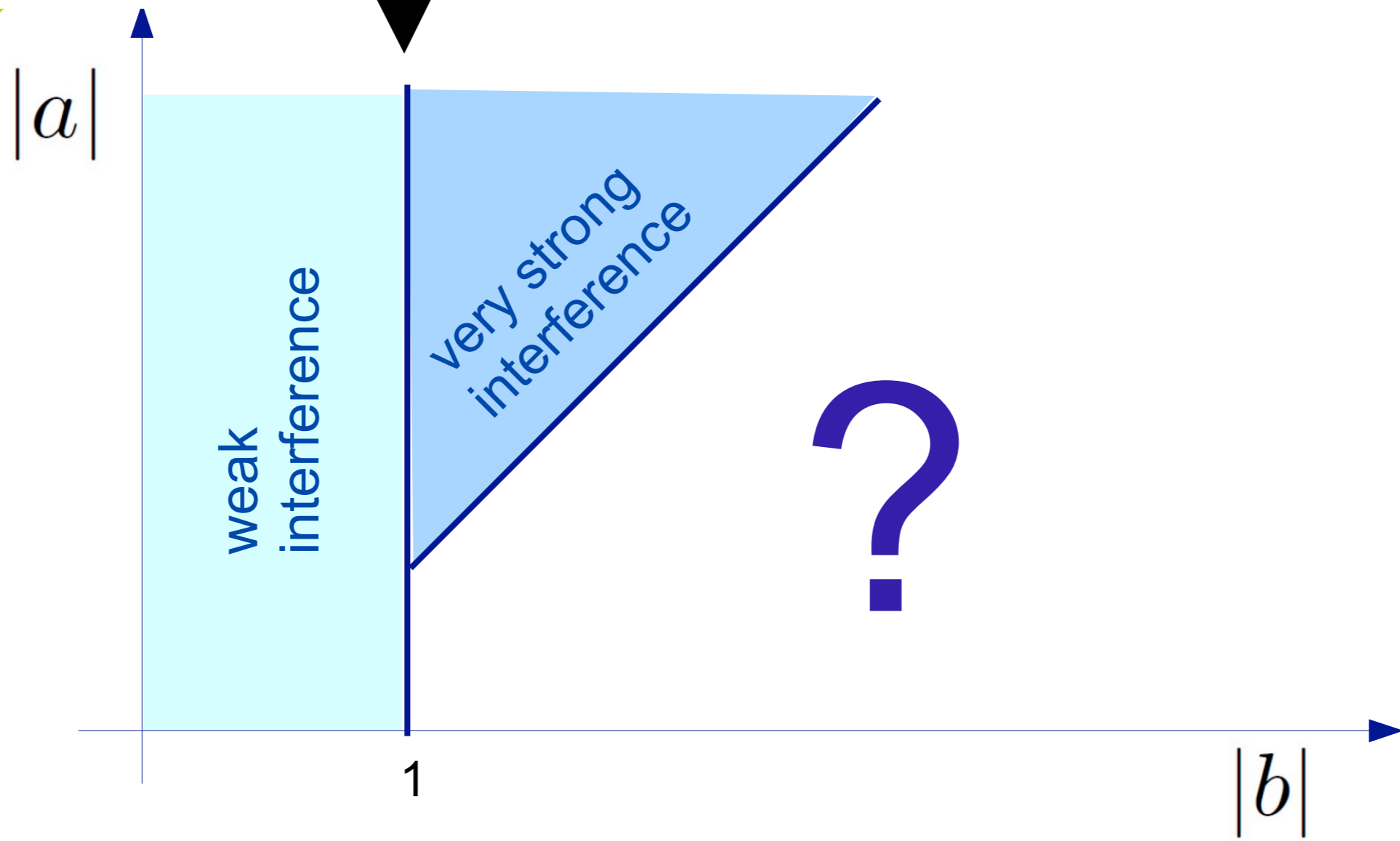
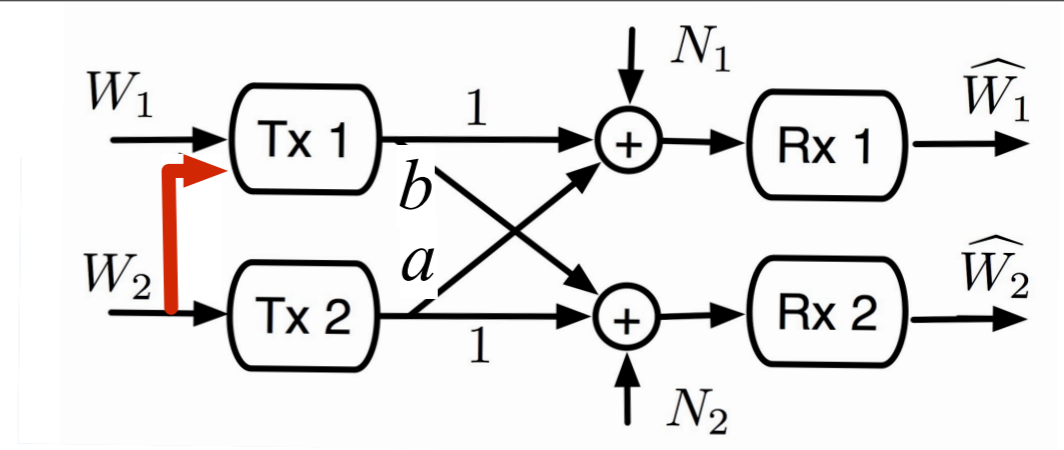


# Contributions

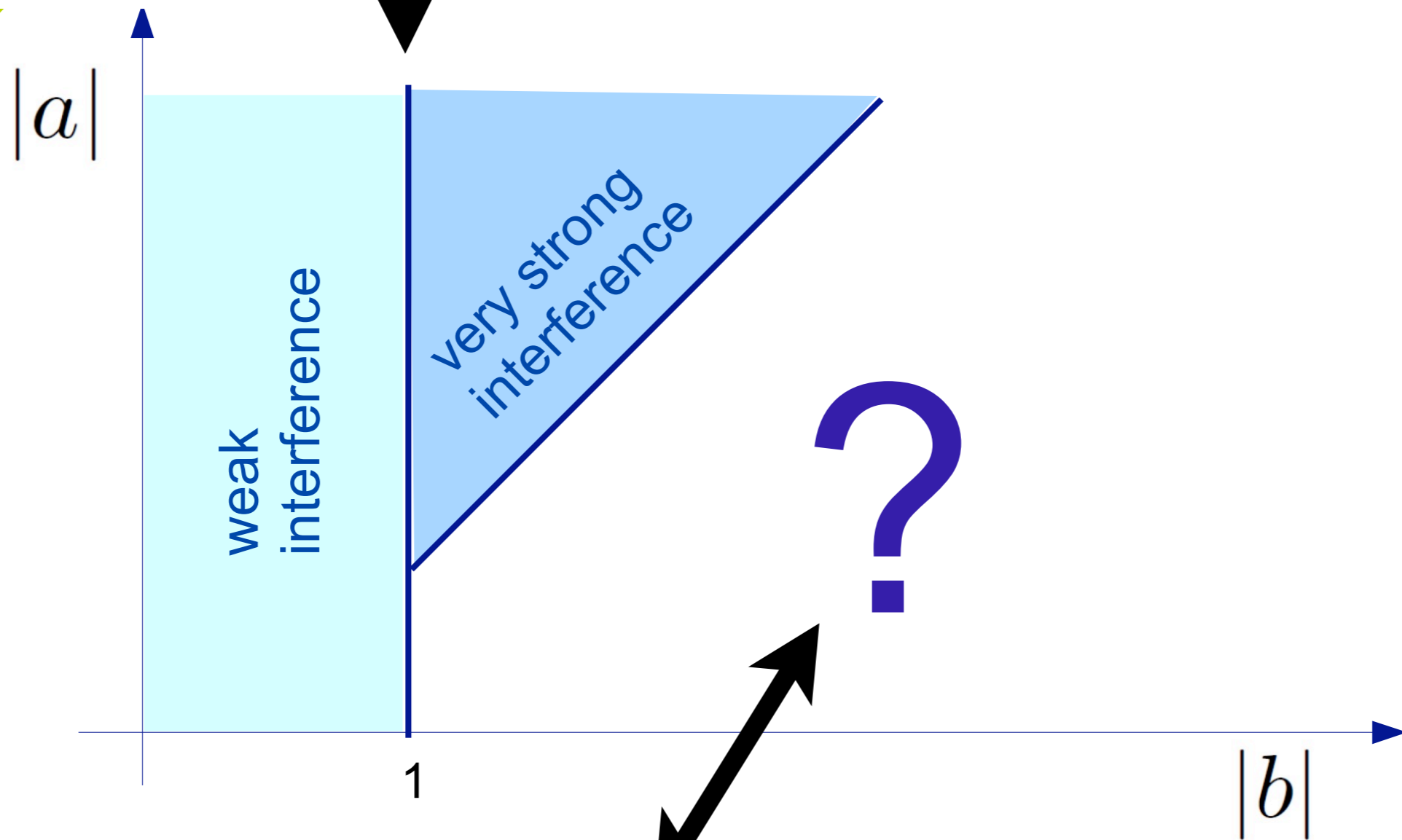
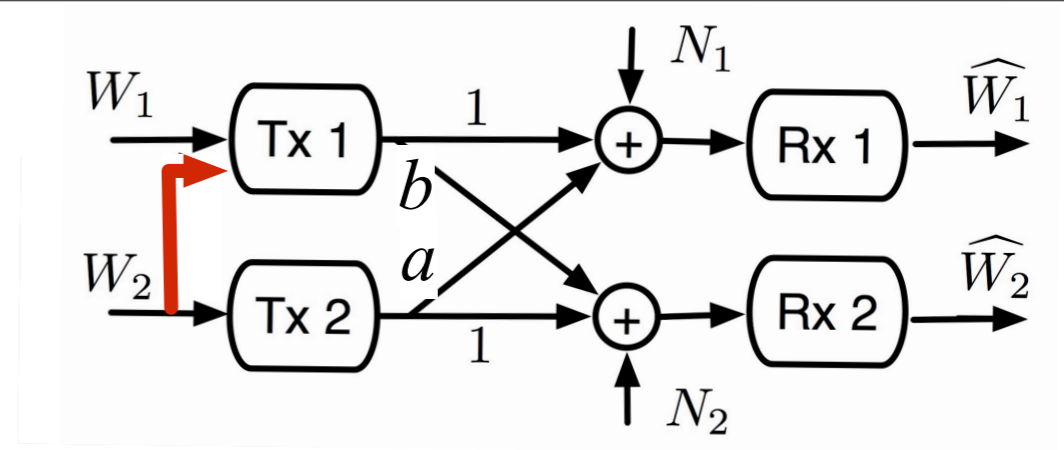


- new inner bound (*largest region*)
- new outer bound (*not tightest, but computable*)
- capacity for deterministic channels (*also semi-deterministic*)
- 1.8 bit gap result for Gaussian channels (*recently reduced to 1 bit gap*)

# Known Gaussian results

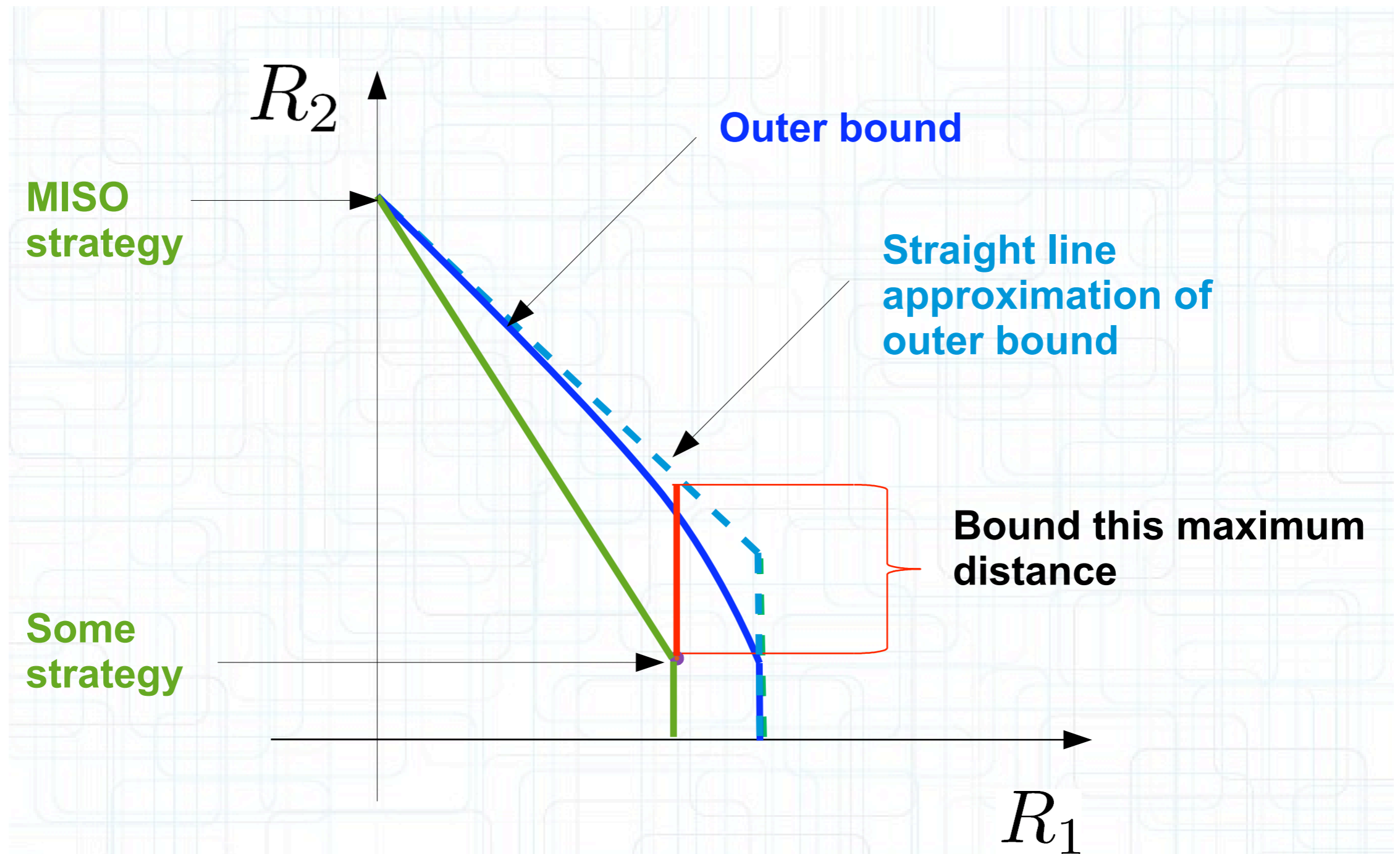
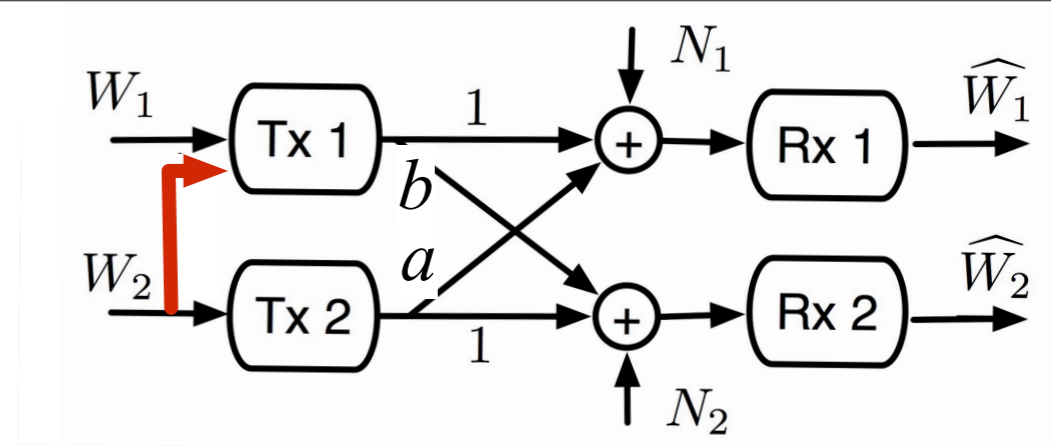


# Known Gaussian results

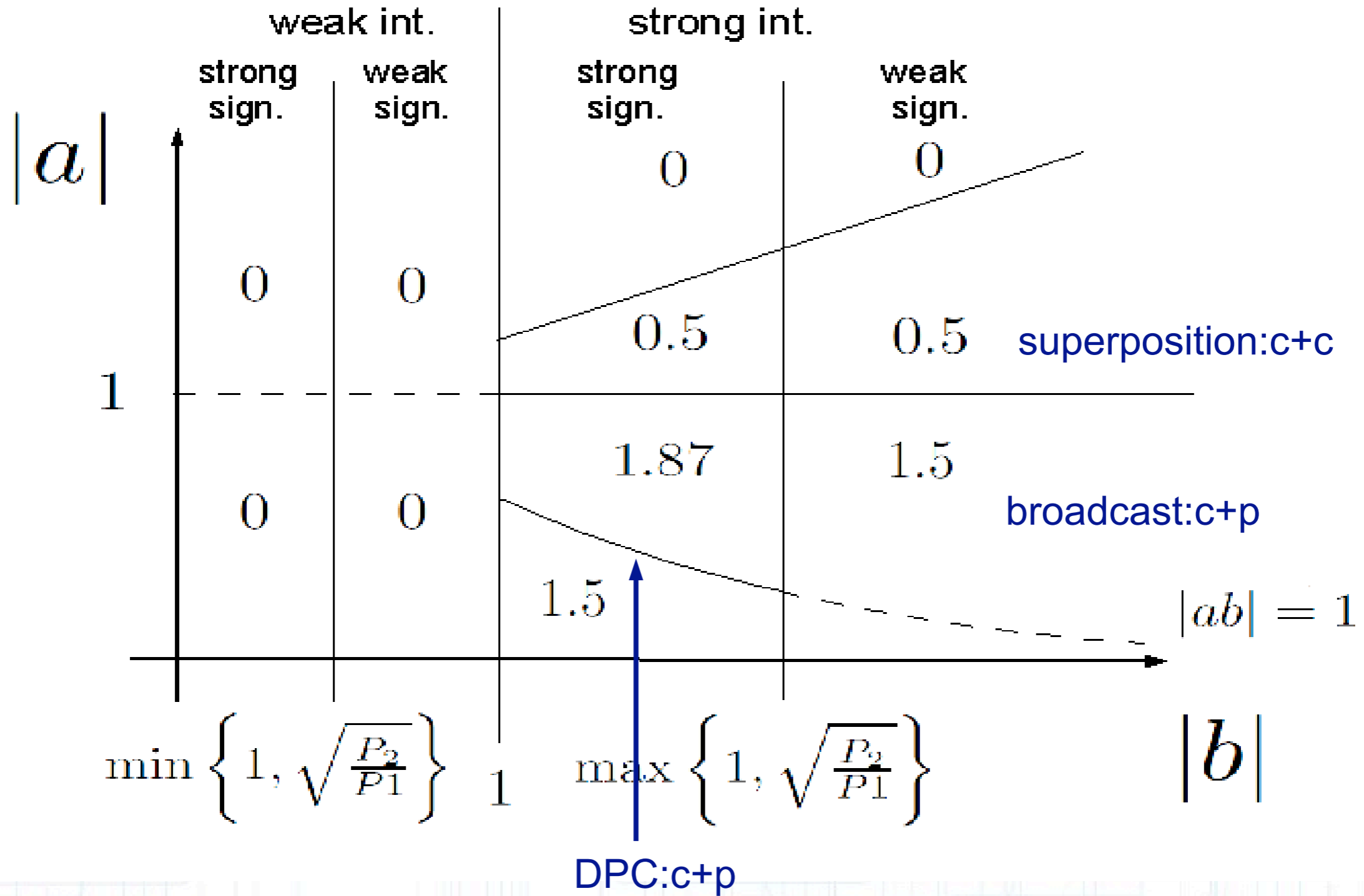
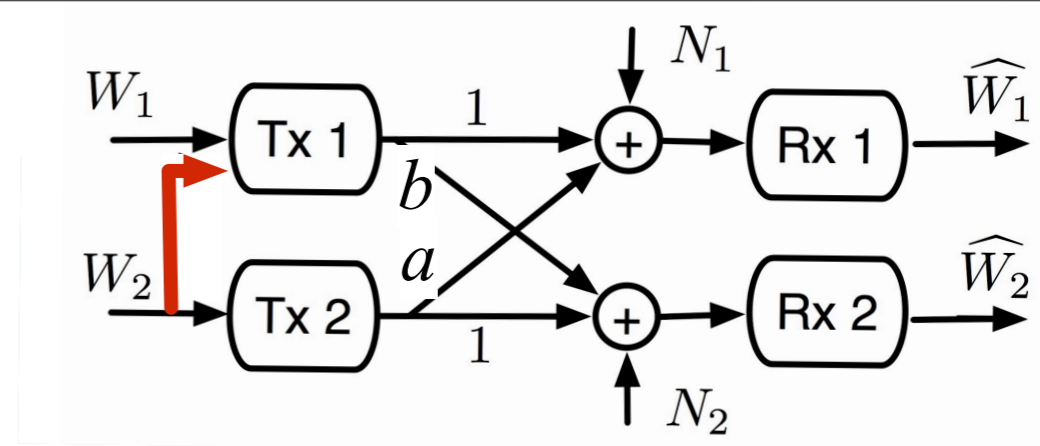


**We prove a finite gap regardless of channel parameters!**

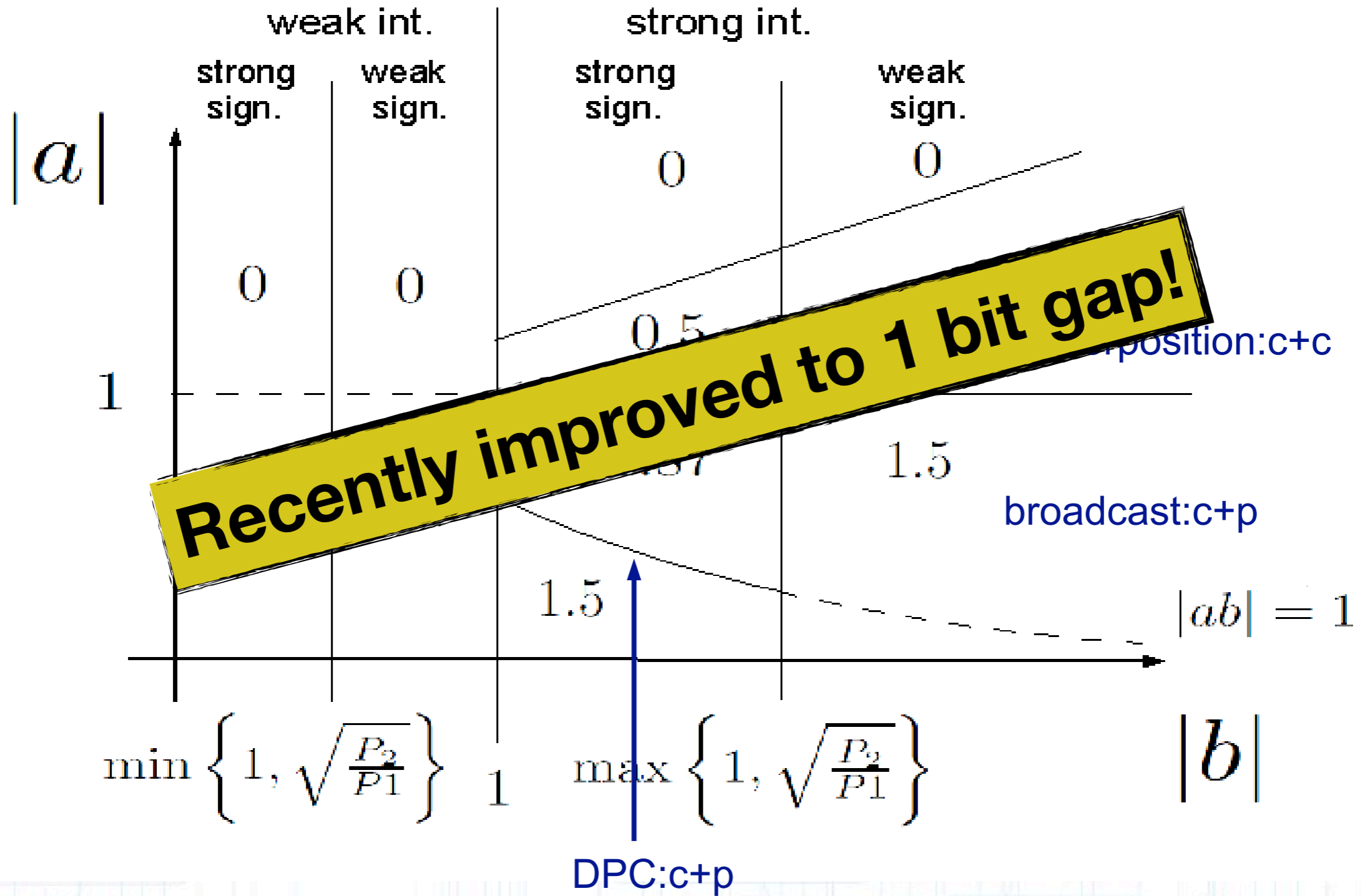
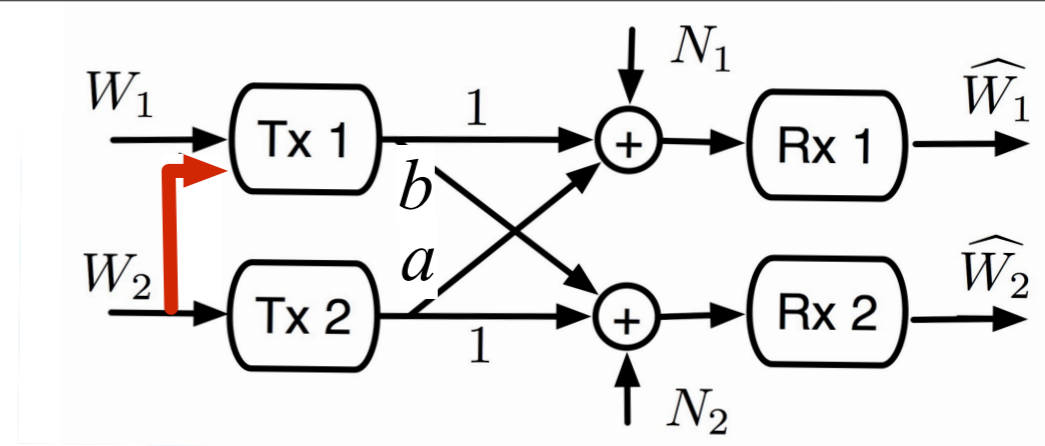
# Proving a finite gap

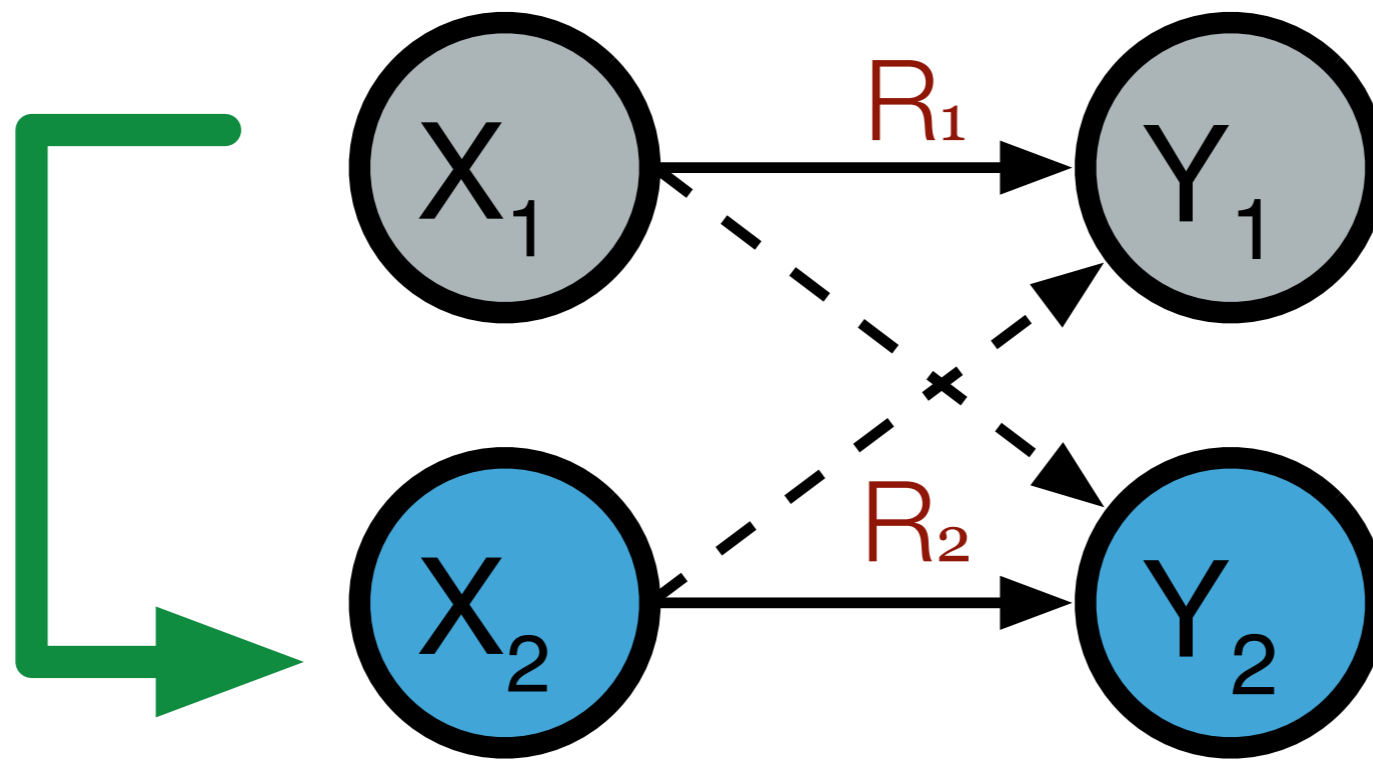


# Proving a finite gap



# Proving a finite gap

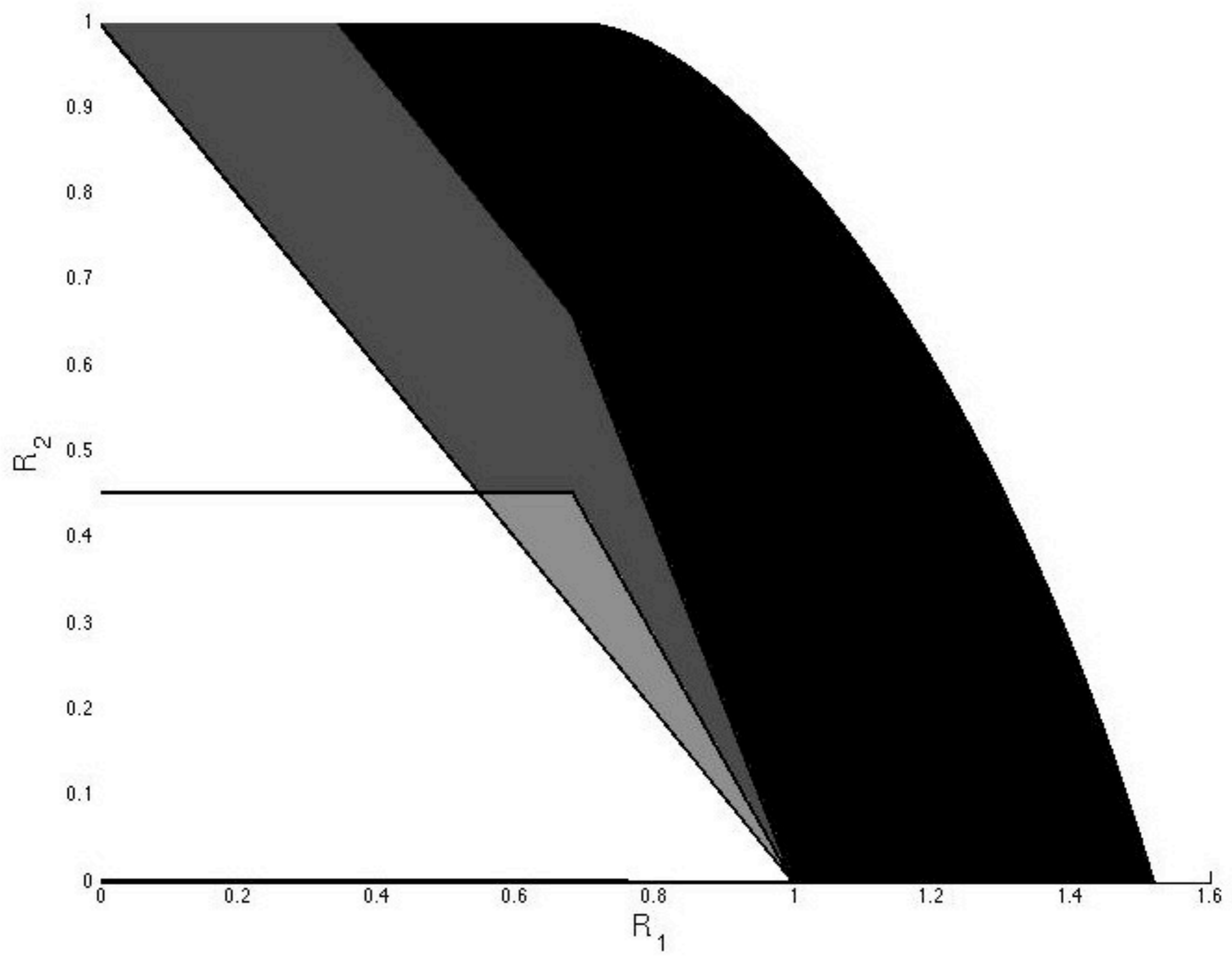




“Cognitive”

*Cognitive channel*

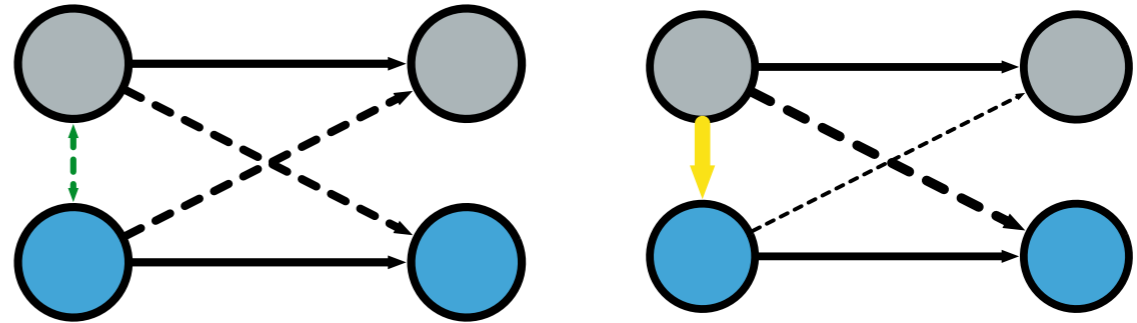
What rates  $(R_1, R_2)$  are achievable?





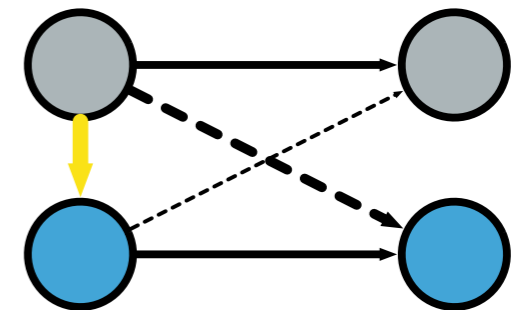
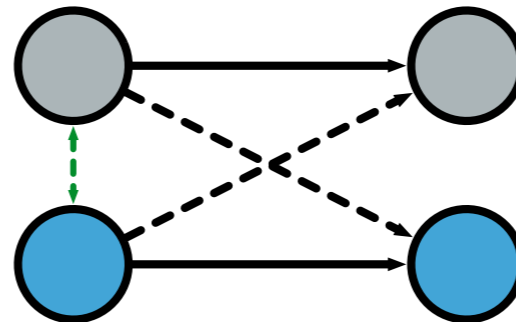
# Extensions of “cognition” in multi-user IT

- causal versus non-causal cognition

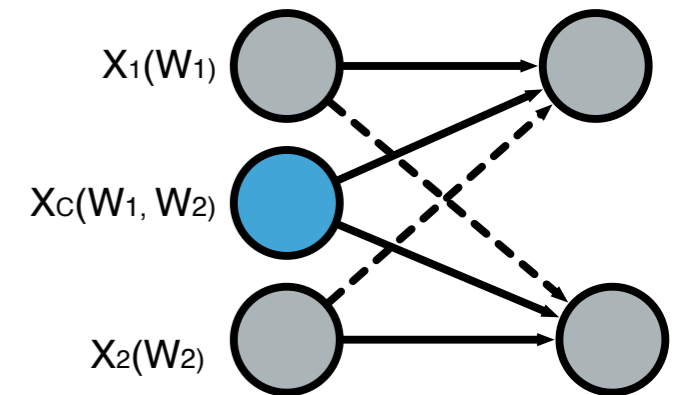
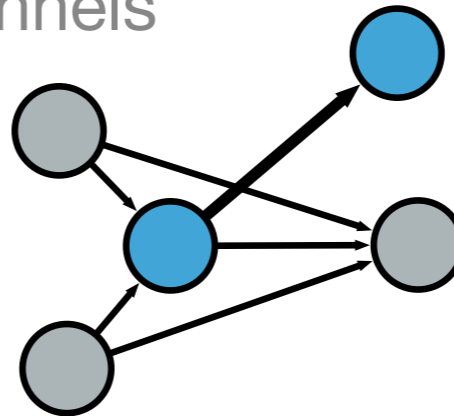


# Extensions of “cognition” in multi-user IT

- causal versus non-causal cognition

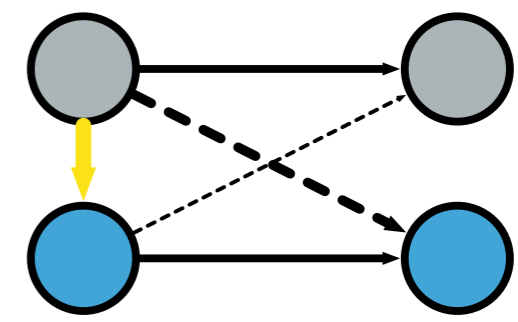
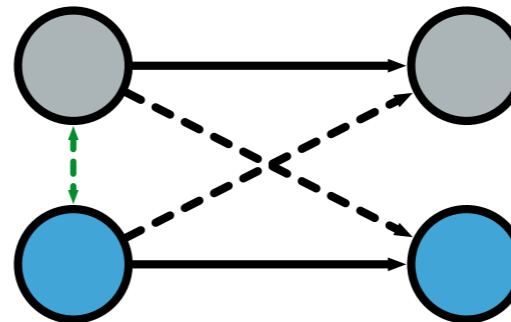


- cognitive relay: interference, relay channels

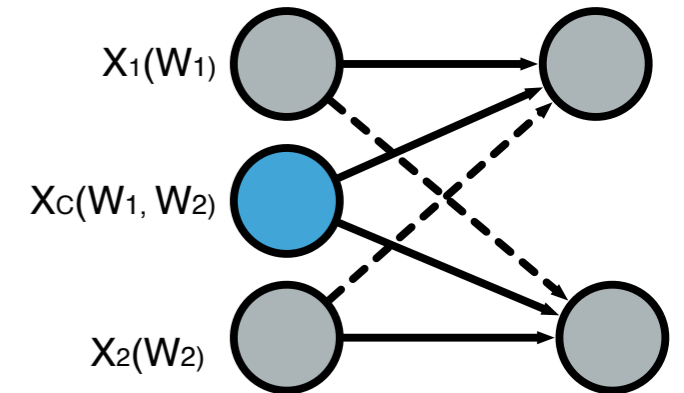
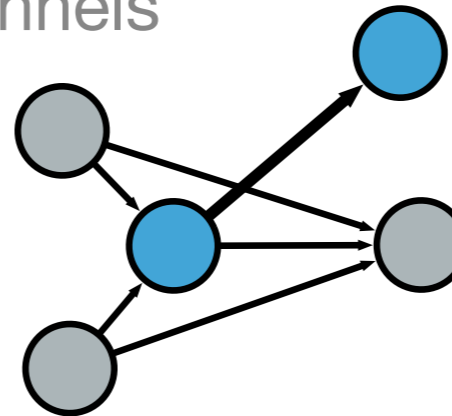


# Extensions of "cognition" in multi-user IT

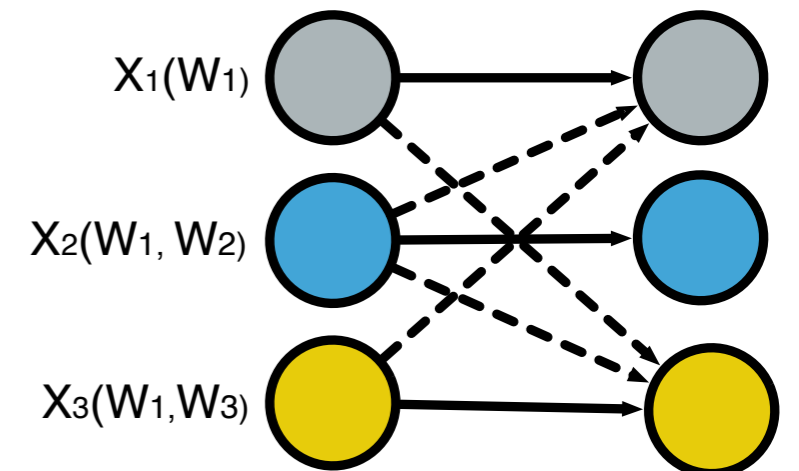
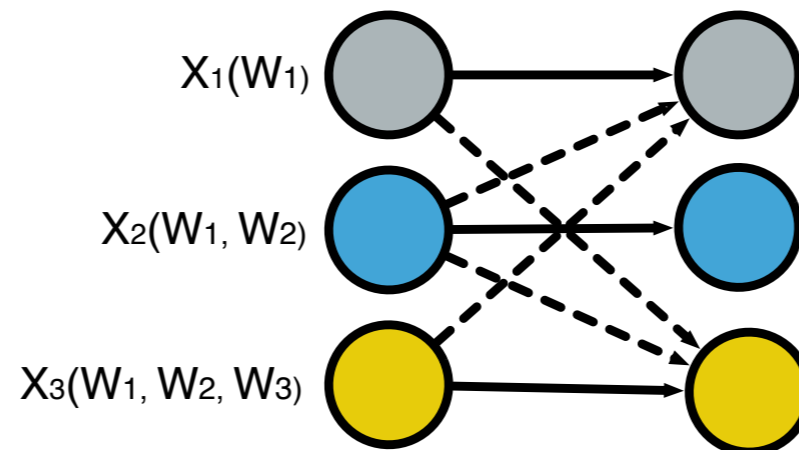
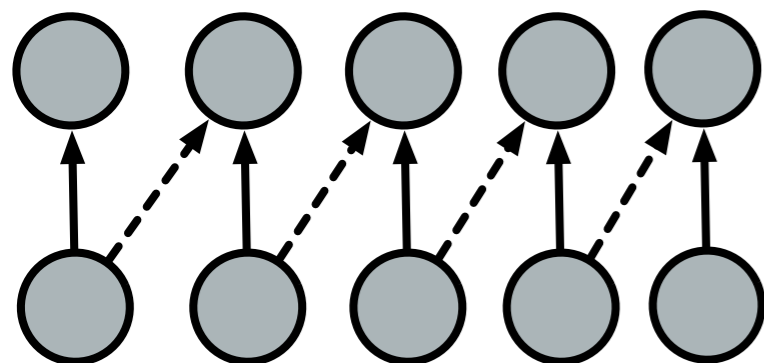
- causal versus non-causal cognition



- cognitive relay: interference, relay channels

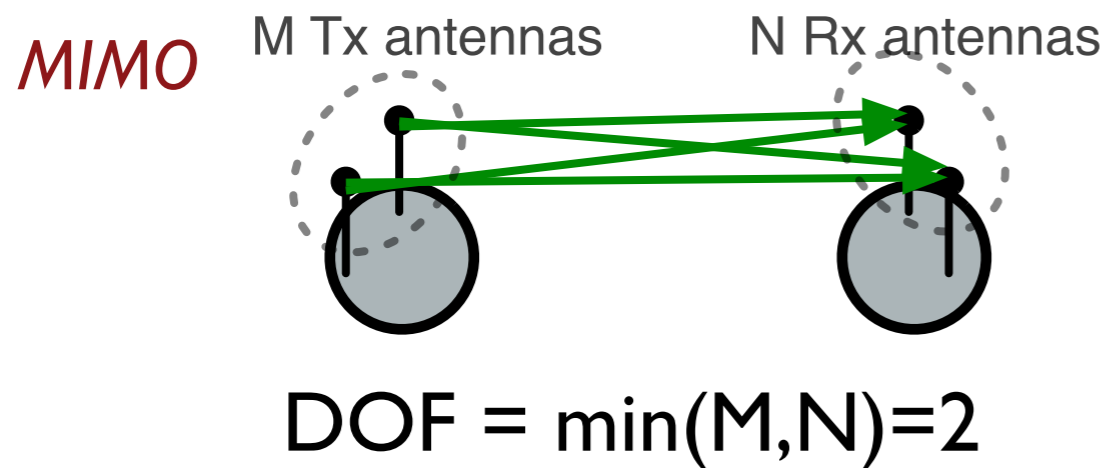


- more cognitive users, more scenarios....

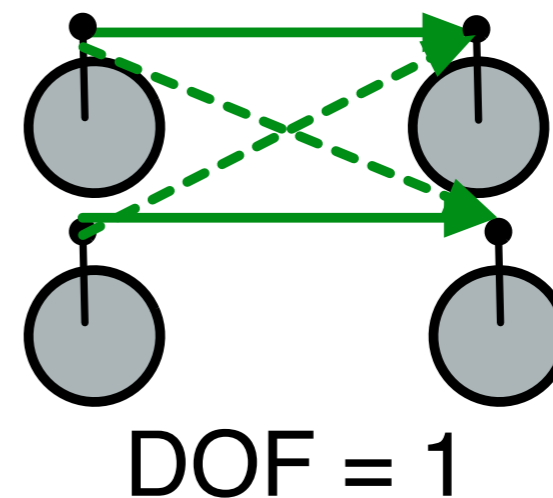


# Degrees of freedom: classical

$DOF = \#$  “clean” channels in a multi-stream network

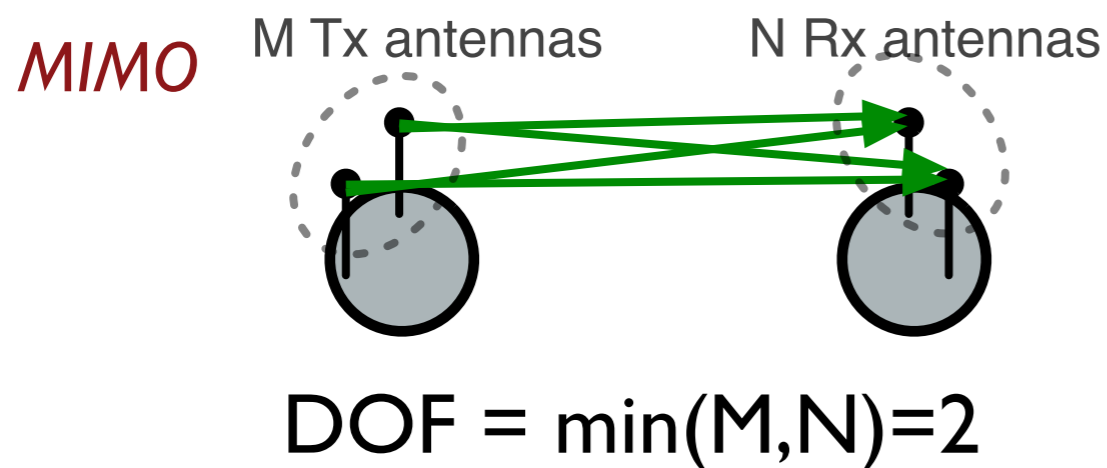


*Interference channel*

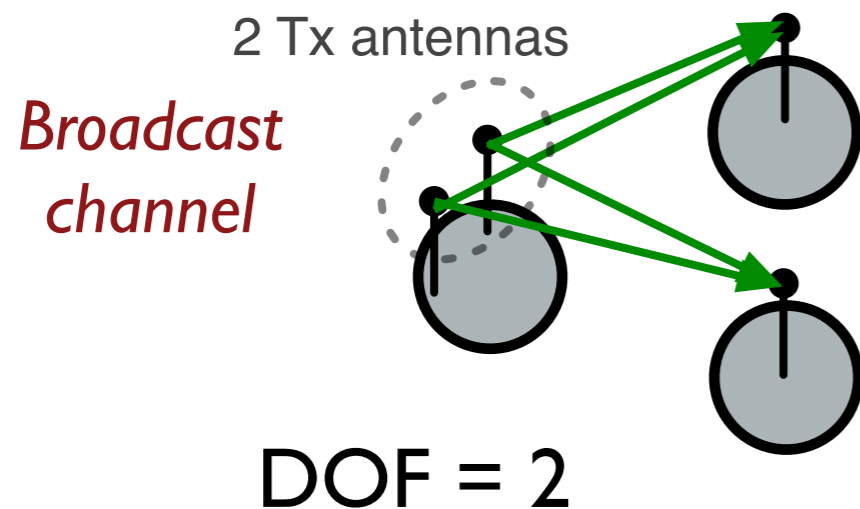
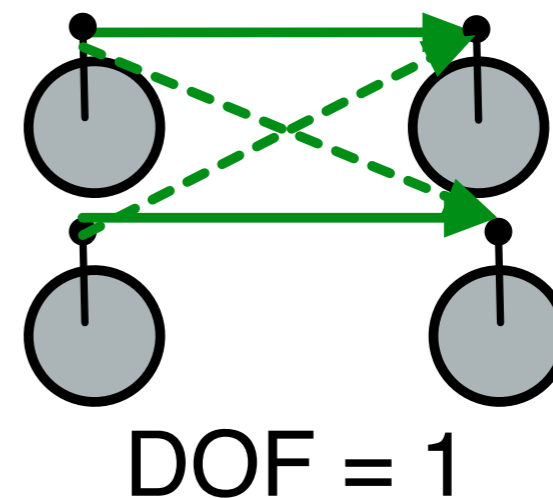


# Degrees of freedom: classical

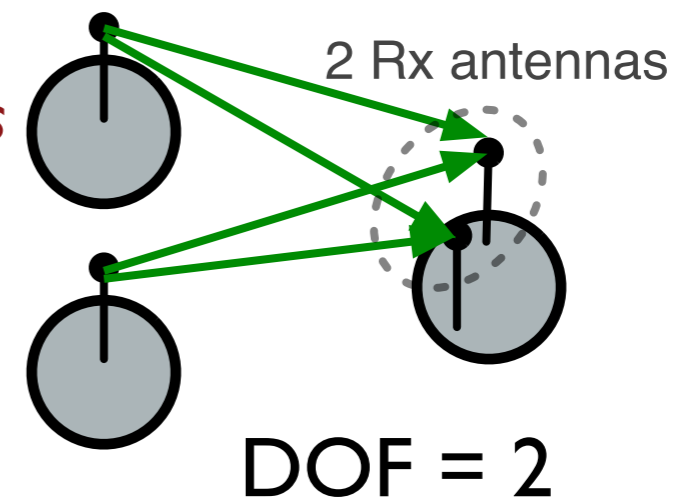
$DOF = \#$  “clean” channels in a multi-stream network



*Interference channel*

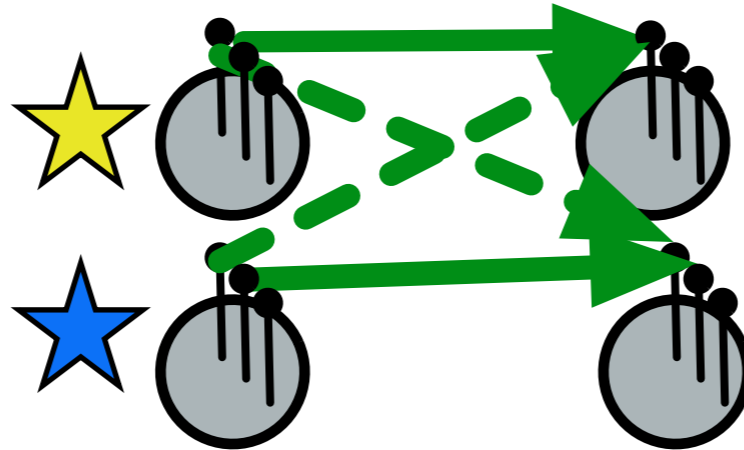


*Multiple-access channel*



# Degrees of freedom: cognitive, M antennas

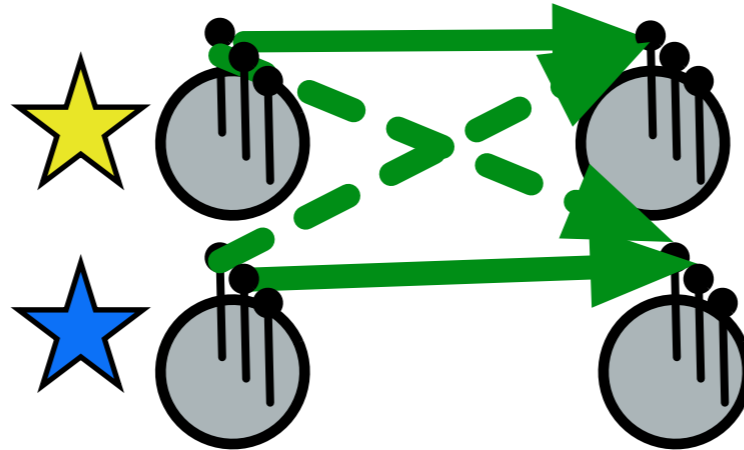
*MIMO interference channel*



$\text{DOF} = M$

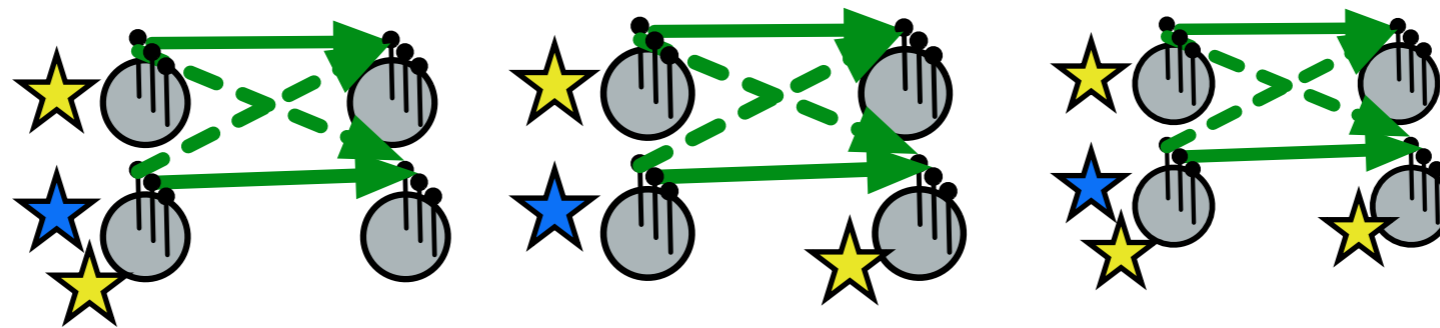
# Degrees of freedom: cognitive, M antennas

*MIMO interference channel*



DOF = M

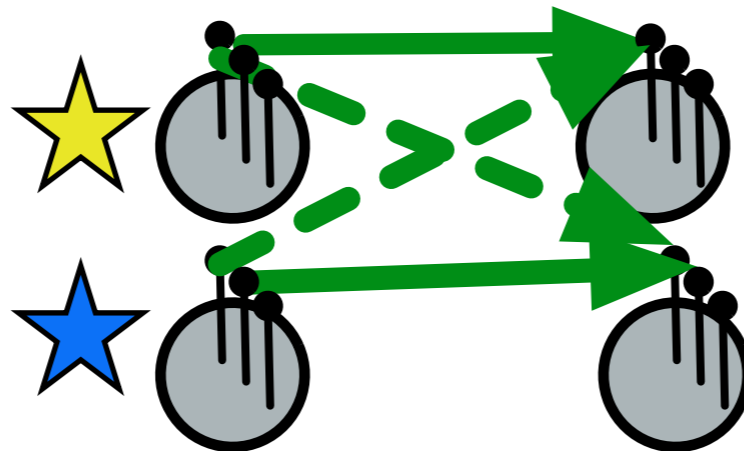
*MIMO cognitive channel, cases a,b,c*



DOF = M

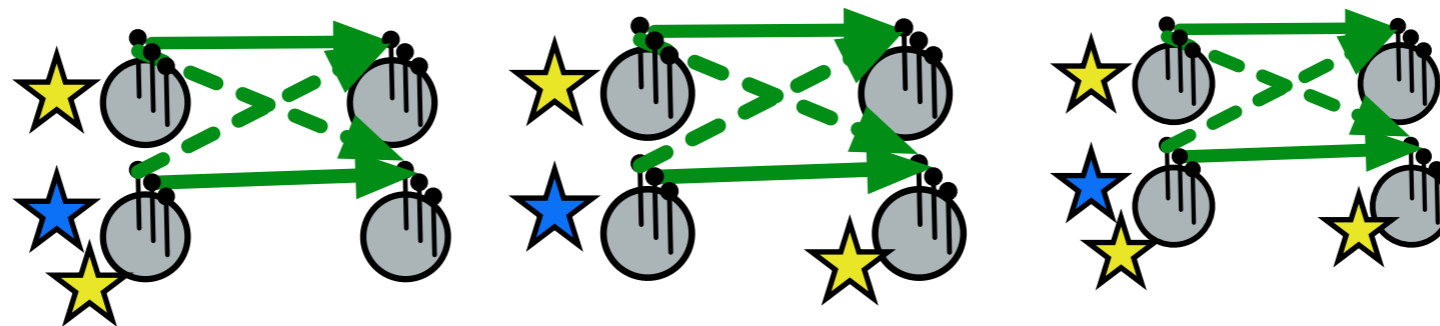
# Degrees of freedom: cognitive, M antennas

*MIMO interference channel*



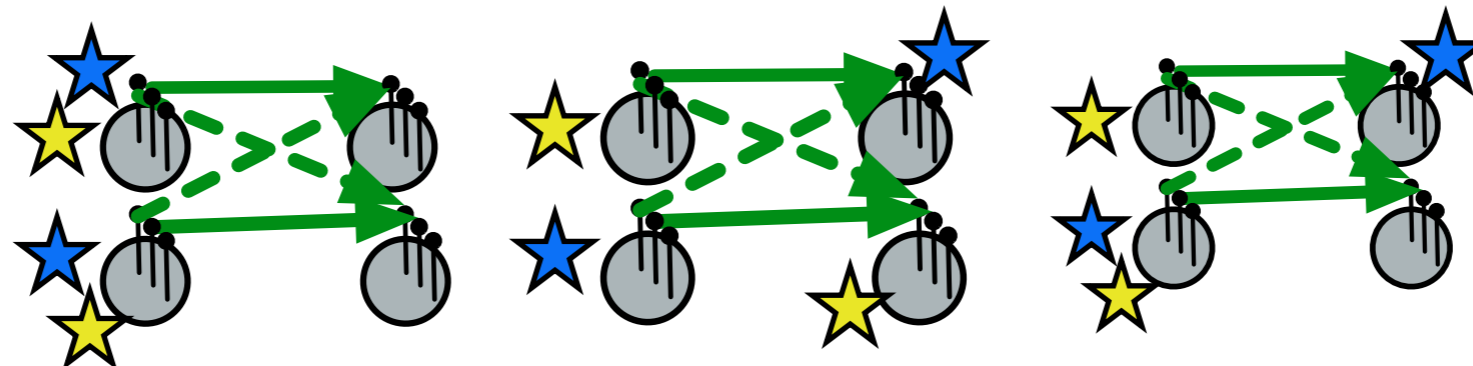
DOF = M

*MIMO cognitive channel, cases a,b,c*



DOF = M

*MIMO cognitive channel, cases d,e,f*



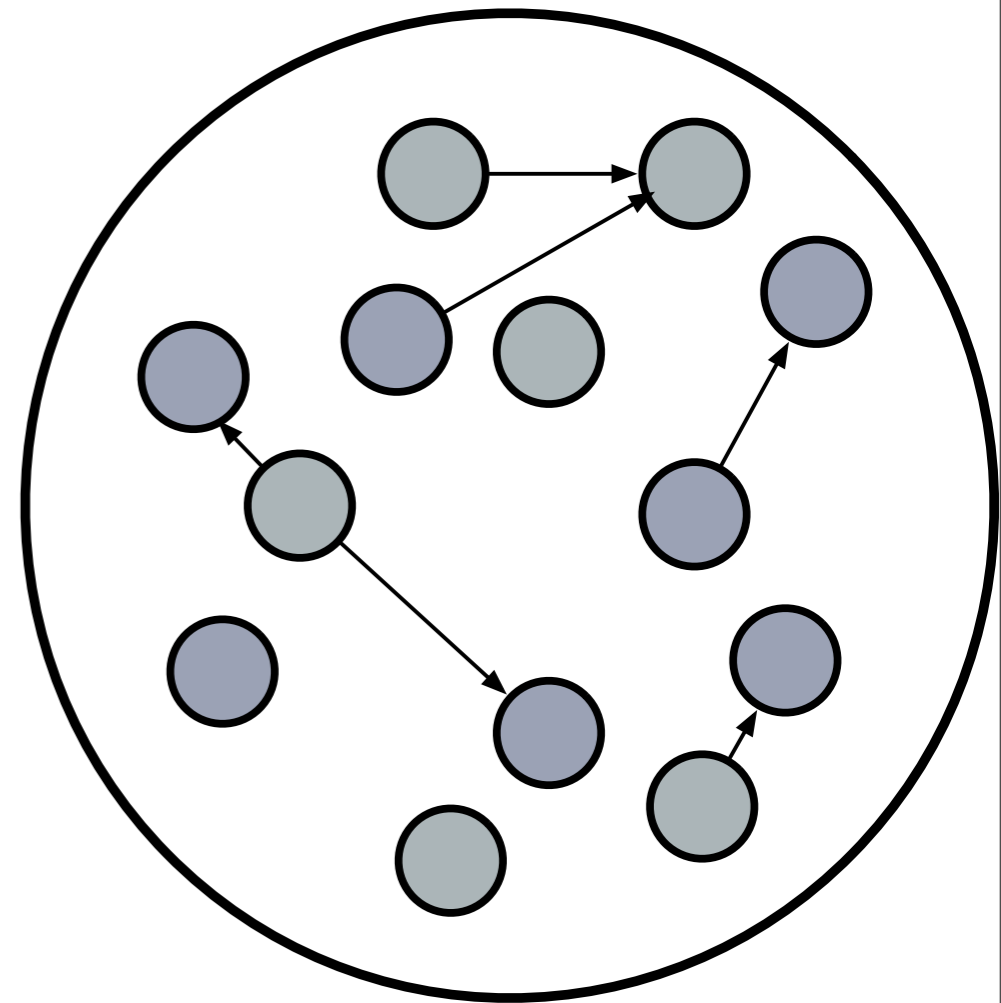
DOF = 2M



# Scaling laws

# nodes  $n \rightarrow \infty$

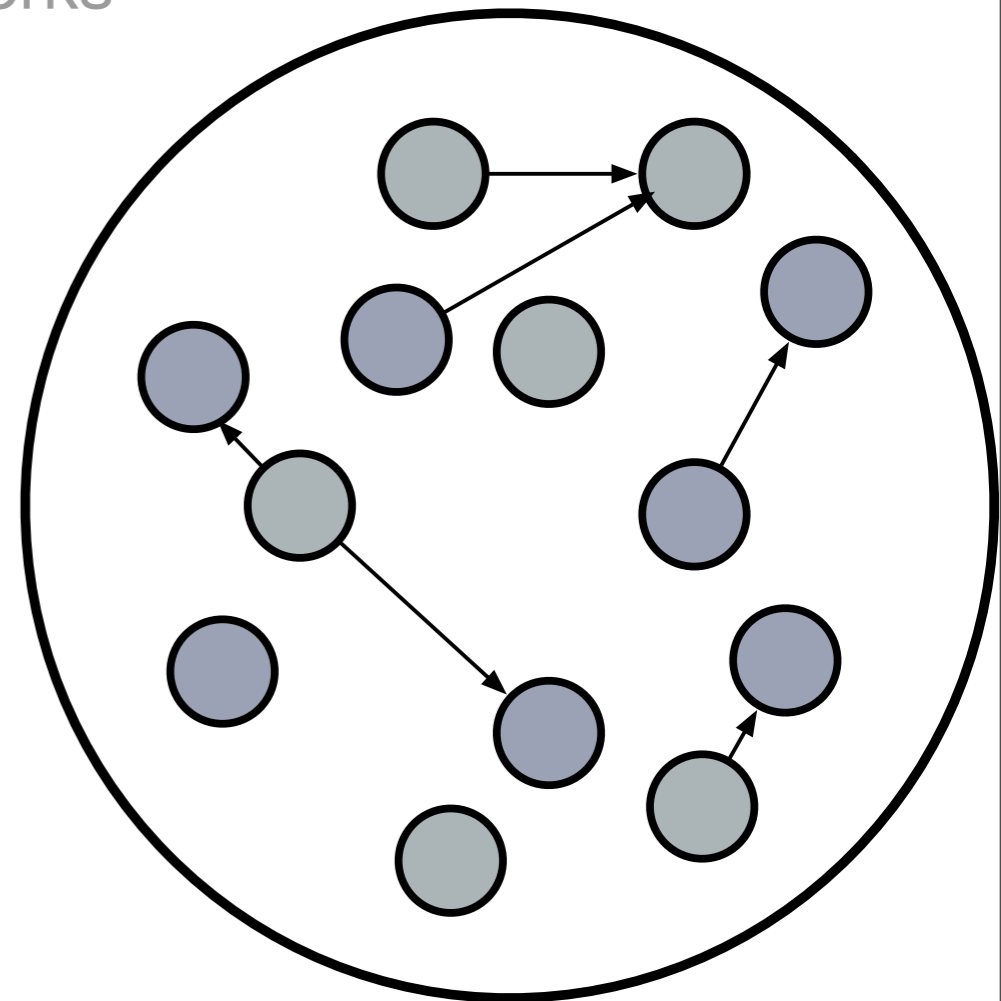
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# Scaling laws

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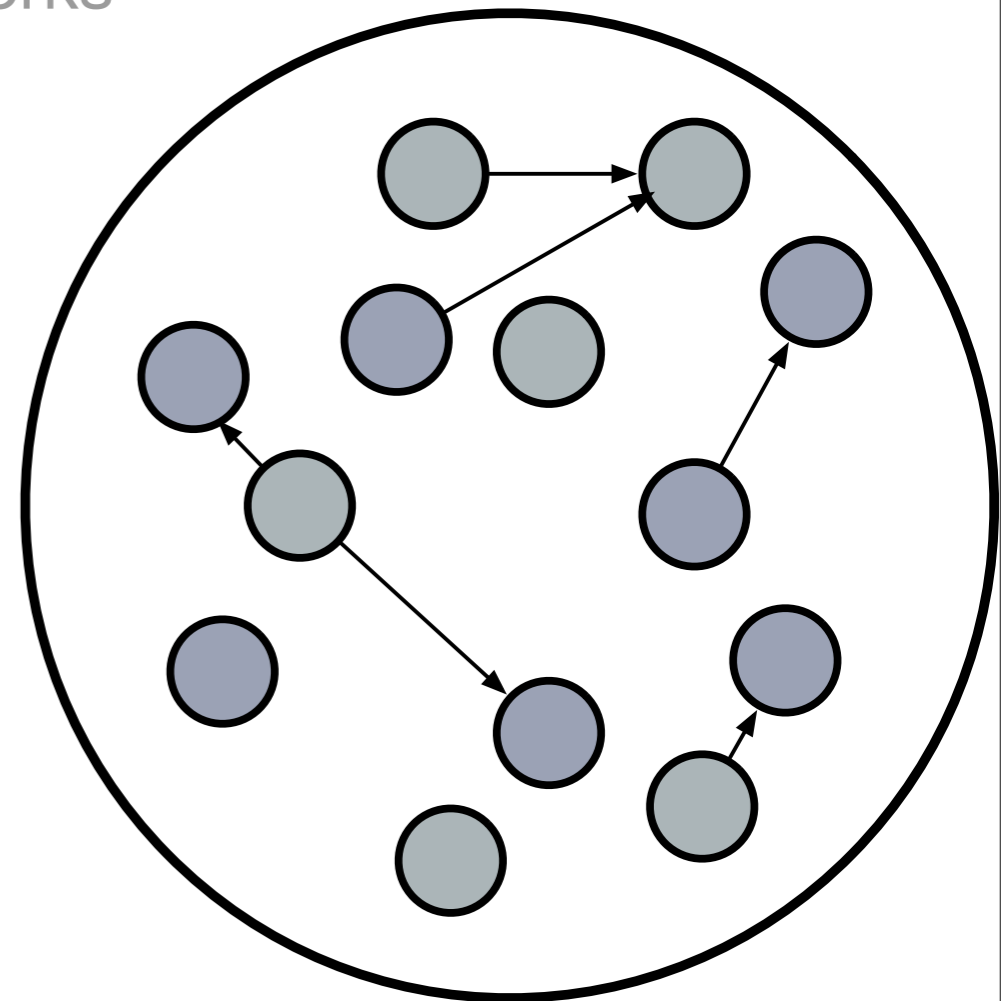
- [Gupta+Kumar 2000]: Non-cooperative ad hoc networks
  - per-node throughput  $\sim O(1/\sqrt{n} \log(n))$
  - Degradation is due to multi-hop and interference between nodes



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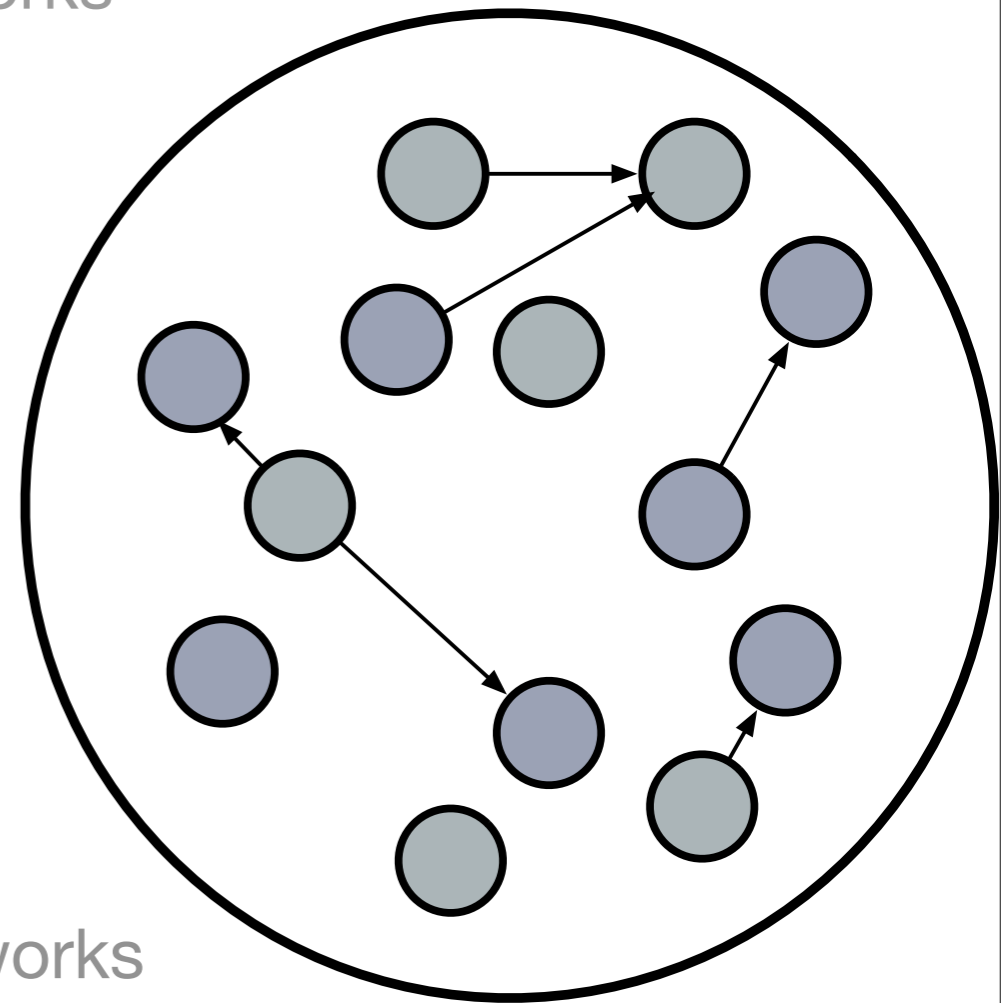
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  - percolation theory



# Scaling laws

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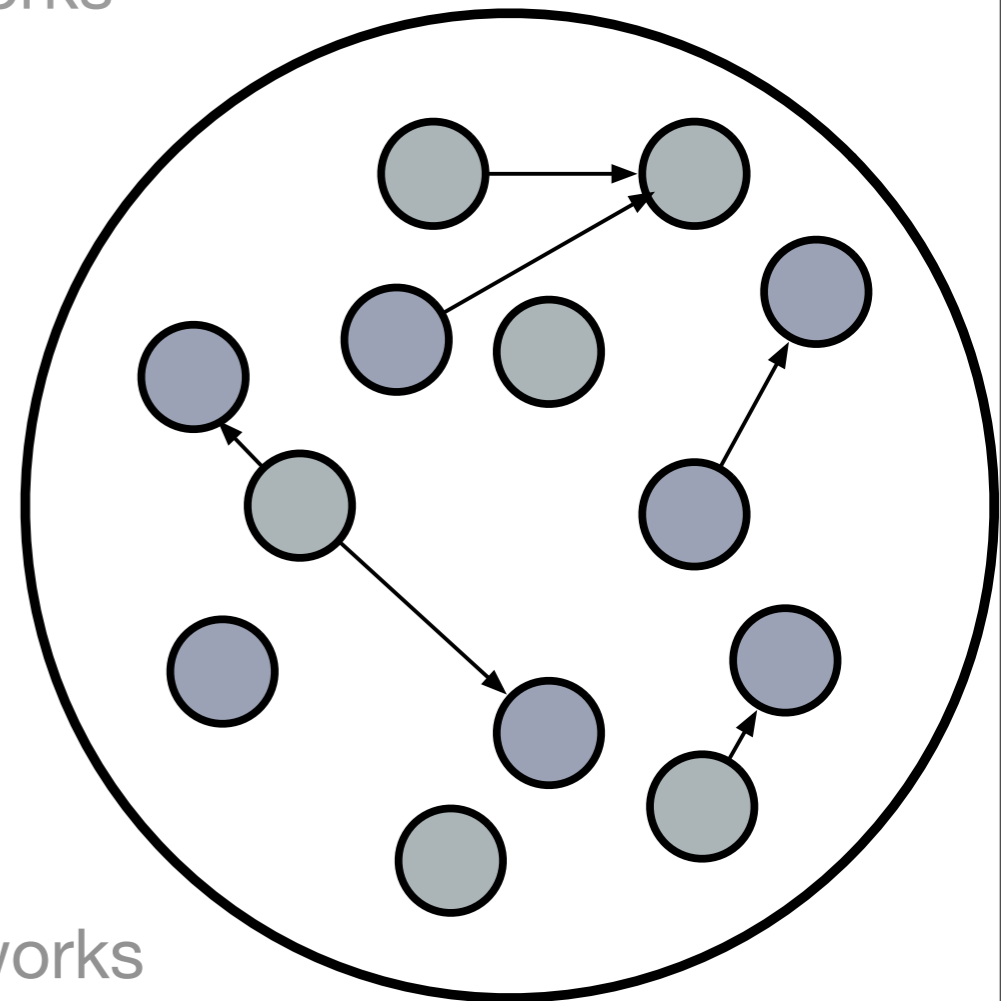
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  - nodes may cooperate as in a MIMO system
  - per-node throughput  $\sim O(1)$  (constant)



# Scaling laws

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  - per-node throughput  $\sim O(1)$  (constant)
- Many many more...



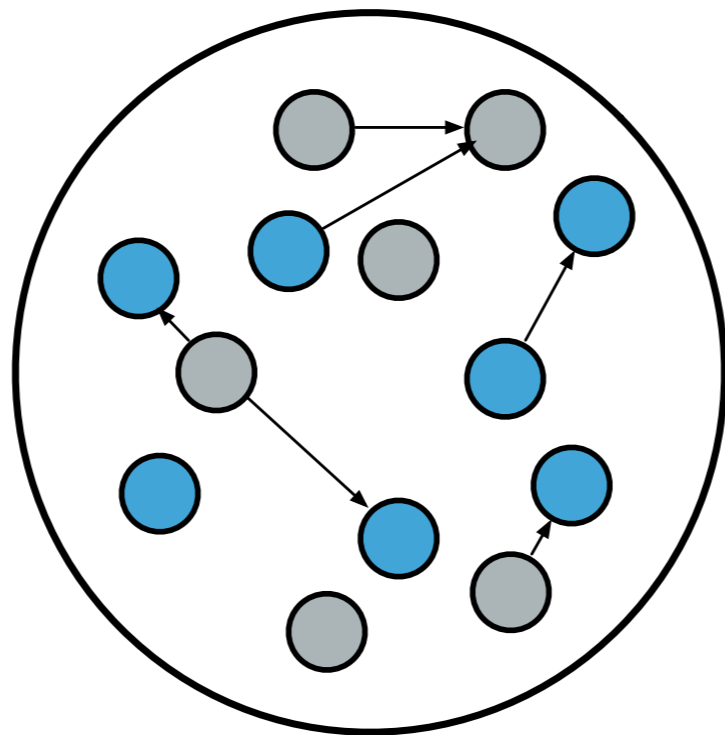
# Scaling laws: with cognition

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- What we guarantee:

*Primary nodes act as if cognitive network does not exist*

*Primary nodes achieve **same scaling law** as if cognitive network does not exist*



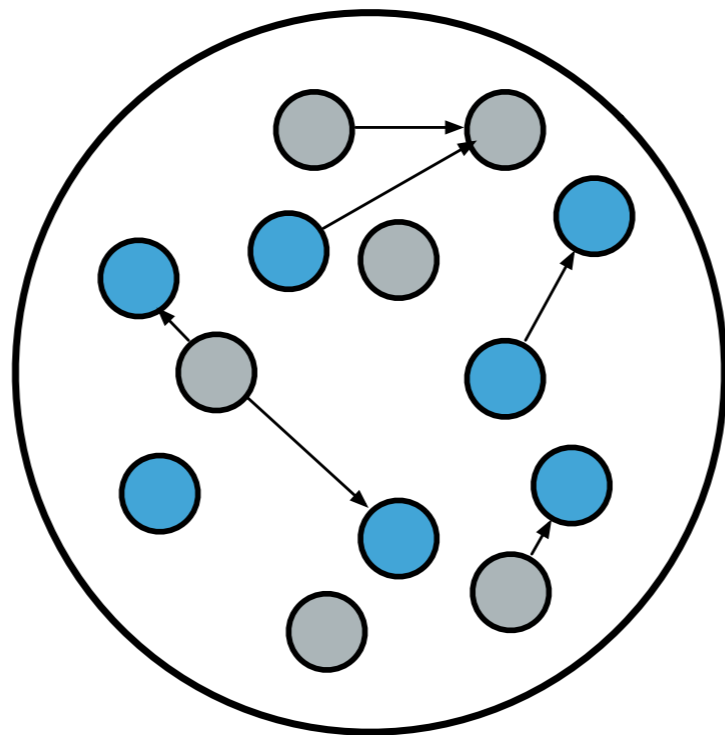
# Scaling laws: with cognition

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- What we guarantee:

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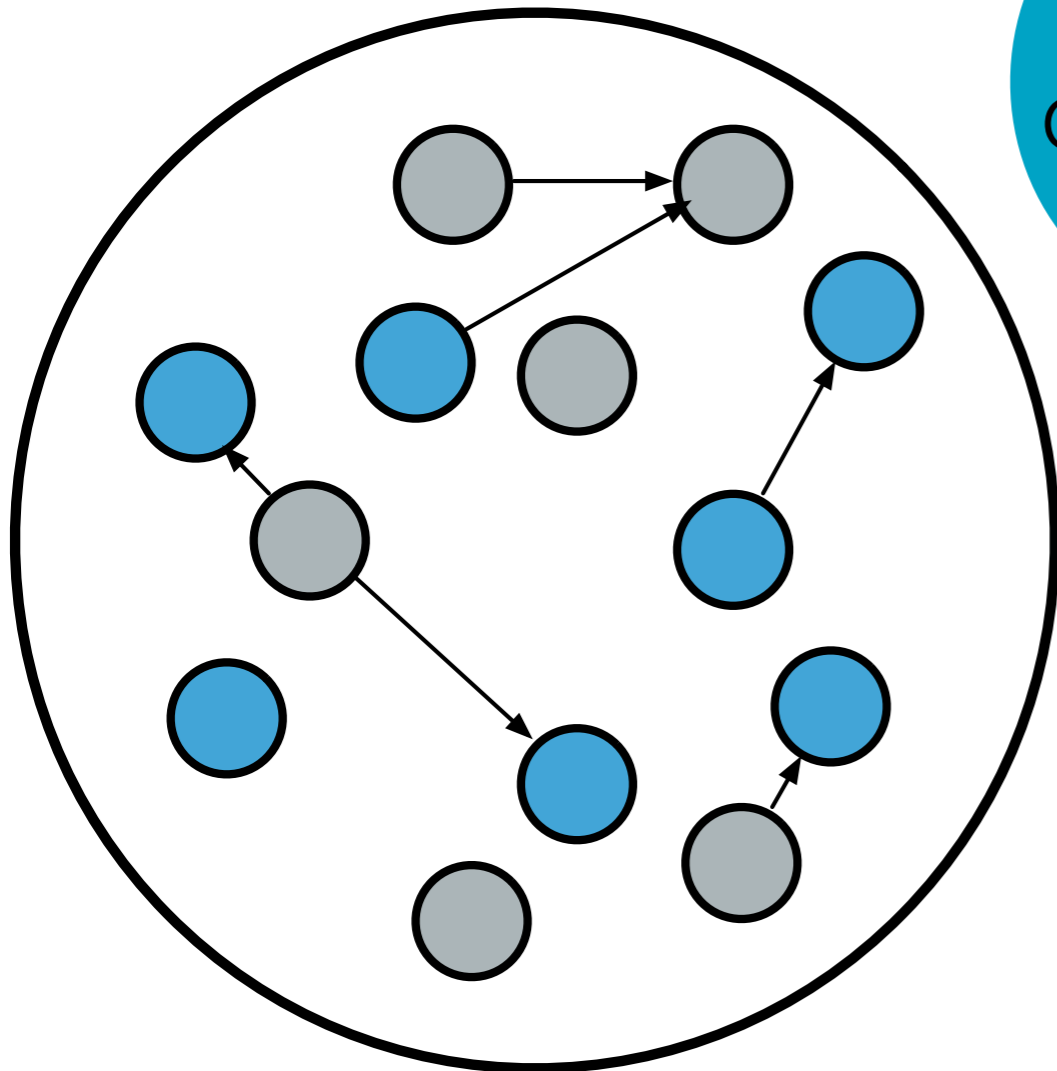
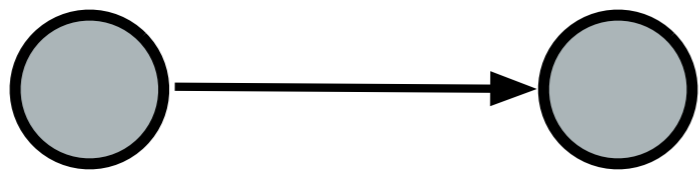
*Primary nodes achieve **same scaling law** as if cognitive network does not exist*



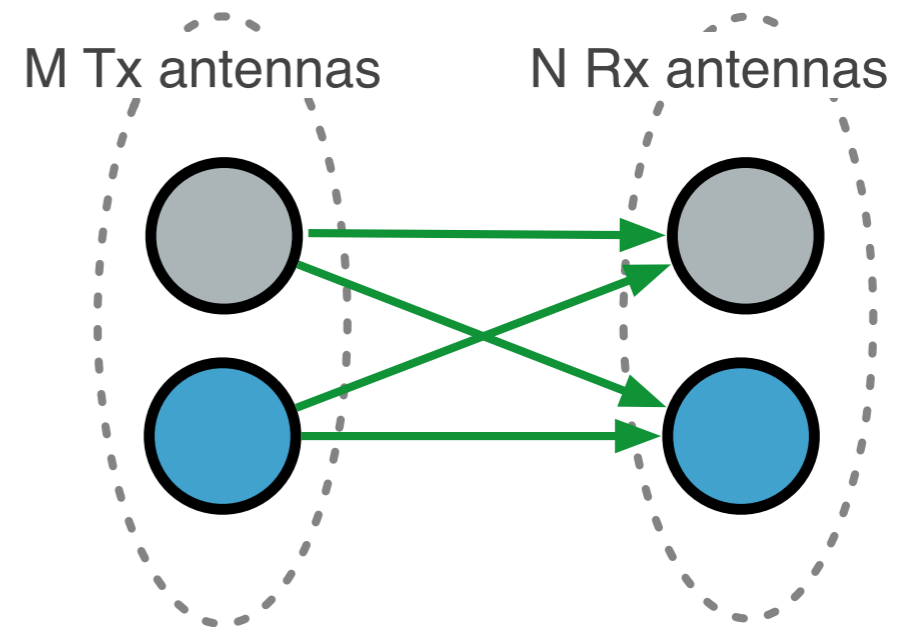
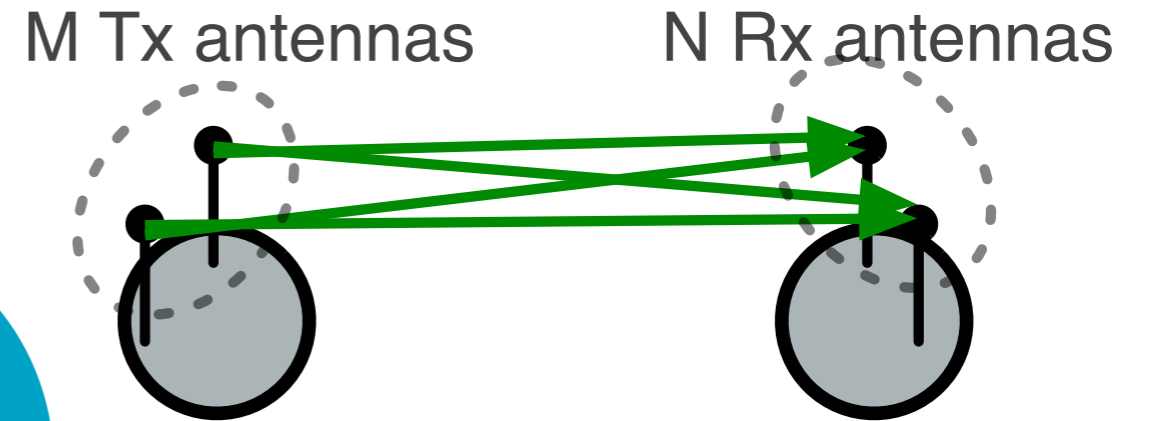
- What we prove:

$$T_p(n) = \Theta \left( \sqrt{\frac{1}{n \log n}} \right), \quad T_s(m) = \Theta \left( \sqrt{\frac{1}{m \log m}} \right)$$

# Efficient, reliable communications

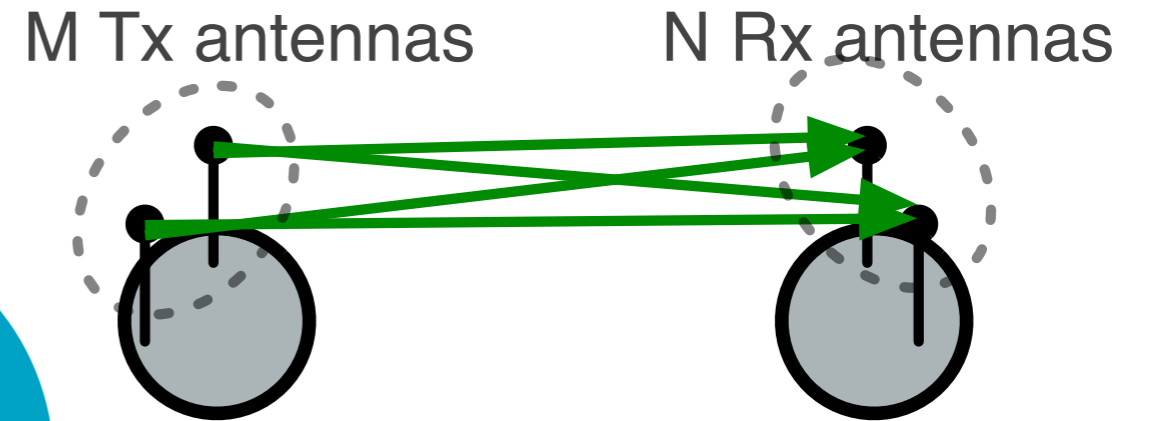
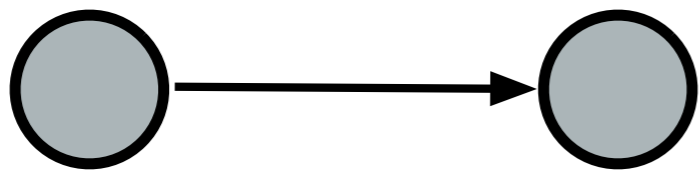


With cognition

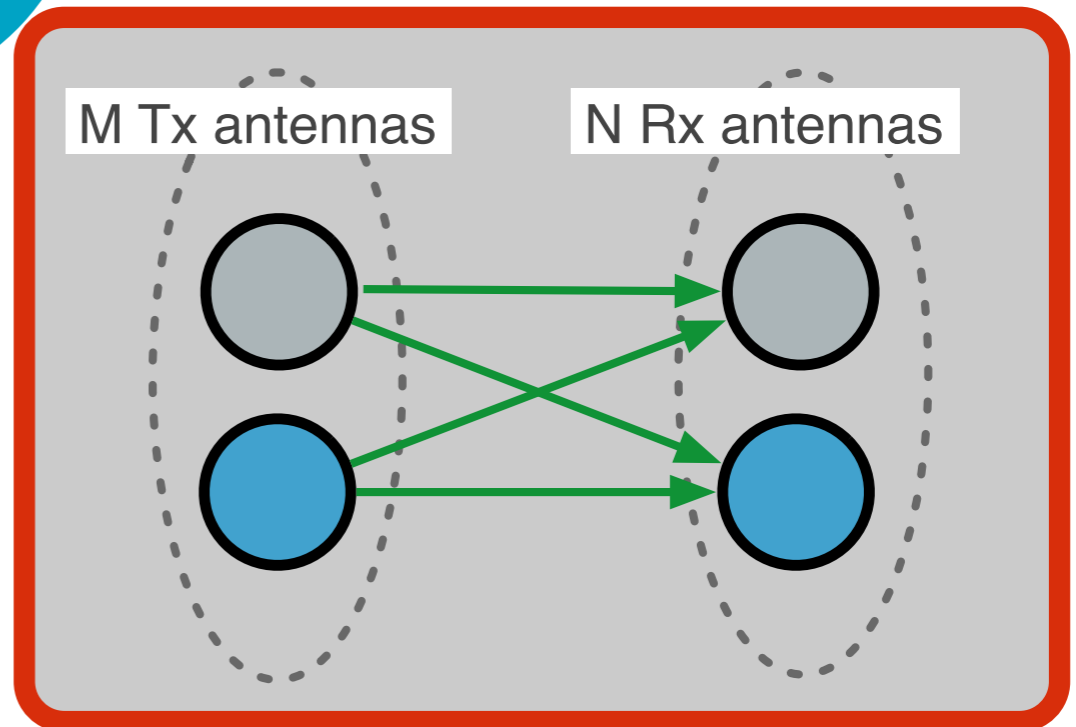
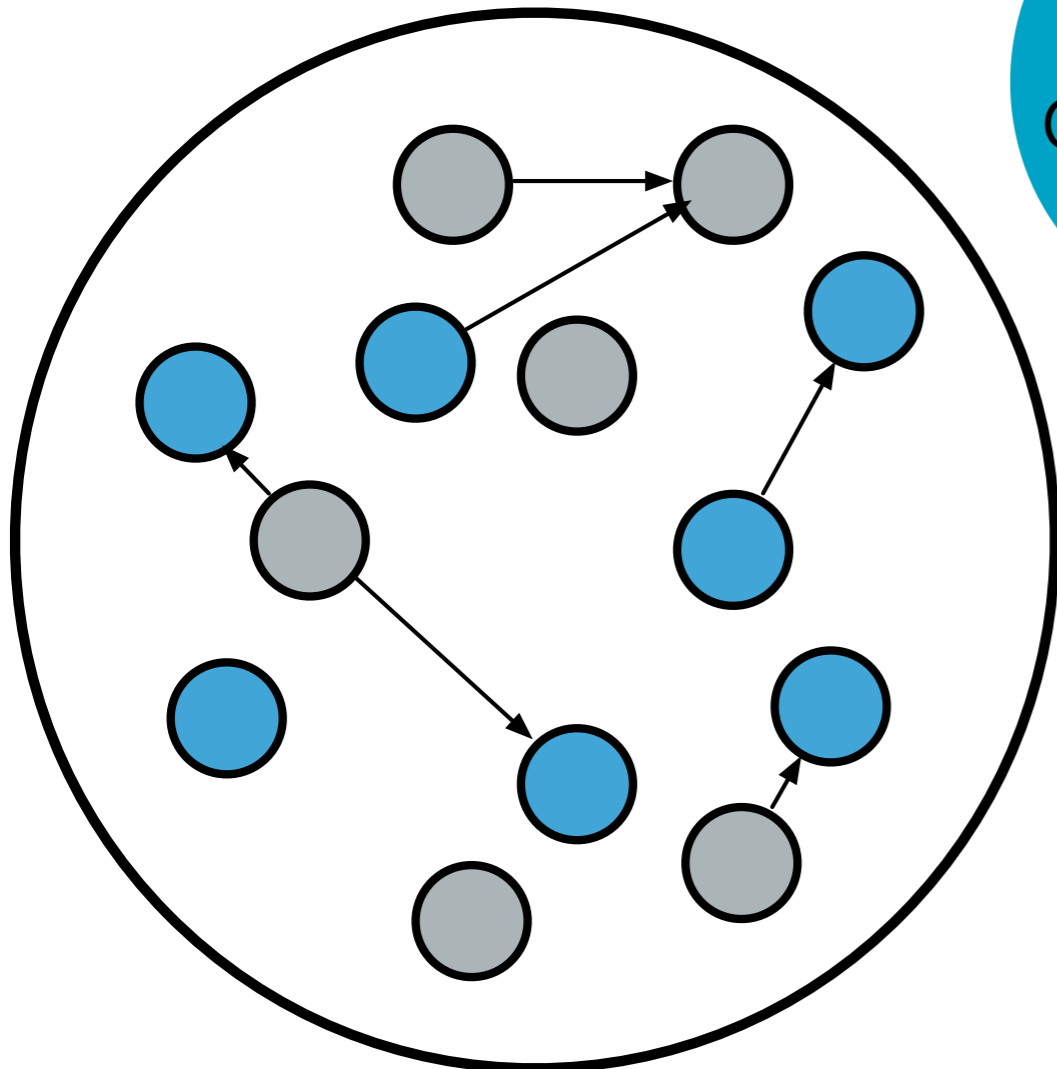




# Efficient, reliable communications



With cognition



# Thank you

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[devroye@ece.uic.edu](mailto:devroye@ece.uic.edu)  
<http://www.ece.uic.edu/~devroye>

University of Western Ontario  
5/19/2010

